## EXHIBIT J

```
1
     IN THE UNITED STATES DISTRICT COURT
        FOR THE DISTRICT OF NEW JERSEY
2
3
    IN RE: VALSARTAN, : MDL NO. 2875
    LOSARTAN, AND
4
    IRBESARTAN PRODUCTS : HON. ROBERT
    LIABILITY LITIGATION : B. KUGLER
5
6
    THIS DOCUMENT APPLIES :
    TO ALL CASES
7
           - CONFIDENTIAL INFORMATION -
8
           SUBJECT TO PROTECTIVE ORDER
9
10
               September 16, 2021
11
12
13
           Videotaped remote deposition of
   MICHAEL B. BOTTORFF, Pharm.D., taken
   pursuant to notice, was held via Zoom
14
   Videoconference, beginning at 9:04 a.m.,
15
   EST, on the above date, before Michelle
   L. Gray, a Registered Professional
16
   Reporter, Certified Shorthand Reporter,
   Certified Realtime Reporter, and Notary
17
   Public.
18
19
20
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17
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23
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1	
2	THE VIDEOGRAPHER: We are
3	now on the record. My name is
4	Judy Diaz. I'm a legal
5	videographer for Golkow Litigation
6	Services.
7	Today's date is
8	September 16, 2021, and the time
9	is 9:04 a.m.
10	This remote video deposition
11	is being held in the matter of
12	Valsartan, Losartan, and
13	Irbesartan Products Liability
14	Litigation MDL for the United
15	States District Court District of
16	New Jersey.
17	The deponent is Dr. Michael
18	Bottorff.
19	All parties to this
20	deposition are appearing remotely
21	and have agreed to the witness
22	being sworn in remotely.
23	All counsel will be noted on
24	the stenographic record.

```
1
                  The court reporter is
2
           Michelle Gray and will now swear
3
           in the witness.
4
5
               MICHAEL B. BOTTORFF, Pharm.D.,
6
   having been first duly sworn, was
7
   examined and testified as follows:
8
9
                    EXAMINATION
10
11
   BY MR. VAUGHN:
12
                 Doctor, can you introduce
           Ο.
13
   yourself for the jury?
14
                 Yes. Michael Bottorff.
           Α.
15
                 And am I saying it right,
           Ο.
16
   Bottorff?
17
                  That's good.
           Α.
18
                 All right. I'll try my best
           0.
19
   not to butcher that.
20
                 No problem.
           Α.
21
                  Have you ever had your
           Q.
22
   deposition taken before?
23
                  I'm sorry. The question?
           Α.
24
                  Have you previously had your
           Q.
```

- deposition taken in any matter?
- A. Yes, I have.
- Q. Was that a yes?
- <sup>4</sup> A. Yes.
- <sup>5</sup> Q. And what litigations were
- 6 those?
- <sup>7</sup> A. There was an amiodarone
- 8 litigation that I think we've disclosed.
- <sup>9</sup> That's the only one that's been in the
- last maybe four or five years. Prior to
- 11 that I did some depositions in Niaspan
- 12 patent law. And then a couple of
- 13 personal injury depositions probably back
- $^{14}$  in the 1990s.
- Okay. There's only -- was
- the first one a drug case that you were
- <sup>17</sup> an expert in?
- 18 A. In the '90s, yes.
- 19 Q. And were you on the
- 20 plaintiffs' or the defense side?
- A. Defense.
- Q. And have you ever had your
- deposition taken via Zoom before?
- <sup>24</sup> A. No.

- Q. If you have any problems
- <sup>2</sup> hearing me just let me know. Okay?
- A. I will.
- <sup>4</sup> Q. All right. And then for the
- <sup>5</sup> court reporter's sake, let's try our best
- 6 not to talk over each other and give, you
- <sup>7</sup> know, the defense attorney time to make
- 8 her objections, if she has any. Is that
- <sup>9</sup> fair?
- $^{10}$  A. Yes.
- 11 Q. And do you understand that
- 12 if there are objections, those are just
- between the defense attorney and myself
- and they shouldn't influence your answers
- $^{15}$  in any way?
- A. I understand.
- Q. And you're an expert for the
- defense in this litigation, correct?
- A. Correct.
- Q. And as an expert, you're
- <sup>21</sup> aware that I'm allowed to ask you
- 22 hypothetical questions, right?
- <sup>23</sup> A. Yes.
- Q. And if you don't understand

- my questions, you'll let me know, right?
  - A. I will.
  - <sup>3</sup> Q. Okay. So I want to explore
  - <sup>4</sup> just some of your opinions first and the
  - <sup>5</sup> basis for those opinions. And so, kind
  - of reading through here, I guess my first
- <sup>7</sup> question is, Dr. Bottorff, is it your
- 8 opinion that generic valsartan
- <sup>9</sup> contaminated with NDMA or NDEA has the
- same monetary value as generic valsartan
- 11 without NDMA or NDEA?
- MS. THOMPSON: Objection.
- Form. Compound.
- 14 THE WITNESS: So the
- question, as I understand it, is
- the same monetary value?
- <sup>17</sup> BY MR. VAUGHN:
- Q. Correct.
- A. I believe it would be.
- O. And what's the basis for
- <sup>21</sup> your opinion on that?
- A. Because I don't see how it
- <sup>23</sup> substantially changed the effectiveness
- $^{24}$  of the drug.

```
1
           Ο.
                 And what do you mean by the
2
   effectiveness of the drug?
3
                 Its ability to do what it
4
   was intended to do, which was control
5
   heart failure, hypertension post-MI.
6
                 And is it your opinion that
7
   the levels of NDMA in generic valsartan
8
   are unable to increase a person's risk of
9
   developing cancer?
10
                 MS. THOMPSON: Objection.
11
           Form.
12
                 THE WITNESS: I don't think
13
           I'd characterize my opinion as
14
           being unable. I don't think I
15
           used those terms anywhere.
16
   BY MR. VAUGHN:
17
           Q. What is your opinion as to
18
   if the levels of NDMA contained in
19
   generic valsartan can increase the
20
   levels -- increase the risk of someone
21
   developing cancer?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS: We don't have
```

```
1
           the answer to that because we
2
           don't have adequate data in humans
3
           to make that determination.
4
   BY MR. VAUGHN:
5
           Ο.
                 If the levels of NDMA in
6
   generic valsartan did increase the risk
7
   of someone developing cancer, would you
8
   agree that it would reduce the monetary
9
   value of that medication?
10
                 MS. THOMPSON: Objection.
11
           Form.
                  He wasn't designated on
12
           issues of monetary value. So
13
           I'm --
14
                 MR. VAUGHN: He just said --
15
           he just told me that he had an
16
           opinion on it, so I'm exploring
17
           that opinion.
18
                 THE WITNESS: Yeah, I don't
19
           really -- it wasn't any of the
20
           focus that I used in my
21
           evaluation.
22
                 So I haven't really
23
           expressed any kind of opinion on
24
           monetary, other than I don't think
```

```
1
           it would have altered its
2
           effectiveness and not necessarily
3
           another step of whatever that
4
           monetary value would be.
5
   BY MR. VAUGHN:
6
           Q. Okay. So you do not plan to
7
   tell the jury that the monetary value of
   valsartan contaminated with NDMA is
8
9
   unchanged?
10
                 I have no plans on talking
           Α.
11
   about monetary value.
12
                 Okay. Do you think it's
13
   acceptable for a patient to take generic
14
   valsartan at the highest levels of
15
   contamination of NDMA that we've seen?
16
                               Objection.
                 MS. THOMPSON:
17
           Form.
18
                 THE WITNESS: Well, again,
19
           I'm not sure exactly what you're
20
           asking.
21
                 What I have formed an
22
           opinion on and provided in my
23
           report is that I don't believe
24
           there's any -- any risk associated
```

1 with the amount of NDMA that's in 2 any of the valsartan products that 3 I evaluated. 4 BY MR. VAUGHN: 5 0. And what amount of NDMA 6 would be necessary in valsartan to 7 increase the risk of someone developing 8 cancer? 9 In humans, we don't have Α. 10 that answer. We're having to completely 11 rely on animal data to make any kind of 12 extrapolation along those lines at all. 13 And I think we've seen in 14 the literature multiple people express 15 concerns about extrapolating animal data 16 to human data. 17 I did in my report try to 18 do, based on the available data with all 19 those known limitations, suggest that the 20 amount of what I'm going to call 21 impurities of NDMA in any of the 22 valsartan products seems well below what 23 in the animal studies might be expected 24 to cause any cancer.

```
1
                 And so do you not have an
           Ο.
2
   opinion as to how much NDMA it would take
   to increase the risk of someone
   developing cancer?
5
                 MS. THOMPSON: Objection.
6
                  And again, this is not what
7
           he was designated on.
8
                 THE WITNESS: Yeah, I don't
9
           think that's what any focus on my
10
           report was on.
11
                 MR. VAUGHN: Tyler, can you
12
           pull up his expert report for me.
13
                 TRIAL TECH: Sure. Give me
14
           one second.
15
                 (Document marked for
16
           identification as Exhibit
17
           Bottorff-1.)
18
                 MS. THOMPSON: We're giving
19
           him a hard copy of his report as
20
           well.
21
                 MR. VAUGHN: Sounds good.
22
                 Tyler, can we go to Page 63
23
           of this report.
24
                 TRIAL TECH: Sure. And this
```

```
1
          will be Exhibit 1.
2
                 MR. VAUGHN: Yeah. Thank
3
          you for that.
4
                 TRIAL TECH: No problem.
5
          You said Page 63?
6
                 MR. VAUGHN: Yeah.
7
   BY MR. VAUGHN:
8
           Q. And Dr. Bottorff, let me
9
   know when you're there.
10
           Α.
                 I am. I'm there now.
11
          Q. Can you read looks like
12
   Opinion VII..?
13
                 Can you read it out loud?
14
   I'm sorry.
15
                 Yes, I will.
           Α.
16
                 "The scientific literature
17
   and evidence, which I have reviewed
18
   extensively, do not support that the
19
   valsartan products during the time period
20
   at issue carried an independent risk of
21
   cancer, nor that there is any increased
22
   risk of cancer associated with the
23
   valsartan containing the NDMA/NDEA
24
   impurity as compared to valsartan with a
```

- <sup>1</sup> zero level of NDMA or NDEA."
- Q. Okay. Would you agree with
- me that you're giving an opinion that the
- 4 levels of NDMA do not increase the risk
- <sup>5</sup> of a patient's cancer?
- A. Yes. But I thought your
- <sup>7</sup> question was, how much would it take to
- 8 cause cancer. And I didn't have a good
- <sup>9</sup> answer to that.
- MS. THOMPSON: I was trying
- to get an objection in there.
- THE WITNESS: Oh, sorry.
- MR. VAUGHN: So reminder to
- give me a pause.
- I was objecting to the form
- of the last question.
- THE WITNESS: Sorry.
- <sup>18</sup> BY MR. VAUGHN:
- Q. Doctor, is it your opinion
- that no level of NDMA would cause cancer
- <sup>21</sup> in a human?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: I don't know

```
1
           the answer to that. We don't have
2
           any data on what it would take to
3
           cause cancer in humans with drugs
4
           that haven't been -- or compounds
5
           that haven't been studied in
6
           humans.
7
   BY MR. VAUGHN:
8
           Q. If you do not know the level
9
   that would -- of NDMA that it would take
10
   to cause cancer, how can you give an
11
   opinion that the levels of NDMA in
12
   valsartan cannot increase the risk of
13
   someone developing cancer?
14
                 MS. THOMPSON: Objection.
15
           Form.
16
                 THE WITNESS: Again, as I
17
           said before, we're having to
18
           extrapolate the animal data with
19
           all those known limitations.
20
                 And so, this statement was
21
           based on how much NDMA did not
22
           seem to cause cancer in animals.
23
                 And that was in many cases
24
           way above the amount that's in any
```

- 1 of these valsartan products. 2 So again, accepting those 3 limitations, that's where this 4 conclusion comes from. 5 BY MR. VAUGHN: 6 So you're not able to tell 7 our jury at what point -- at what level 8 NDMA in valsartan would increase the risk of someone developing cancer? 10 Yeah. I don't think there's Α. 11 anybody who can do that. 12 What is the highest level of 13 NDMA that you're aware of in generic 14 valsartan? 15 I can look at my report, 16 which I think --17 Take your time. Ο. 18 No problem. Just scanning Α. 19 through the NDMA amounts on Page 6, 7, 20 and 8 of my report, the highest amount, I 21 think, was just over 20 micrograms.
- Q. So is it safe -- I'm sorry.
- A. I was just going to add, in
- <sup>24</sup> a valsartan 320-milligram tablet.

```
1
           Q.
                 I appreciate that.
2
                 And so the opinions in your
3
   expert report only apply to a daily NDMA
   exposure level of 20 micrograms or lower?
4
5
                               Objection to
                 MS. THOMPSON:
6
           form.
7
                 THE WITNESS:
                                Sorry.
                                        I'm
8
           not -- that's not exactly what I
9
           say in my report.
10
   BY MR. VAUGHN:
11
             What is it -- how did I
           Ο.
12
   mischaracterize it?
13
                 If we go to Page 32 and 33,
           Α.
14
   which is where I make that extrapolation
15
   from -- this is one study, for example,
16
   by Ito that there was an animal dose that
17
   did not produce cancers, and
18
   extrapolating that in kilogram to the
19
   human exposure, if you use the same
20
   milligram per kilogram calculation,
21
   again, with all those limitations, then
22
   the amount that was not cancer causing in
23
   this study were anywhere from
24
   approximately 300 to over 20,000 times
```

- <sup>1</sup> the amount that's in any of the valsartan
- <sup>2</sup> products.
- Q. We'll get more into that in
- <sup>4</sup> a little bit. But in forming your expert
- <sup>5</sup> opinions, the highest level of NDMA that
- <sup>6</sup> you were aware of in valsartan was
- <sup>7</sup> 20 micrograms, correct?
- A. Correct.
- 9 MR. VAUGHN: Can we go to --
- back to Page 6 real quick, Tyler.
- 11 BY MR. VAUGHN:
- Q. All right. On that second
- 13 paragraph, Doctor, you note that there's
- 14 levels as high as 120 parts per million.
- 15 Where did that information come from?
- A. I'm assuming that it's a
- 17 part per million conversion from these
- 18 data, from the FDA's laboratory analysis.
- 19 Q. Where did you find that data
- though? Where did you find the 120 parts
- 21 per million? Are you the one that did
- 22 that calculation?
- A. No. I wouldn't have done
- <sup>24</sup> the calculation.

```
1
                 So where did you find that
                  I don't see a citation. So
2
   information?
   I'm just wondering where this came from?
4
                 I don't recall exactly.
           Α.
5
                 What did you say ppm stands
           0.
6
   for?
7
           Α.
                 Parts per million.
8
                 Okay. And you would expect
           Ο.
9
   that that part per million, if you
10
   actually do the math to figure out how
11
   many micrograms that could be in a pill
12
   of valsartan, would be no more than
13
   20 micrograms, right?
14
                 If this range is
15
   representative of what the FDA's analysis
16
   is, then that would be correct.
17
                 But you're not sure where
18
   this range even comes from?
19
                 MS. THOMPSON: Objection to
20
           form.
21
                                No.
                 THE WITNESS:
22
                 Sorry.
23
                 My best guess at this point
24
           in time is that those are the
```

```
1
           ranges that were also in the FDA's
2
           testing, but I don't recall it
3
           exactly at this point.
4
   BY MR. VAUGHN:
5
                 Okay. Doctor, are you aware
           Ο.
6
   how to convert parts per million into
7
   micrograms or nanograms in a pill?
8
                 Yes, it's based on the
           Α.
9
   milligram strength of the tablet that
10
   that's calculated.
11
           Q. And how many nanograms --
12
   are you aware of how many nanograms are
13
   in a milligram?
14
           Α.
                 Yes.
15
           0.
                 How many?
16
                 A milligram has a thousand
           Α.
17
   micrograms, which also has a thousand
18
   nanograms per microgram. So that would
19
   be about a million.
20
                 It would be one million?
           0.
21
           Α.
                 Yes.
22
                 And so for every milligram
           Ο.
23
   of valsartan, there would be 120
```

nanograms of NDMA; is that correct?

24

```
1
                 I think that's the correct
           Α.
2
   calculation.
3
                 MR. VAUGHN: Tyler, can you
4
           pull a calculator up for us.
5
   BY MR. VAUGHN:
6
              All right. And how --
7
   before we do this, 20 micrograms, how
8
   many nanograms would that be?
9
                 20,000.
           Α.
10
                 20,000. Okay. So let's
           Ο.
11
   take the 120 -- let me see if I can --
12
   there we go. 120 was the parts per
13
   million. Oh, didn't want that to happen.
14
                 120.
15
                 And what's the largest dose
16
   of valsartan?
17
              320 milligrams.
           Α.
18
           Ο.
                One second.
19
                 120 times -- did you say 320
20
   was the largest?
21
           Α.
                 Correct.
22
                 MR. VAUGHN: Hey, Tyler, can
23
           you try and control this? It's
24
           not working for me.
```

```
1
                 TRIAL TECH: Yeah, I think
2
           you just need to clear -- do it
3
           120.
4
                 MR. VAUGHN: Times 320.
5
                 TRIAL TECH: Where is --
6
           okay, now it's clear. 120 times
7
           320.
8
                 There you go.
9
   BY MR. VAUGHN:
10
                 That's 38,400 nanograms,
           Ο.
11
   correct, Doctor?
12
           Α.
                 Yes.
13
                 And how many micrograms
           Q.
14
   would that be?
15
           Α.
                 38.4.
16
                 And would you agree with me
           0.
17
   that's approximately twice as high as any
18
   of the levels the FDA identified?
19
                 MS. THOMPSON: Objection.
20
           Form.
21
                 THE WITNESS: Yeah, so I'm
22
           guessing that was ZHP's own
23
           analysis and not the FDA's
24
           analysis where that separate range
```

1 of 120 came from. 2 BY MR. VAUGHN: 3 Did you review ZHP's 0. 4 analysis? 5 I think it's -- I think it's Α. 6 in my reliance documents. 7 Okay. Do you remember any Ο. 8 levels higher than 120 parts per million 9 in ZHP's analysis? 10 I do not. Α. 11 If you -- if I can just 12 expand a little bit. If I recall, ZHP's 13 analysis was based on the parts per 14 million of their API, which would be the 15 small amount of milligrams of the active 16 ingredient. 17 So I don't know if that 18 changes the calculation or not. 19 Can you explain to me what Ο. API is? 20 21 The active ingredient. Α. 22 And what's the active Ο. 23 ingredient in valsartan?

Valsartan.

Α.

24

```
1
           Q.
                 Okay. And the final pill,
   what is it made up of besides valsartan
   and sometimes NDMA and NDEA?
4
                 MS. THOMPSON: Objection to
5
           form.
6
                 THE WITNESS: Off the top of
7
           my head, I don't know that
8
           exactly. But I can tell you,
9
           having been trained in pharmacy,
10
           that it will have all kinds of
11
           binders and other excipients and
12
           lubricants so it flows through
13
           machines when they make the
14
           tablets and that kind of thing.
15
   BY MR. VAUGHN:
16
                 So a 320-milligram valsartan
           Ο.
17
   pill will have 320 milligrams of
18
   valsartan in it, correct?
19
           Α.
                 Correct.
20
                 But will the total pill be
           Q.
21
   more than 320 milligrams because it has
22
   other constituents in it?
23
           Α.
                 It would have to be.
24
                 Okay. And so if ZHP's parts
           Q.
```

- <sup>1</sup> per million is on the API of valsartan,
- <sup>2</sup> how does that make a difference now when
- we're going to the final pill if there's
- 4 still 320 milligrams in there?
- <sup>5</sup> A. It's part of the same part
- 6 per million calculation. But I don't
- <sup>7</sup> know how that changes when the API gets
- <sup>8</sup> incorporated in -- into the tablet.
- 9 O. Okay. But the final tablet
- would be more than 320 milligrams, right?
- A. Right.
- Q. Do you have any idea, like,
- 13 as a pharmacist, on average, what
- 14 percentage of a pill is filler?
- 15 A. I haven't looked at that
- 16 kind of calculation in, gosh, years,
- because it's never really been called
- into question or needed to be known.
- Q. And so, in forming your
- opinions in this litigation, you didn't
- 21 consider the amount of filler in
- valsartan, correct?
- MS. THOMPSON: Objection to
- $^{24}$  form.

```
1
                 THE WITNESS: Correct.
2
                 Sorry.
3
                 I did not.
4
   BY MR. VAUGHN:
5
                 If someone were to test a
           0.
6
   pill, the parts per million would be
7
   lower than if they tested the API,
8
   correct?
9
                 You know, at this point I'm
10
   not sure, because if it's based on the
11
   320 milligrams of active ingredient,
12
   seems like the calculation would still be
13
   the same.
14
               Well, let's say a pill is
           Ο.
15
   640 milligrams but only half of that is
16
   valsartan, would that not half the parts
17
   per million of the NDMA in the pill?
18
                 MS. THOMPSON: Objection to
19
           form.
20
                 THE WITNESS: If you based
21
           it on the weight of the actual
22
           pill instead of based on the
23
           active ingredient.
24
   BY MR. VAUGHN:
```

```
1
                 And if you didn't know the
2
   percentages of what the filler were, what
   would you -- how could you base it on
4
   anything but the entire pill's weight?
5
                 Well, I think the issue at
           Α.
6
   hand was the part per million of the
7
   valsartan and not the ppm based on any of
8
   the excipients or anything else.
9
                 So would you agree with me
10
   that it would be more appropriate to use
11
   the ppm of the API than the final
12
   product?
13
                 I would agree with that.
           Α.
14
                 MR. VAUGHN: Tyler, can we
15
           go back to --
16
                 Can you guys all hear me?
17
           It says my connection is unstable
18
           right now.
19
                 Okay. Tyler can we go back
20
           to Page 6 of this expert report.
21
                 Zoom out a little bit.
22
   BY MR. VAUGHN:
23
                 You note here, the fourth
           Ο.
24
   column on the right, midpoint --
```

```
1
                 MR. VAUGHN: And if we go to
2
           the next page, Tyler.
3
   BY MR. VAUGHN:
4
                 It looks like you calculated
           0.
5
   the midpoint of the contamination; is
6
   that correct?
7
                 MS. THOMPSON: Objection to
8
           form.
9
                 THE WITNESS: Correct.
10
   BY MR. VAUGHN:
11
                Why did you calculate the
           0.
12
   midpoint?
13
                 It was an attempt to try to
           Α.
14
   represent that it would be unlikely over
15
   the three to four or whatever years of
16
   exposure at the time that these
17
   impurities were known to be in valsartan
18
   tablets that someone would take the exact
19
   same lot for the entire period of time
20
   that they were on that particular dose of
21
   valsartan.
22
                 And so they would have, even
23
   within the same manufacturer, probable
24
   exposure to a different lot that had a
```

- 1 different amount and/or be switched over,
- <sup>2</sup> depending on what the pharmacy was
- <sup>3</sup> carrying at the time, to another product
- <sup>4</sup> that had a different amount.
- 5 So it was really just to try
- <sup>6</sup> to give an idea. You have the lowest
- <sup>7</sup> amount that could be found, which in many
- 8 cases was below the lower limits of
- <sup>9</sup> detection, and the highest amount that
- was found in one of the products.
- But the reality is that an
- 12 exposure value might actually be
- 13 something that's more of a midpoint.
- Q. Do you know how the FDA came
- <sup>15</sup> to these results?
- 16 A. In terms of the analytical
- 17 process?
- Q. Yeah. I mean, were they
- 19 testing the whole pill or were they
- 20 testing the API?
- A. I think they could only have
- 22 been testing the full pill.
- Q. And have you seen any
- 24 evidence that the FDA considered how much

```
1
   filler is in the pill?
2
                 I've not seen that anywhere.
           Α.
3
                 A midpoint isn't the same as
           Ο.
4
   the average, correct?
5
           Α.
                 Correct. Which is why I did
6
   not put the average in there, is you
7
   don't have the raw data to be able to
8
   accurately calculate an average.
9
                 Did the defense attorneys
10
   not provide you the raw data?
11
                 MS. THOMPSON: Objection.
12
           Form.
13
                 THE WITNESS:
                                No.
                                      I went
14
           off this, which was the FDA's
15
           report. And if you don't have
16
           each individual result for each
17
           individual tablet, and you just
18
           have an upper and lower limit of
19
           the range, you can't guesstimate
20
           how many that was involving to be
21
           able to calculate statistically an
22
           average.
23
   BY MR. VAUGHN:
24
                 Did you not ask defense
           Q.
```

```
1
   counsel to provide you all the levels of
   the internal testing?
3
                 MS. THOMPSON: Objection.
4
          Form.
5
                 THE WITNESS: I did not.
6
   BY MR. VAUGHN:
7
          0.
                Why?
8
                I had no reason to.
          Α.
9
                I'm sorry. You didn't
           0.
10
   consider any of the internal testing in
11
   forming your opinions?
12
                 MS. THOMPSON: Objection.
13
          Form.
14
                 THE WITNESS: No. That is
15
           in my report. It is what I
16
          considered for the amounts
17
           contained in the valsartan
18
          products.
19
   BY MR. VAUGHN:
20
          Q. What if the internal testing
21
   shows much higher levels than this?
22
                 MS. THOMPSON: Objection.
23
          Form.
24
                 THE WITNESS: I don't have
```

```
1
           that data, so I don't know.
2
   BY MR. VAUGHN:
3
           Q. So your opinions won't apply
4
   to it if the -- to the levels if they
5
   were higher than what's in the FDA's?
6
              It would depend how much
7
   higher. And I'd have to see them.
8
                 How much higher?
           Q.
9
           Α.
                 I don't know. I'd have to
10
   see it.
11
           Q. You said it depends on how
   much higher. At what point does it
12
13
   matter?
14
                 MS. THOMPSON: Objection.
15
           Form.
16
                 THE WITNESS: Again, I'd
17
           have to see them and then be able
18
           to make that determination.
19
   BY MR. VAUGHN:
20
                 Is there any level that
           Ο.
21
   you're going to say is unacceptable?
22
                 MS. THOMPSON: Objection
23
           form.
24
                 THE WITNESS: I believe
```

```
1
           you've asked that, and my answer
2
           was I don't think there is a level
3
           that I can say is going to be
4
           related to cancer in humans,
5
           because we don't know that. We
6
           don't have that data.
7
   BY MR. VAUGHN:
8
           Q. So it's irrelevant to you
9
   how much NDMA is in valsartan?
10
                 MS. THOMPSON: Objection.
11
           Form. Mischaracterizes testimony.
12
                 THE WITNESS: Yeah, I don't
13
           think I ever used the word
14
           "irrelevant."
15
   BY MR. VAUGHN:
16
           Q. Is there any point when you
17
   would be concerned on the level of NDMA
18
   in valsartan?
19
                 MS. THOMPSON: Objection.
20
           Form.
21
                 THE WITNESS: Yeah, I don't
22
           really know what you're trying to
23
           get me to say or what you're
24
           really asking.
```

1 I'm concerned with the 2 amounts that I know, based on the 3 FDA's analysis, were in valsartan 4 tablets, and then comparing that 5 to the animal data, which is all 6 we have, to see if I believe that 7 this exceeded the metabolic 8 capacity -- and this is from a 9 pure pharmacokinetic drug 10 metabolism standpoint -- that has 11 been associated in animal studies 12 with not causing cancer. 13 So I wasn't looking to try 14 to establish an amount that would 15 cause cancer. So I don't have an 16 opinion on that. 17 BY MR. VAUGHN: 18 You weren't trying to figure Ο. 19 out how much NDMA it would take to cause 20 cancer in humans? 21 No. I was not. Α. 22 Q. Okay. And in forming your 23 opinions, you assumed that the FDA's 24 analysis is actually the highest levels

- of NDMA engineered in valsartan, correct?
  - MS. THOMPSON: Objection.
  - Form.
  - THE WITNESS: I did not
  - ssume that.
  - 6 BY MR. VAUGHN:
  - <sup>7</sup> Q. So you think that there
  - 8 might be actually higher levels than the
  - <sup>9</sup> FDA is aware of?
- MS. THOMPSON: Objection to
- 11 form.
- THE WITNESS: I don't know.
- This is what I had to go off of,
- based on the FDA's published data.
- <sup>15</sup> BY MR. VAUGHN:
- Q. So why is that not assuming
- the highest levels? You didn't even ask
- <sup>18</sup> for the internal data.
- A. I wasn't assuming anything.
- <sup>20</sup> I was evaluating what I had access to.
- Q. Doctor, were you initially
- retained for this litigation by Teva?
- A. No one from Teva has ever
- <sup>24</sup> contacted me.

- Q. Were you initially retained
- <sup>2</sup> for this litigation for every defendant
- or a specific defendant?
- <sup>4</sup> A. I think when I was
- <sup>5</sup> originally retained, the word Teva may
- 6 have been mentioned in some of those
- <sup>7</sup> early communications. But since then
- 8 there's never been any contact directly
- <sup>9</sup> with Teva at all.
- Q. Okay. So you do think you
- <sup>11</sup> might have initially been retained by
- 12 Teva?
- A. No. I didn't say that.
- 14 I've only been retained by
- 15 GT. And they may have mentioned that
- 16 Teva was one of the defendants in some of
- the earlier communication. But since
- then, I understand that there are other
- 19 defendants in this as well.
- Q. When were you initially
- 21 retained for this litigation?
- A. It was either right at the
- end of 2020 or the very early part of
- <sup>24</sup> 2021.

```
1
                 And approximately when did
   you become aware of all the other
   defendants?
4
                 I -- I don't have a date for
5
   that. Probably sometime in the spring.
6
                 MR. VAUGHN: Okay. Tyler,
7
           can we go to -- I think it's
8
           Exhibit B on my files. It's -- or
9
           exhibit -- one second. Yeah,
10
           Exhibit B of his expert report.
11
                 And then can we go to
12
           Page 10.
13
                 (Document marked for
14
           identification as Exhibit
15
           Bottorff-2.)
16
   BY MR. VAUGHN:
17
                All right. We'll see down
18
   here some Teva Bates numbers.
19
                 MR. VAUGHN: And then,
20
           Tyler, can we go to the next page.
21
   BY MR. VAUGHN:
22
           O. And then a bunch more Teva
23
   Bates numbers.
24
                 MR. VAUGHN: Next page,
```

```
1
          Tyler.
   BY MR. VAUGHN:
3
          O. A bunch more Teva.
4
                MR. VAUGHN: Next page.
5
   BY MR. VAUGHN:
6
          O. A bunch more Teva.
7
                MR. VAUGHN: Next page.
8
   BY MR. VAUGHN:
9
          0.
                All Teva again.
10
                MR. VAUGHN: Next page.
11
   BY MR. VAUGHN:
12
          O. And then there's two other
   Bates numbers here. There's HLL. Do you
13
14
   know what the HLL Bates numbers denote,
15
   Doctor?
16
          A. Is that on this screen that
17
   I'm looking at?
18
          Q. Yeah. The top right-hand
19
   corner. It's the only ones that didn't
20
   have a Teva Bates number. I didn't know
21
   if you knew what company's documents
22
   those two are.
23
                MS. THOMPSON: Objection.
24
          Form.
```

```
1
                 THE WITNESS: I -- I assume
2
          they're associated with what's on
3
          the left hand column.
4
   BY MR. VAUGHN:
5
          Q. Okay. So did you only
6
   review internal documents of Teva in this
   litigation?
8
                 MR. VAUGHN: Is that my
9
          internet or his that's messing up?
10
          He's frozen on my screen.
11
                 THE VIDEOGRAPHER: He looks
12
          frozen on my screen.
13
                 THE WITNESS: Oh, well.
14
                 THE VIDEOGRAPHER: Oh, yeah,
15
          he's back.
16
   BY MR. VAUGHN:
17
          Q. Okay. I'm sorry, Doctor.
18
   missed whatever your answer was.
19
                I didn't yet because you
          Α.
20
   said I was frozen so --
21
          Q. Okay.
22
          A. -- I didn't think you could
   hear me either.
23
24
          Q. I appreciate it.
```

- <sup>1</sup> A. In looking at these
- <sup>2</sup> documents, my recollection back then, I
- <sup>3</sup> think the first round of materials that
- <sup>4</sup> were provided to me were probably Teva
- <sup>5</sup> materials.
- 6 Q. Were any of those internal
- <sup>7</sup> testing by Teva?
- A. They may have been. I don't
- 9 recall specifically.
- 10 Q. You didn't review any other
- documents of any other defendant besides
- 12 Teva, did you?
- A. No, I don't believe so.
- Q. Why did you only review Teva
- 15 documents?
- A. The question that I was
- 17 specifically addressing didn't seem to be
- 18 as important to be looking at internal
- 19 documents for every single defendant as
- opposed to evaluating the literature for
- NDMA, NDEA metabolism and distribution.
- Q. Well, then why did you
- <sup>23</sup> review Teva documents?
- MS. THOMPSON: Objection.

```
1
           Form.
2
                 THE WITNESS: They were sent
3
           to me early on. And when I
4
           receive documents, I reviewed
5
           them.
6
   BY MR. VAUGHN:
7
           O. Are these the documents that
8
   you requested, or they just picked out
9
   documents and sent to you?
10
                 MS. THOMPSON: Objection to
11
           form.
12
                 THE WITNESS: I didn't
13
           request them, so they were somehow
14
           selected and sent to me.
15
                 MR. VAUGHN: We can take
16
           down the exhibit. I'm done with
17
           that for now.
18
   BY MR. VAUGHN:
19
          Q. Doctor, what degrees do you
20
   hold?
21
                 I hold a bachelor's degree
           Α.
22
   from Georgia Tech, and PharmD degree from
23
   the University of Kentucky.
24
           Q. You're a pharmacist?
```

- <sup>1</sup> A. Yes.
- Q. When you're filling a
- <sup>3</sup> medication for someone, what do you call
- 4 that person that you're filling the
- <sup>5</sup> medication for? For instance, are they
- <sup>6</sup> your client, a customer, a patient?
- A. Well, they would be a
- 8 patient in my reference. But that's not
- been what my career has been, is filling
- 10 prescriptions, that type of pharmacist.
- 11 Q. Have you ever filled a
- 12 prescription?
- 13 A. Yes.
- 0. When was the last time that
- 15 you did that?
- A. Probably the summer of 1982.
- Q. If you're not filling
- 18 prescriptions, what is it that you do for
- 19 work?
- A. My career has always been in
- <sup>21</sup> academic pharmacy. So certainly teaching
- has been part of that. But as part of
- that, I had a clinical practice where I
- <sup>24</sup> rounded with an interdisciplinary

- 1 cardiology team on inpatients at academic
- <sup>2</sup> medical centers for 35 years.
- <sup>3</sup> Q. What do you currently do for
- 4 work?
- 5 A. What I thought was going to
- <sup>6</sup> be a semi-state of retirement has turned
- out to be almost full-time, because I'm
- 8 continuing to teach for my most recent
- 9 academic appointment at Manchester
- <sup>10</sup> University in Fort Wayne, Indiana. And
- 11 certainly Covid has allowed a lot of
- online teaching to be done, so I didn't
- have to be in Indiana all the time to do
- $^{14}$  that.
- And then I -- the position
- that I was in prior to that was in
- 17 Knoxville at South College. And I'm now
- 18 chair of their independent research
- 19 committee.
- And then most recently I've
- 21 been added to the adjunct faculty the
- <sup>22</sup> University of Cincinnati where I used to
- teach for 20 years to be involved in
- their online Masters in pharmacogenomics

- 1 program.
- Q. At Manchester University,
- <sup>3</sup> are you a professor or an adjunct
- 4 professor?
- A. As of last August, I am
- 6 adjunct. And I was professor for five
- years prior to that.
- Q. What is an adjunct
- 9 professor? What's the difference of that
- and a professor?
- A. A pay cut basically.
- You know, going to some more
- of what would be called a part-time
- 14 status. Still paid, but part-time
- 15 status.
- Q. Okay. How many hours a week
- are you -- do you devote to the adjunct
- 18 professor?
- A. For Manchester, probably 15.
- <sup>20</sup> For University of Cincinnati probably
- <sup>21</sup> five to ten depending on when things are
- being done that are -- or what I'm being
- 23 expected to do.
- Q. How many students do you

- <sup>1</sup> currently teach?
- A. There are roughly 65 in each
- 3 class of the four years of pharmacy
- <sup>4</sup> students at Manchester. And the online
- <sup>5</sup> genomics program has just started at
- 6 Cincinnati, so it is a smaller program.
- <sup>7</sup> I think it has like eight to ten.
- Q. Okay. What is
- 9 pharmacogenomics? Can you explain that?
- A. Yeah. It's the study of the
- 11 interaction between genetic alterations
- in drug metabolism or response and the
- <sup>13</sup> drugs that are being given to patients.
- So it is a component of sort
- of a common buzzword these days called
- 16 personalized medicine.
- O. And so is the focus on it
- 18 specifically pharmacological drugs, not
- 19 carcinogens?
- A. All drugs.
- Q. Are there drugs that are
- <sup>22</sup> carcinogens?
- <sup>23</sup> A. Yes.
- Q. Such as?

- 1 Α. Immunosuppressant drugs for 2 transplant patients have the ability to 3 induce cancers by blocking cancer sort of 4 surveillance systems. 5 How do they block cancer Ο. 6 surveillance systems? 7 They're immunosuppressants. Α. 8 And as part of the immune system is a 9 component of it that suppresses cancer 10 cells. 11 So would you agree with me 0. 12 that an immunosuppressant increases the 13 risk of one developing cancer? 14 Yep. That's been reported. Α. 15 MS. THOMPSON: Sorry, we 16 have a loud air conditioner. 17 Hopefully it will turn off soon. 18 MR. VAUGHN: I can't hear it 19 actually. 20 MS. THOMPSON: It's loud in
- MS. THOMPSON: It's loud in here.
- MR. VAUGHN: Can we go back to the expert report, Tyler.
- <sup>24</sup> Page 3.

- <sup>1</sup> BY MR. VAUGHN:
- Q. All right. You note during
- your career that you have served on
- 4 advisory boards and national speaking
- <sup>5</sup> bureaus for several pharmaceutical
- 6 companies that make sartans, including
- Merck -- should that be losartan?
- A. Yeah.
- 9 Q. And Bristol-Myers Squibb,
- <sup>10</sup> irbesartan, and Novartis, valsartan.
- Were those paid positions?
- 12 A. Yes. Being on speakers
- bureaus, you're asked to give
- 14 presentations and be paid for those when
- $^{15}$  you go.
- Q. Approximately in what years
- were you paid by these pharmaceutical
- 18 companies?
- A. Merck was the first sartan
- company on the market. So that would
- $^{21}$  have been maybe in the mid to late '90s,
- irbesartan sort of in the late '90s, and
- valsartan, late '90s early 2000. But I
- haven't been on the speaker bureaus for

- <sup>1</sup> over 20 years.
- Q. Have you done work for
- <sup>3</sup> pharmaceutical companies within the last
- <sup>4</sup> 20 years?
- <sup>5</sup> A. What do you mean by work?
- 6 Q. Have you been paid by
- 7 pharmaceutical companies in the last
- 8 20 years outside of litigation?
- <sup>9</sup> A. A little bit. You know, if
- 10 you keep up with what's happened in
- 11 pharma and speaker bureaus, there's
- 12 really been a pretty strict federal limit
- on what they used to do.
- So I am on a couple speaker
- bureaus now, But for neither one of those
- 16 companies have I given a talk in the last
- 18 months because they shut those down
- 18 for Covid.
- Q. Are there any other
- 20 pharmaceutical companies that make
- sartans that you have been paid by
- previously, besides the ones listed here?
- <sup>23</sup> A. No.
- Q. How many types of sartans

```
1
   are there?
2
                 Structural differences or in
           Α.
   that whole category of sartans, how many
4
   of them?
5
           0.
                 In the category of sartans.
6
                 I think there's eight or
           Α.
7
   nine.
8
           Q. Can you name off the ones
9
   that you recall?
10
                Oh, there's these three.
           Α.
11
   There's eprosartan. I'd have to look at
12
   a list. These are by far the more common
13
   used though.
14
           Q. The eight or nine types of
15
   sartans, how many have been found to have
16
   lots that are contaminated with NDMA or
17
   NDEA?
18
                 MS. THOMPSON: Objection.
19
           Form.
20
                 THE WITNESS: To my
21
           knowledge, these three. So I've
22
           not really looked into the other
23
           ones.
24
   BY MR. VAUGHN:
```

- Q. And so would you agree that
- <sup>2</sup> there are numerous sartans that are not
- 3 contaminated with NDMA or NDEA?
- A. I don't know about numerous,
- <sup>5</sup> but I think there's some.
- O. Do you think there's more
- <sup>7</sup> than there are that are contaminated?
- MS. THOMPSON: Objection to
- 9 form.
- THE WITNESS: I don't have a
- breakdown because I haven't looked
- at the other ones that much.
- 13 BY MR. VAUGHN:
- Q. Okay. So there's eight or
- <sup>15</sup> nine types of sartans, and at least three
- of them that you're aware of are
- contaminated with a carcinogen, correct?
- MS. THOMPSON: Objection to
- form.
- THE WITNESS: Correct.
- 21 BY MR. VAUGHN:
- Q. And you didn't consider the
- other sartans in forming your opinions in
- <sup>24</sup> this litigation, correct?

```
1
           Α.
                 Correct. I did not.
2
                 And so the whole
           Ο.
3
   risk/benefit analysis thing that you're
4
   talking about in your report, you didn't
5
   consider the fact that there's sartans on
6
   the market that aren't contaminated with
7
   a carcinogen?
8
                 MS. THOMPSON: Objection to
9
           form.
10
                 THE WITNESS: No. I would
11
           say that's part of my
12
           consideration, is that there were
13
           potential alternatives for these
14
           three.
15
   BY MR. VAUGHN:
16
                 How many pharmaceutical
17
   companies make sartans?
18
                 I quess now that many of
           Α.
19
   them are generic, there could be as many
20
   as two dozen. I don't know for sure.
21
                 How many pharmaceutical
           Q.
22
   companies make valsartan?
23
                 I don't have an exact
24
   number. I would say maybe as many as
```

```
1
   ten.
2
                Can you list off the name of
           Ο.
   all the defendants in this litigation?
4
                 MS. THOMPSON: Objection.
5
           Form.
6
   BY MR. VAUGHN:
7
          0.
                 Sorry. Let me rephrase
8
   that.
9
                 Can you list off all of the
10
   defendants who manufacture valsartan?
11
                 Well, I don't know if it's
12
   the same as what I used, which is the
13
   FDA's list of valsartan products
14
   containing the NDMA or NDEA. But I could
15
   read those off if that's what you would
16
   like.
17
                No. That's okay. But your
           0.
18
   opinion is that it doesn't matter the
19
   manufacturer, none of the levels of NDMA
20
   in valsartan are going to increase
21
   someone's risk of cancer?
22
                 MS. THOMPSON: Objection.
23
          Form.
24
                 THE WITNESS: Could you ask
```

```
1
           that again? I want to be sure I
2
           answer it right.
3
   BY MR. VAUGHN:
4
                 Is it your opinion that it
5
   doesn't matter who the manufacturer is of
6
   the generic valsartan that contains
7
   levels of NDMA; it's not going to
8
   increase someone's risk of cancer?
9
                 MS. THOMPSON: Objection.
10
           Form.
11
                 THE WITNESS: The
12
          manufacturer played no role in any
13
           of my analyses. It was only the
14
           amount of NDMA or NDEA that
15
           factored into my analyses.
16
   BY MR. VAUGHN:
17
           Q. But you -- so the amount of
18
   NDMA did factor into your analysis, but
19
   you're not sure if you're aware of the
20
   highest levels, correct?
21
                 I'm sure that no one knows
           Α.
22
   what the highest levels would be.
23
                 Would you want to know if
           Ο.
24
   there are levels higher than you're
```

```
1
   aware -- than you are aware of in your
   report?
3
                 MS. THOMPSON: Objection to
4
           form.
5
                 THE WITNESS: I suppose.
                                             Ιf
6
           I had that, I could redo my
7
           calculations and my opinions.
                                           But
           this is what I worked off of.
8
9
   BY MR. VAUGHN:
10
                 If defense counsel was aware
           Ο.
11
   of levels higher than what you worked off
12
   of, do you think that they would have
13
   given you that information?
14
                 MS. THOMPSON: Objection.
15
           Form. Calls for speculation.
16
                 THE WITNESS: I have no
17
           idea.
18
   BY MR. VAUGHN:
19
           Q. Would you have expected them
20
   to give you that information?
21
                 MS. THOMPSON: Same
22
           objection.
23
                 THE WITNESS: I quess so.
24
   BY MR. VAUGHN:
```

1 O. Is there a brand name of 2 valsartan? 3 A. Diovan. 4 Q. Can you say that again? The audio broke -- cut out. 6 A. I'm sorry. The originator 7 was --8 Q. I'm not -- you're frozen and 9 no audio. 10 Α. Hmm. 11 Q. Oh, you're back. 12 A. Okay. The originator was 13 Diovan with Novartis. 14 Is that still on the market? Ο. 15 Α. I think so. 16 And are you aware if the 0. 17 brand name Diovan has NDMA or NDEA in it? 18 MS. THOMPSON: Objection. 19 Form. 20 THE WITNESS: I'm not aware 21 specifically. 22 BY MR. VAUGHN: 23 Q. And so you're not aware if it's ever had it in it?

- A. I am not. And I know a lot
- of times what the originators do when the
- 3 drug goes generic, is they either stop it
- 4 totally or they actually get generic drug
- <sup>5</sup> from somebody else and make their own
- <sup>6</sup> generic. And I don't know specifically
- <sup>7</sup> if they've done that or not.
- 9 Q. You haven't looked into that
- <sup>9</sup> in this litigation, did you?
- A. I did not.
- 11 Q. So you have no idea if the
- brand name has always been completely
- 13 clean of carcinogens?
- MS. THOMPSON: Objection.
- <sup>15</sup> Form.
- THE WITNESS: I -- I don't
- know that anybody knows that.
- <sup>18</sup> BY MR. VAUGHN:
- Q. Do you know if the
- manufacturing process is different?
- A. I didn't look into that. So
- 22 I don't.
- Q. So as a nurse I have an
- <sup>24</sup> ethical obligation -- had an ethical

```
1
   obligation to patients. And, you know, a
   doctor has an patient relationship, and
   that carries certain ethical obligations.
4
                 Are there similar type
5
   ethical obligations a pharmacist has to
6
   the person whose medication they are
7
   filling?
8
                 MS. KAPKE: This is Kara
9
          Kapke. Object to form.
10
                 MR. VAUGHN: Are you all --
11
           I'm sorry.
12
                 Are all the defense
13
          attorneys going to be objecting or
14
           just one of them?
15
                 MS. THOMPSON: She, I
16
          believe, represents a retailer
17
          who's not part of the manufacturer
18
           group.
                   So --
19
                 MR. VAUGHN: Okay. I
20
          appreciate the clarification.
21
   BY MR. VAUGHN:
22
          Q. So, Doctor, do pharmacists
23
   have any type of ethical obligations to
24
   the person whose medication they are
```

```
1
   filling?
2
                 Every professional has an
           Α.
   ethical obligation.
4
                 Can you go over -- go ahead.
           Ο.
5
                 It's part of the definition
           Α.
6
   of being a professional.
7
               Can you go through some of
8
   those ethical obligations with me that a
   pharmacist would have to a person who's
10
   filling their medication?
11
                 MS. KAPKE: Object to form.
12
                 MS. THOMPSON:
                                 Same
13
           objection.
14
                 THE WITNESS: Following the
15
           laws, you know, being honest,
16
           accurate. I'm not sure what
17
           you're getting at.
18
   BY MR. VAUGHN:
19
                 Is informed consent part of
           0.
20
   the relationship a pharmacist has with a
21
   patient?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS:
                                In my
```

```
1
          professional career, informed
2
           consent is a document in a
3
           clinical trial that a patient
4
           signs that they understand and
5
           have been aware of the risks and
6
           the benefits of being involved in
7
           that clinical trial.
8
                 So I don't -- I don't see
9
           informed consent in what my daily
10
          practice was, in that term.
11
   BY MR. VAUGHN:
12
                 Is that because you don't
13
   actually fill medications for patients?
14
                 I wouldn't say it's for that
15
   reason. That's just not how informed
16
   consent is used.
17
           Q. Do you not discuss informed
18
   consent at all with your pharmacy
19
   students?
20
                 In courses where I've taught
21
   the process of conducting clinical trials
22
   I have.
23
                If a pharmacist is aware
24
   that one medication contains a carcinogen
```

```
and another version of that same
1
   medication does not contain a carcinogen,
   should they warn the patient about that?
4
                 MS. KAPKE: Object to form.
5
                 THE WITNESS:
                                I mean, again,
6
           in your hypothetical, you're
7
           supposing that they know this.
8
           And so if someone were to ask me
9
           or you in your former practice --
10
           you said you were a nurse?
11
   BY MR. VAUGHN:
12
           0.
                Correct.
13
                 In your hypothetical that
           Α.
14
   you had two compounds, one that was a
15
   known carcinogen, which is not what we're
16
   talking about here, and one that was, and
17
   one that wasn't, you know, would you go
18
   ahead and give them the one that was? I
19
   mean, I don't think anybody would answer
20
   that they would do that.
21
                What about probable
           Q.
22
   carcinogen?
23
                 MS. THOMPSON: Objection to
24
           form.
```

```
1
                 THE WITNESS: You know, I
2
           don't -- I don't know that that
3
           changes.
4
                 I think I would have to look
5
           at the data to see if I agreed
6
           with it.
7
   BY MR. VAUGHN:
8
           Q. What if a top cancer
9
   researcher is the one that thinks that
10
   the levels are high enough to increase
11
   someone's risk of cancer?
12
                 Would you as a pharmacist
13
   defer to a top cancer researcher?
14
                 MS. THOMPSON: Objection.
15
           Form.
16
                 THE WITNESS: Again, I
17
           haven't practiced in a drug store
18
           setting in over probably 35 years.
19
           So I don't know how that
           information, if it were available,
20
21
           would actually reach the
22
           individual everyday practicing
23
           pharmacist.
24
   BY MR. VAUGHN:
```

```
1
                 So you don't know if there's
           Ο.
2
   a computer system that, like, notifies
   the pharmacist, hey, this drug has a
4
   carcinogen in it?
5
                 MS. KAPKE: Object to form.
6
                 THE WITNESS:
                                Sorry. I'm
7
           pretty sure that's not the case.
8
   BY MR. VAUGHN:
9
                 Okay. If you were aware of
10
   literature that said the amount of a
11
   carcinogen in a medication would increase
12
   the risk of someone developing cancer,
13
   would you let the patient know that?
14
                 MS. KAPKE: Object to form.
15
                 MS. THOMPSON:
                                 Same
16
           objection.
17
                 THE WITNESS: Well, it's not
18
           done at that level where that
           responsibility is put in the hands
19
20
           of an individual practicing
21
           pharmacist.
22
                 Those decisions get made at
23
           corporate levels or at regulatory
24
           levels, not at the -- not at the
```

```
1
           level of an individual practicing
2
           pharmacist.
   BY MR. VAUGHN:
4
                 What do you mean by
           Ο.
5
   corporate level?
6
                 Well --
           Α.
7
                              Sorry, Doctor.
                 MS. KAPKE:
8
           This is Kara Kapke again. I'm
9
           just going to interpose an
10
           objection to this entire line of
11
           questioning. This witness has
12
           been designated on general
13
           causation issues, not liability
14
           issues.
15
                 And there's been an
16
           agreement that the experts at this
17
           stage of the litigation are only
18
           testifying and will only be
19
           questioned about liability
20
           issues -- or only about causation
21
           issues, and they will not be asked
22
           about for -- designated on
23
           liability issues.
24
                 And so I think this entire
```

1 line of questioning is improper 2 and in violation of the agreement 3 that has been made and -- among 4 the plaintiff and defendants. 5 MR. VAUGHN: I note your 6 objection. And just for the 7 record I'm trying to fully explore 8 the opinions that are within his 9 expert report. 10 Tyler, can we go back to his 11 expert report, I guess. Let's go 12 to Page 21. 13 MS. THOMPSON: And on that 14 line, I mean, if you're going to 15 talk about specific items in his 16 report, that's fine. But I don't 17 think anything about the ethical 18 obligations of a dispensing 19 pharmacist is in the report. 20 MR. VAUGHN: That's fine. 21 BY MR. VAUGHN: 22 Here in the bottom of that Ο. 23 first paragraph, you note the risks --24 you need to balance the risks and

```
1
   benefits, that being the cornerstone --
2
                 MR. VAUGHN:
                               Sorry, one
3
           above that, Tyler. Yeah, the very
4
           last sentence there.
5
   BY MR. VAUGHN:
6
           O. "The balance of risk/benefit
7
   is the cornerstone of therapeutic
8
   decisionmaking."
9
                 That sounded a whole lot
10
   like -- to me like informed consent.
11
                 Does that not sound like
12
   informed consent to you, Doctor?
13
                 MS. THOMPSON: Objection.
14
           Form.
15
                 THE WITNESS: No. As I said
16
           before, informed consent is -- in
17
           my professional experience, has
18
           been used in the context of
19
           enrolling a patient in a clinical
20
           trial where there's a consent form
21
           that they're asked -- that has to
22
           be approved by an investigational
23
           review board.
24
                 And that's -- I'm not sure
```

```
1
          that we're using the same
2
          terminology on what informed
3
          consent is.
4
   BY MR. VAUGHN:
5
                Okay. And so that informed
          Ο.
6
   consent that you're talking about in a
7
   clinical trial, what all does it have to
8
   disclose?
9
                 The procedures of the study,
10
   the amount that they're being reimbursed
11
   for participating in the trial, the
12
   nature of the drug, whether it's
13
   experimental or not, those kind of
14
   things.
15
                 What does that have to --
16
   this says decisionmaking, though, in your
17
   opinion. What does what you just said
18
   have to do with decisionmaking?
19
                 It doesn't. That's why I
          Α.
20
   was saying the use of the term "informed
21
   consent" is not what this is.
22
          Q. Okay. So you don't think
23
   that a patient needs to be aware of all
   risks and all benefits in order to obtain
24
```

```
informed consent?
1
2
                 MS. KAPKE: Object to form.
3
                 MS. THOMPSON: Object to
4
           form.
5
                 THE WITNESS: Again,
6
           therapeutic decisionmaking is
7
           different from informed consent.
8
           So I think that you're using it in
9
           a context that it's not typically
10
           used in.
11
   BY MR. VAUGHN:
12
                 Okay. So for therapeutic
13
   decisionmaking when we're talking about
14
   the risk and the benefit, would one of
15
   the risks be what the level of NDMA is in
16
   a pill?
17
                 I think you would have to
18
   assess that risk and decide if there was
19
   one or not.
20
                 What other risk -- when
           Ο.
21
   taking valsartan, besides how much of a
22
   carcinogen in it, what are the other
23
   risks that would go into this therapeutic
24
   decisionmaking?
```

1 Well, first let me -- let me Α. 2 say that using the term "carcinogen" is -- it's a probable carcinogen in 4 humans. We don't have the data that it's 5 a for sure carcinogen. 6 Drugs have all kinds of 7 risks, particularly sartans. Hyperkalemia, hypotension, renal 8 9 dysfunction. So the -- a rare case of 10 angioedema. 11 Those are the kind of risks that you typically consider when you're 12 13 talking about therapeutic decisionmaking. 14 Of those potential 15 complications that you just listed, would 16 you consider any of those or the 17 development of cancer to be a bigger risk 18 to the patient? 19 MS. THOMPSON: Objection. 20 Form. 21 THE WITNESS: Well, if the 22 question is, is cancer worse than hyperkalemia, then I would say 23 24 yes, it is.

```
1
   BY MR. VAUGHN:
2
                 And so would it not be very
           Ο.
3
   important to know the levels of a
4
   potential carcinogen in a medication and
5
   evaluating the risk/benefit of that
6
   medication?
7
                 MS. THOMPSON: Objection.
8
                 MS. KAPKE: Object to form.
9
                 THE WITNESS:
                                Yeah, again, I
10
           think we're getting back into this
11
           liability issue that is not what I
12
           was considering.
13
                 I was looking at the
14
           metabolism and distribution of
15
           NDMA and NDEA.
16
                 And by putting this comment
17
           in my statement or in my report,
18
           it was more to remind people that
19
           when the risk is unknown, which is
20
           what I consider it to be, unknown
21
           in humans, you also have to
22
           remember stopping drugs in
23
           patients is not without risk as
24
           well.
```

```
1
                 And that's been clearly
2
           identified in all of the FDA
3
           reports that I've seen.
4
   BY MR. VAUGHN:
5
                 Doctor, why are there no
           0.
6
   studies of NDMA in humans?
7
                 Why are there no studies?
           Α.
8
                Yeah.
           0.
9
           Α.
                 I'm not sure.
10
                 Would it be ethical to give
           0.
11
   humans NDMA and study what happens?
12
                 MS. THOMPSON: Objection.
13
           Form. Outside the scope.
14
                 THE WITNESS: I think it
15
           depends on the amount.
16
   BY MR. VAUGHN:
17
              So you think the regulatory
18
   agencies would approve a study on a
19
   probable carcinogen in humans?
20
                 MS. THOMPSON: Objection to
21
           form. Outside the scope.
22
                 THE WITNESS: Yeah, I don't
23
                  I think it would depend on
           know.
24
           the amount, but I don't know.
```

- <sup>1</sup> BY MR. VAUGHN:
- Q. Okay. So in forming your
- opinions, you did not consider any human
- 4 data regarding NDMA exposure, correct?
- <sup>5</sup> A. That is not correct.
- 6 Q. I thought you said that
- <sup>7</sup> there wasn't any.
- A. I think what I said is that
- <sup>9</sup> there were no data in humans showing that
- it was a carcinogen. Or --
- Q. What's -- go ahead.
- 12 A. I'm sorry. Or proving that
- 13 it was a carcinogen.
- Q. Okay. What studies did you
- 15 look at in humans then that you are
- opining didn't show it can cause cancer?
- Because I didn't see that in your expert
- 18 report.
- 19 A. Let's go to Pages 48 through
- <sup>20</sup> end of 55, 56.
- I did review the
- <sup>22</sup> epidemiology studies that have been done.
- Q. Okay.
- A. Either environmental or

- <sup>1</sup> dietary.
- And let me be clear about
- <sup>3</sup> what my reason for putting that in my
- 4 report was.
- I wasn't attempting to
- 6 individually critique or support any one
- <sup>7</sup> of these studies.
- The purpose of putting them
- 9 in my report is that I saw some plaintiff
- 10 experts that looked at these same data
- and were pretty confident in stating that
- 12 this proves that NDMA causes cancer.
- And I look at the same
- 14 data -- and again, without getting into
- 15 discussion of odds ratios and confidence
- limits, I didn't see a consistency in
- these data that led me to the same
- 18 conclusion.
- And so I thought it was
- worth putting in my report that two
- 21 people or more looking at these same data
- <sup>22</sup> might not necessarily draw the exact same
- <sup>23</sup> conclusion.
- Q. Would you agree that these

- 1 studies in humans at least identify what
- organs NDMA could impact, if it went
- 3 systemically?
- MS. THOMPSON: Objection.
- 5 Form.
- THE WITNESS: I don't think
- so. Because I don't think this
- 8 proves anything.
- 9 BY MR. VAUGHN:
- 10 Q. Why?
- 11 A. Because of their
- 12 inconsistency, some of their limitations
- that, again, that others have commented
- on. You know, if this proved that it was
- 15 definitely a human causing cancer
- 16 substance, then it would have had a
- different level of designation in the
- <sup>18</sup> IARC.
- Q. When you say prove, what
- level of evidence is that? Is that like
- $^{21}$  more likely than not, like 51 percent,
- <sup>22</sup> 75 percent? Do you have to get to
- <sup>23</sup> 100 percent to get to prove?
- A. I don't know. I don't have

```
1
   an opinion on that level.
2
                 My comment more is that
   there's inconsistency in the data. And
4
   so I don't know what that cut point ought
5
   to be.
6
           Q. So is it your opinion that
7
   every single study must say that NDMA
8
   causes cancer in order to prove that it
9
   causes cancer?
10
                 MS. THOMPSON: Objection.
11
           Form. Mischaracterizes.
12
                 THE WITNESS: Yeah, that's
13
          not what I'm saying.
14
                 What I'm saying is these
15
          data look inconsistent enough to
16
          me that I would not be willing to
17
           draw the conclusion that we have
18
          proof that NDMA causes cancer in
19
          humans based on these epidemiology
20
           trials and their limitations and
21
           their inconsistencies.
22
                 MR. VAUGHN: We've been
23
          going for a little over an hour.
24
           Now is a decent time for a break
```

```
1
           if you guys want to take one.
2
                 MS. THOMPSON: That's fine
3
           with us.
4
                 MR. VAUGHN: All right.
5
           Want to do --
6
                 THE VIDEOGRAPHER: The time
7
           right now is 10:06 a.m. We're off
8
           the record.
9
                 (Short break.)
10
                 THE VIDEOGRAPHER: The time
11
          right now is 10:20 a.m. We're
12
          back on the record.
13
   BY MR. VAUGHN:
14
           Q. Doctor, do you have any
15
   programs open on your computer except for
16
   Zoom?
17
           Α.
                 No.
18
                 And you'll keep it that way
           Ο.
19
   for the whole deposition?
20
           Α.
                Yes.
21
           Q. Great. A second ago you
22
   said human studies did not prove that
23
   NDMA causes cancer in humans. Doctor, do
   you agree, though, that at least some of
24
```

```
1
   the studies in humans where they gave
   them NDMA or where they were exposed to
   NDMA, that they found an association
4
   between increasing levels of NDMA and
5
   increasing rates of cancer?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: Yes, some of
9
           those studies did show a
           statistical association.
10
11
   BY MR. VAUGHN:
12
                 And was that cancer that
           0.
13
   they found an association with NDMA, was
14
   that specific to a certain organ?
15
           Α.
                 No.
16
                 What organs do you recall
17
   being associated with NDMA being able to
18
   incite cancer?
19
                 MS. THOMPSON: Objection.
20
           Form.
21
                 THE WITNESS: Well, again,
22
           the association was in differing
23
           studies, different organs. They
24
           looked at almost anything that you
```

- can imagine. So it's sort of all
- over the board.
- 3 BY MR. VAUGHN:
- 4 Q. There's a lot of organs that
- <sup>5</sup> NDMA is associated with causing cancer in
- 6 these studies?
- <sup>7</sup> A. There were many different
- 8 organs, yes. Again, I would add that it
- 9 wasn't necessarily within an individual
- organ that it was always consistent that
- 11 it did show the association.
- 12 Q. Doctor, your audio cut out
- <sup>13</sup> again.
- 14 A. I'm sorry. What I was
- adding was that in many cases when you
- 16 looked at a specific organ, for example,
- you might find inconsistent results that
- one study would find an association and
- <sup>19</sup> another study would not.
- Q. Doctor, how many hours did
- you spend in coming to your opinions
- within your expert report?
- A. I'd have to look at the two
- <sup>24</sup> invoices that I have sent. I'm guessing

```
1
   somewhere around 100 to 120, something
2
   like that.
3
                 MR. VAUGHN: Tyler, can we
4
           go back to Exhibit B of his expert
5
           report.
6
                 And can we go to the next
7
           page.
8
   BY MR. VAUGHN:
9
                 Doctor, can you read off the
10
   names of all plaintiff expert reports
11
   that you reviewed?
12
                 Not off the top of my head,
           Α.
13
   but I certainly did review these that you
14
   see on my list.
15
                 MS. THOMPSON: Here is the
16
           same thing in hardcopy. Sorry.
17
           It might be easier to read.
18
                 THE WITNESS: Yeah, so the
19
           ones that you see here, are the
20
           ones that I did look at.
21
   BY MR. VAUGHN:
22
           Q. Can you go ahead and read
23
   those off for me, aloud?
24
                 Etminan, Panigrahy, Hecht,
           Α.
```

- <sup>1</sup> Lagana, Madigan.
- Q. And then at the top of that
- 3 it says with exhibits. What does that
- 4 mean? Does that mean like their CV and
- <sup>5</sup> their materials considered?
- 6 A. CVs, not thoroughly, but
- <sup>7</sup> just to get an idea of what their
- <sup>8</sup> background was and where -- what
- 9 institutions they were in.
- And in reading the report,
- if there was a material that I thought
- was germane to what I was doing, that I
- might have looked at those too.
- Q. Did you consider the
- 15 experts' CV when you were critiquing
- their opinions?
- 17 A. In terms of their background
- or their institution they were in, or
- what part of the CV?
- Q. Any part of the CV?
- $^{21}$  A. No. No that was not apart
- of my critique is what their CV would
- <sup>23</sup> have been.
- Q. I'm not saying critiquing

- $^{1}$  their CV. I'm saying when you were
- <sup>2</sup> critiquing their opinions, did you
- <sup>3</sup> consider what their specialty and
- 4 background was?
- A. I mean, yes, I would have
- 6 considered it. I don't think it played
- <sup>7</sup> any role in what my critique was though.
- <sup>8</sup> Q. Okay. Did you review the
- 9 literature that each plaintiff expert
- 10 relied on?
- A. Not all.
- Q. Approximately how much of it
- 13 did you review?
- A. I think it might have
- depended on who it was, but I relied
- 16 mostly on their report and not on the
- materials that they used to derive their
- 18 report.
- So 20 percent, if I felt it
- was germane to what I was interested in.
- Q. But you didn't consider all
- of the citations that plaintiffs' experts
- used to support their opinions?
- MS. THOMPSON: Objection.

```
1
           Form.
2
                 THE WITNESS: No, I did not.
   BY MR. VAUGHN:
4
          Q. Was there one expert report
5
   that you focused on more than the others?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: No, not
9
          really. I sort of gave them equal
10
          weight and time relative to how
11
          big they were and how detailed
12
          they were.
13
   BY MR. VAUGHN:
14
          Q. Do you recall which one was
15
   the largest expert report?
16
                 Not exactly. My best
           Α.
17
   recollection was Panigrahy, but I can't
18
   swear to that.
19
          Q. Do you recall approximately
20
   how many pieces of literature
21
   Dr. Panigrahy relied on?
22
          A. I don't recall at all.
23
                 So you don't know if it was
           0.
24
   100, 200, 500 articles?
```

```
1
           Α.
                 I do not.
2
                 If you reviewed the article
           Ο.
3
   that a plaintiffs expert relied on, would
4
   that appear on your materials considered
5
   list?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: If it was
9
           included in the exhibits, which is
10
           what this says is my reliance
11
           document, then I would have had
12
           it.
13
   BY MR. VAUGHN:
14
              Well, if the exhibit just
15
   gave the names of the studies and didn't
16
   actually have the study itself there, did
17
   you go and find that study to look at?
18
                 If there was a study I had
19
   interest in, I wouldn't have had any
20
   assessment on it based on just reading
21
   the title of the study. I would have
22
   pulled the study if I felt like I needed
23
   to look in.
24
                 And then would that -- would
           Ο.
```

- 1 you have then included that on your
- <sup>2</sup> materials considered list in the area
- 3 that lists all the different studies that
- 4 you reviewed?
- <sup>5</sup> A. Not always.
- Q. Why not?
- A. If it ended up not being
- 8 something that was used to form my
- 9 opinions, then I didn't feel it was
- 10 relevant to put in my reliance list.
- 11 Q. And so you didn't even
- 12 critique the literature that plaintiffs'
- experts relied on? It just wasn't
- 14 relevant to you?
- MS. THOMPSON: Objection to
- form. Mischaracterizes.
- THE WITNESS: Yeah, I didn't
- say it was irrelevant.
- When I looked at them, I
- would have decided if it was
- relevant or irrelevant to what I
- was doing.
- 23 BY MR. VAUGHN:
- Q. Okay. How many deposition

- <sup>1</sup> transcripts with exhibits did you review
- <sup>2</sup> in forming your opinions?
- A. The ones that are listed
- 4 here.
- 5 O. And were each of those
- <sup>6</sup> transcripts several hundred pages?
- <sup>7</sup> A. Yes.
- 8 Q. Who is this Daniel Barreto?
- 9 I don't know if I'm saying the names
- 10 right.
- 11 A. I have to look at my notes.
- 12 He might have been a Teva employee.
- Q. Do you know what any of
- these depositions you reviewed -- can you
- tell me who any of them are or who they
- 16 work for?
- 17 A. The one that I remember the
- 18 most because it -- was Nudelman. I know
- 19 he was a Teva employee involved in sort
- of risk assessment or something like
- $^{21}$  that.
- Q. Why did you -- why were
- these the transcripts you decided to
- <sup>24</sup> review out of all the depositions that

- 1 have been taken in this litigation?
- A. These were the ones that I
- 3 received from counsel.
- 4 Q. So counsel determined what
- <sup>5</sup> you reviewed?
- MS. THOMPSON: Objection.
- <sup>7</sup> Form. Mischaracterizes.
- THE WITNESS: No. Counsel
- does not determine what I review.
- 10 BY MR. VAUGHN:
- 11 Q. Did you ask to review
- 12 certain depositions of people in certain
- 13 positions?
- <sup>14</sup> A. No.
- MR. VAUGHN: All right. Can
- we scroll down a little bit,
- Tyler, on this regulatory guidance
- and documents.
- <sup>19</sup> BY MR. VAUGHN:
- Q. I note there's over 40
- 21 regulatory guidances and documents on
- <sup>22</sup> your materials considered. How did you
- come into possession of all of these
- <sup>24</sup> regulatory guidelines?

- A. Where are we now?
- Q. Bottom of the page. So it
- 3 starts -- bottom of Page 1, and I think
- 4 it goes to -- two, three -- yeah, till
- <sup>5</sup> Page 3 is your regulatory guidance
- 6 documents.
- A. I'd say some of them came
- 8 from counsel as part of what were called
- 9 my initial documents for consideration.
- 10 And some of them were documents that I
- 11 already had because in the nature of my
- 12 normal day-to-day job responsibilities,
- as I try to keep track of what's going on
- with the drugs that I have an interest
- $^{15}$  in.
- So particularly, the FDA
- documents, most of those I had already.
- O. So you reviewed all these
- 19 guidance documents, correct?
- <sup>20</sup> A. Yes.
- Q. Did you have -- did you have
- 22 any disagreements with any of these
- <sup>23</sup> quidance documents?
- A. I mean, how many did you say

1 there were? 2 About 40. Ο. 3 Yeah, I can't recall 4 specifically if I would have had an 5 agreement within one or, you know, out of 6 all the things it would have been in all 7 the -- each of the individual 40 8 documents. So I don't recall that 9 specifically. 10 If you disagreed with the Ο. 11 regulatory guideline, would that be not 12 significant? 13 MS. THOMPSON: Object to 14 form. 15 THE WITNESS: I don't know 16 what significant would mean. 17 BY MR. VAUGHN: 18 I mean, would you not note Ο. 19 it in your expert report if you disagreed 20 with one of the regulatory guidance 21 documents? 22 Only, I suspect -- because I Α. 23 don't recall doing it. But only if I

suspect it would have altered one of my

24

```
1
   opinions.
2
                 Do you know if any of these
           Ο.
3
   regulatory guidance documents lay out the
4
   methodology in which you -- and how you
5
   convert animal exposure to NDMA to human
6
   exposure?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: There may have
10
           been areas that touched on that in
11
           some of these documents. But I
12
           don't recall specifically which
13
           ones and where.
14
   BY MR. VAUGHN:
15
           Q.
                 Would you agree that would
16
   be important for your methodology to
17
   follow what is laid out in the regulatory
18
   quidelines?
19
                 MS. THOMPSON: Objection.
20
           Form.
21
                                In terms of
                 THE WITNESS:
22
           forming my opinion on the
23
           distribution and metabolism of
24
           NDMA and NDEA?
```

- <sup>1</sup> BY MR. VAUGHN:
- Q. On the equivalent dose for a
- 3 human based on what an animal got.
- A. Well, that question
- <sup>5</sup> specifically has been addressed, not just
- <sup>6</sup> in regulatory documents, but in many,
- <sup>7</sup> many, many of the articles that I
- 8 reviewed in the animal studies.
- 9 And I don't recall ever
- 10 seeing a single one that did not list
- that as a limitation in expanding animal
- data to humans in this regard.
- Q. Did you give more weight to
- <sup>14</sup> an article or to a regulatory guidance?
- A. I guess I don't look at it
- in those terms, to say one is better than
- the other or stronger than the other.
- I would say that in general,
- 19 regulatory documents look at a variety of
- 20 clinical and/or animal situation or data
- $^{21}$  as opposed to one single study.
- So in a pure volume
- standpoint, there would probably be more
- $^{24}$  data reviewed in a regulatory document.

- 1 But that's not always the case.
- Q. If the regulatory document
- <sup>3</sup> laid out a different methodology than
- 4 some random study, which would you use?
- MS. THOMPSON: Objection.
- 6 Form.
- THE WITNESS: Which would I
- 8 use to do what with?
- 9 BY MR. VAUGHN:
- 10 Q. To convert the dose of NDMA
- 11 given to an animal, to the equivalent
- dose needed to give a human.
- A. Well, again, I'm not sure
- 14 exactly what you're asking. So maybe you
- $^{15}$  can rephrase it, and I'll try to do a
- better job of answering.
- Q. No. It was a bad question.
- 18 You're okay. We'll get to it here in a
- <sup>19</sup> little bit.
- <sup>20</sup> A. Okay.
- Q. Did you conduct your own
- literature review in forming your
- <sup>23</sup> opinions?
- $^{24}$  A. Yes.

- Q. Can you explain your
- <sup>2</sup> methodology on your literature review to
- $^{3}$  me?
- <sup>4</sup> A. Yeah. I'd be happy to.
- <sup>5</sup> Original communications
- <sup>6</sup> between counsel and myself, I was asked
- <sup>7</sup> to evaluate the metabolism and
- 8 distribution of NDMA and NDEA. And then
- <sup>9</sup> with a focus of -- in regards to the
- 10 amount that had been identified in the
- <sup>11</sup> valsartan tablets.
- And so after my original
- 13 review of documents that were provided in
- those initial files, one of those was
- <sup>15</sup> also the pleadings from plaintiffs.
- And even before I did my
- 17 literature search, I went through those
- 18 and tried to identify areas that were
- within my area of expertise, like
- bioequivalence, drug metabolism, and
- those types of things. So I sort of knew
- <sup>22</sup> what direction I was wanting to head.
- And then I went to PubMed
- <sup>24</sup> and started looking at articles relative

- $^{1}$  to NDMA and NDEA and metabolism,
- <sup>2</sup> dose-response, drug distribution.
- And then, in addition, I
- 4 looked at valsartan as well, just because
- <sup>5</sup> there seemed to be issues about whether
- <sup>6</sup> there was potential overlapping
- <sup>7</sup> metabolism between valsartan and the
- 8 impurity.
- 9 So I included valsartan,
- which pretty well characterized it, so it
- 11 didn't take too long anything that I
- 12 needed to find out about valsartan.
- MR. VAUGHN: Tyler, can we
- go to the next page of this
- reliance list, or materials
- considered. One more page.
- Sorry.
- <sup>18</sup> BY MR. VAUGHN:
- 19 Q. At the bottom -- yeah, so
- literature and standards down here. I
- 21 note that you have approximately 100,
- <sup>22</sup> 117 pieces of literature on here. Were
- you saying earlier that there's even more
- <sup>24</sup> literature than this that you reviewed,

- 1 you just didn't include it on your
- <sup>2</sup> materials considered?
- A. Yeah. I think there's more.
- 4 Sometimes I would look at an article that
- <sup>5</sup> I thought was relevant. And then one of
- 6 my additional things that I do, instead
- of just relying on my PubMed returns, is
- <sup>8</sup> I look at the references that are in that
- <sup>9</sup> article.
- And sometimes you find one
- 11 that you might not have found through a
- 12 PubMed search. And I decide whether that
- 13 adds additional information or is
- 14 supportive or -- so, yeah, there's other
- <sup>15</sup> articles beyond these that, in the course
- of the last four or five months, that I
- 17 looked at as well.
- Q. I mean, if you had to
- 19 estimate, how many more? Like twice as
- many, another dozen?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: I really can't
- give you a number. It could be

```
1
           between 25 and 75. I don't really
2
           recall.
3
   BY MR. VAUGHN:
4
                 So less than 200 articles in
           0.
5
   total you reviewed then?
6
                 Maybe. It could be more
7
   than that.
8
           Q. So you reviewed five expert
9
   reports with the exhibits, eight
10
   deposition transcripts with exhibits,
11
   over 40 regulatory documents, and
12
   approximately 200 pieces of literature
13
   and 100 or so internal documents, and you
14
   did all of that in 100 to 120 hours; is
15
   that correct?
16
                That is correct.
           Α.
17
                 Doctor, is this litigation
18
   the first time that you ever researched
19
   the carcinogenicity and potency of a
20
   probable human carcinogen?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: Probably the
24
           first time to this level of
```

```
1
           research. But the concepts here
2
           are essentially the same for any
3
           new drug or any grant that I
4
           submitted, where you have to be
5
           thorough in your approach to
6
           evaluating the literature,
7
           selecting that, that you think is
8
           relevant to what your question is
9
           that you're trying to answer.
10
                 And so I -- it's maybe the
11
           second time that I've looked at
12
           what would be a potential
13
           carcinogenic response to a drug.
14
           But it's not any different from
15
           what I've done for the last
16
           35 years.
17
   BY MR. VAUGHN:
18
                 I know you don't believe
           Ο.
19
   NDMA or NDEA to be human carcinogens.
20
   But would you agree with me that they are
21
   animal carcinogens?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS: Yes.
                                      There
```

```
1
           are numerous, numerous studies
2
           showing carcinogenicity in
3
           animals.
4
   BY MR. VAUGHN:
5
                Are you aware of any animal
           Ο.
6
   that NDMA is not a carcinogen in?
7
                 I didn't approach it looking
           Α.
8
   for one that escaped that. So I don't
9
         I'm not aware of any, I don't
   know.
10
   know.
11
           Q. So you didn't consider if
12
   every mammal ever tested with NDMA was
13
   found to -- scratch that. Let me re-ask
   the question.
14
15
                 So you didn't consider if
16
   every animal is susceptible to cancer
17
   formation when exposed to NDMA?
18
                 There's no opinion in my
           Α.
19
   report that was based on that, so no.
20
           0.
                 Is a human an animal?
21
           Α.
                 Yes.
22
                 I'm sorry. For the jury.
           0.
23
                 So if every animal -- ever
24
   mammal tested with NDMA was found to
```

1 increase their risk of cancer, would that not be relevant to your opinion on if NDMA is a human carcinogen also? 4 MS. THOMPSON: Objection. 5 Form. 6 THE WITNESS: No. And 7 again, I don't differ from the --8 from the IARC's designation that 9 this is a probable human 10 carcinogen. I don't disagree with 11 that assessment. 12 And as I said before, with 13 the availability and the 14 limitations of the epidemiology 15 studies that have been done, those 16 were available to IARC and they 17 still didn't change that 18 designation from probable. 19 So the number of animal 20 species that had cancer responses 21 to various, and I might add super 22 high doses relative to what we are 23 talking about with NDMA, that 24 didn't change anything for me.

```
1
   BY MR. VAUGHN:
2
           Q. So at least in regards to
   animals, NDMA and NDEA are the most
3
4
   potent carcinogens that you've ever
   investigated, correct?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: I mean,
9
           possibly, yes.
10
                 MR. VAUGHN: Tyler, can we
11
           go to Exhibit A of his expert
12
           report now.
13
                 I think this will be
14
           Exhibit 3 Tyler.
15
                 TRIAL TECH: Exhibit 3.
16
           Yes.
17
                 (Document marked for
18
           identification as Exhibit
19
           Bottorff-3.)
20
                 MR. VAUGHN: Thank you. Can
21
           we go to Page 11 of the PDF.
22
           Perfect.
23
   BY MR. VAUGHN:
24
                 Doctor. It looks like
           0.
```

- 1 you've been involved in many journals.
- What does it mean to be an editor of a
- <sup>3</sup> journal?
- <sup>4</sup> A. When researchers write
- <sup>5</sup> articles to be considered for
- <sup>6</sup> publication, they first go to an editor.
- <sup>7</sup> And the editor makes a preliminary
- 8 decision about whether it's worthy or not
- <sup>9</sup> of publication.
- And if they believe it is
- and within the scope of what that journal
- 12 focuses on, then they will send it out to
- individual reviewers to provide specific
- 14 comments on all of the methodology and
- 15 conclusions and statistics and so forth.
- So the editor level is sort
- of one step above the individual journal
- <sup>18</sup> article referees or reviewers.
- 19 Q. How do you become either a
- referee or an editor on a journal?
- A. Usually those journals will
- 22 call me. Often it's a journal that I've
- <sup>23</sup> published in several times already. And
- <sup>24</sup> based on your expertise and experience,

- <sup>1</sup> they will invite you to be an editor. So
- it's a selection, not something that you
- yolunteer for.
- <sup>4</sup> Q. So being an editor on a
- <sup>5</sup> journal is kind of a way of -- you've
- <sup>6</sup> been recognized as one of the leaders in
- <sup>7</sup> that area. Is that fair to say?
- <sup>8</sup> A. Very fair to say, correct.
- 9 Q. Have you ever worked --
- 10 sorry.
- Have you ever been an editor
- or reviewer or referee for a journal that
- has a focus on cancer?
- A. No. My focus has been
- 15 cardiovascular drugs, but again,
- 16 everything within the realm of those
- <sup>17</sup> drugs involving their pharmacology,
- pharmacokinetics, pharmacodynamics,
- 19 safety, efficacy.
- Q. So, you know, sometimes
- people publish in journals that's a
- 22 little outside of the scope of what their
- <sup>23</sup> article is on. Have you ever been
- involved in the peer review process of an

- <sup>1</sup> article on cancer, that was submitted for
- <sup>2</sup> publication?
- A. Gosh, you know, some of
- 4 these were 25 to 30 years ago, so I don't
- <sup>5</sup> recall. I know it wouldn't have been a
- 6 major area of the articles that I would
- <sup>7</sup> have been asked to review. But it's
- 8 possible there was something there. And
- 9 I didn't -- that I just don't recall.
- MR. VAUGHN: Tyler, can we
- go to Page 3 of this document, PDF
- <sup>12</sup> Page 3.
- 13 BY MR. VAUGHN:
- 0. I see there's like 141
- invited presentations that you listed.
- A. Is that all?
- Q. Right. Can you explain to
- me what invited presentations are?
- 19 A. Anywhere from -- yeah,
- because those are followed by scientific
- 21 presentations. So I've done about maybe
- <sup>22</sup> 1,500 internationally and nationally.
- I break them down into two
- <sup>24</sup> types. One would be if a professional

- 1 society, a local organization, a
- <sup>2</sup> pharmacist, physicians, nurses would ask
- $^{3}$  me to give a presentation, that would be
- <sup>4</sup> an invited presentation in that setting.
- 5 The other ones that are more
- <sup>6</sup> scientific are typically either
- <sup>7</sup> professional societies or places like
- 8 hospitals to do grand rounds and those
- <sup>9</sup> types of things.
- 10 Q. Of all these invited
- 11 presentations, of the 141 listed, do any
- of them relate to the carcinogenicity of
- <sup>13</sup> a substance?
- MS. THOMPSON: Object to
- 15 form.
- THE WITNESS: No, they all
- relate to the safety, efficacy,
- therapeutic decisionmaking, if you
- will, of cardiovascular drugs in
- general.
- 21 BY MR. VAUGHN:
- Q. You're noting the different
- types of people -- or organizations that
- would invite you to give these

- <sup>1</sup> presentations. Would any of them be
- pharmaceutical companies?
- A. No, I wouldn't have listed
- 4 those. And they usually don't invite
- 5 someone like me to just come in and give
- <sup>6</sup> a presentation. I have done, in
- <sup>7</sup> 40 years, maybe three or four of those.
- 8 But that's not a usual thing that
- <sup>9</sup> happens.
- 10 Q. Have you ever given a
- 11 presentation on behalf of a
- 12 pharmaceutical company?
- 13 A. Yes. And that gets back to
- the speaker bureau question that we had
- 15 earlier this morning.
- Q. And they pay you for those
- <sup>17</sup> presentations?
- A. Correct. And these
- 19 professionals societies, when they invite
- you, they pay you as well.
- Q. But, again, some of the
- presentations that you've given, you were
- hired by a pharmaceutical company, but
- <sup>24</sup> you weren't presenting to the

- pharmaceutical company, correct?
- A. Correct. I was presenting
- <sup>3</sup> to other healthcare professionals.
- Q. Do you disclose to them when
- <sup>5</sup> you are presenting, that you're hired to
- <sup>6</sup> present to them by the pharmaceutical
- 7 company?
- A. Yes. That's required.
- 9 O. And then under the
- 10 scientific presentations that you were
- 11 also talking about, do any of them relate
- to the carcinogenicity of a substance?
- A. No. I don't believe so.
- 14 They're all related again to the complete
- <sup>15</sup> profile and safety and efficacy and the
- therapeutic application of any
- 17 cardiovascular drug that I had an
- <sup>18</sup> interest in.
- MR. VAUGHN: Tyler, can we
- go to PDF Page 10.
- 21 BY MR. VAUGHN:
- Q. You list numerous awards
- spanning several decades. Do any of the
- <sup>24</sup> awards have anything to do with cancer

```
1
   research?
2
                 No. I don't do cancer
           Α.
3
   research.
4
                 Why don't you do cancer
           Ο.
5
   research?
6
                 My focus is on
7
   pharmacokinetics, pharmacodynamics, drug
8
   metabolism, drug interactions with
9
   cardiovascular drugs. And if cancer was
10
   part of that, then that's part of that.
11
   But --
12
                 So in general, would you be
13
   relying in your professional field on
14
   someone who has a focus in cancer
15
   research?
16
                 MS. THOMPSON: Objection.
17
           Form.
18
                 THE WITNESS: Would I be
19
           relying on -- I'm sorry. I'm not
20
           sure I understand the question.
21
   BY MR. VAUGHN:
22
                 I guess -- so you said that
           0.
23
   you don't really research carcinogenicity
24
   of substances.
```

```
1
                 If you needed to know the
2
   carcinogenicity of a substance in your
   daily practice, where would you get that
4
   information, would you defer -- would you
5
   get it from an expert in the field?
6
                 MS. THOMPSON: Objection.
7
           Form. Mischaracterizes.
8
                 THE WITNESS: Yeah, I'm not
9
           sure under what circumstances that
10
          might be. But yeah, I could --
11
          oncology people were part of the
12
           academic medical centers where I
13
           was. So I could always have
14
           access to them.
15
   BY MR. VAUGHN:
16
                 All right. You would agree
           Ο.
17
   that the oncologists at the places that
18
   you've worked at would be more qualified
19
   to give an opinion on the carcinogenicity
20
   of a substance than you?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: Not if it came
24
           to the area of drug metabolism and
```

```
1
           pharmacokinetics.
2
                 They would refer to me.
3
   BY MR. VAUGHN:
4
                 What about dose-response?
           Ο.
5
                 Pretty much the same thing
           Α.
6
   in the context of how a drug is
7
   metabolized.
8
                 Would you consult with them?
           Q.
9
                 MS. THOMPSON: Objection.
10
           Form.
11
                 THE WITNESS: If necessary.
12
                 MR. VAUGHN: All right. Can
13
           we go to PDF Page 12 now, Tyler.
14
   BY MR. VAUGHN:
15
                 Again, you have lots of
           0.
16
   committees that you've been on in your
17
   career.
18
                 Do any of them, of these
19
   committees, have a focus on cancer?
20
                 Again, I'm not trying to
21
   recall every single committee that I've
22
   been on, but I have been on, and chaired
23
   institutional review boards where
24
   cancer-related studies were part of the
```

- <sup>1</sup> submission. So I have interacted in
- $^{2}$  that -- in that regard.
- Q. Do you recall any of those
- 4 cancer related studies that you just
- <sup>5</sup> referenced?
- A. Not off the top of my head,
- $^{7}$  no.
- Q. A long time ago?
- <sup>9</sup> A. I mean, in the range of 10
- $^{10}$  to 20 years ago, yes.
- 11 Q. Has the field of
- 12 pharmacology evolved in the last ten
- 13 years?
- MS. THOMPSON: Objection.
- <sup>15</sup> Form.
- THE WITNESS: Quite a bit.
- <sup>17</sup> BY MR. VAUGHN:
- 0. What about cancer or our
- 19 knowledge on carcinogens?
- A. It looks like it has, based
- on some of the documents that I reviewed
- 22 for this.
- MR. VAUGHN: And, Tyler, can
- $^{24}$  we go to PDF Page 14, please.

- <sup>1</sup> BY MR. VAUGHN:
- Q. It looks like you have been
- $^3$  37 grants or contracts. What is a grant
- <sup>4</sup> or a contract?
- <sup>5</sup> A. A grant is typically
- 6 something you submit to a funding agency.
- <sup>7</sup> And they review and approve for funding.
- A contract is more a
- 9 negotiation with a funding agency,
- 10 specifically for something that you want
- 11 to do. And you're not necessarily in
- 12 competition for other people like you
- would be for a grant.
- Q. Are you doing animal studies
- 15 here or just all different types of
- 16 studies?
- A. Majority is human
- 18 pharmacokinetics, pharmacodynamics, and
- <sup>19</sup> drug interactions.
- I have done animal studies.
- $^{21}$  I'm not sure I got funded for any of
- 22 those. But I have worked in a fair
- <sup>23</sup> number of animal studies.
- Q. What experience do you have

- with animal studies?
- A. My earliest one is at the
- <sup>3</sup> University of Kentucky as a resident.
- <sup>4</sup> One of my projects was looking at drug
- <sup>5</sup> distribution based on obesity using a rat
- 6 model. These are called Zucker rats.
- <sup>7</sup> I was mostly just helping
- <sup>8</sup> out in the lab and looking at the
- <sup>9</sup> techniques. So I never became an author
- on the paper. But I have done that in
- $^{11}$  animals.
- 12 I've also done a dog study
- 13 looking at isolated cardiac myocytes in
- 14 the lab. And I've done some did
- <sup>15</sup> defibrillation threshold studies in pigs,
- which I do have publications on.
- 17 Q. Have you ever done any
- 18 carcinogenicity studies in animals?
- <sup>19</sup> A. No.
- Q. In your opinion, what animal
- or animals are most similar to a human in
- how they are going to respond to a drug?
- A. It depends. And -- you
- $^{24}$  know, when you're looking at a specific

- <sup>1</sup> drug metabolism, then what it appears --
- <sup>2</sup> and this popped up in many of the studies
- 3 that I looked at for my report -- that
- <sup>4</sup> the rat is actually the animal that seems
- <sup>5</sup> the most similar to humans for drug
- 6 metabolism based on a standardized weight
- <sup>7</sup> of the liver in the rat, versus the liver
- 8 of a human, and not quite so much so in
- <sup>9</sup> the other animal models of drug
- <sup>10</sup> metabolism.
- Q. So are you telling our jury
- 12 that humans are more similar to rats than
- they are to monkeys?
- MS. THOMPSON: Objection to
- 15 form.
- THE WITNESS: In terms of
- opposing thumbs, I think we're
- closer to monkeys. But in terms
- of drug metabolism, sometimes
- we're closer to rats.
- 21 BY MR. VAUGHN:
- Q. What about our DNA? What
- percentage of our DNA do we share with
- <sup>24</sup> monkeys? Do you know?

```
1
                 MS. THOMPSON: Objection.
2
           Scope.
                 THE WITNESS: I think it's
3
4
           probably in the 90 percent.
5
           Something like that.
6
   BY MR. VAUGHN:
7
                What percent of DNA do we
           Ο.
8
   share with rats?
9
                 It's probably in the
10
   88 percent. So it's not as far off as
11
   you would think.
12
                 But we're more similar, DNA,
13
   at least wise, to a monkey than a rat,
14
   correct?
15
                 MS. THOMPSON: Objection.
16
           Form.
17
                 MR. VAUGHN: I appreciate if
18
           you quit laughing, Counsel.
19
                 MS. THOMPSON: Sorry. This
20
           is a really funny line of
21
           questioning.
22
                 THE WITNESS: Again, I think
23
           it depends on what you are talking
24
           about. And for this litigation,
```

```
1
           for the question that I was asked
2
           to address, it just turns out that
3
           drug metabolism of NDMA is more
4
           closely related in the rat than it
5
           would be in any of the other
6
           species.
7
                 And that's not getting into
8
           the oncology part of it. It's
9
           just getting into the drug
10
           metabolism part. And that's the
11
           part where I focus.
12
   BY MR. VAUGHN:
13
                 Are there any oral human
           Q.
14
   studies of NDMA exposure?
15
                 Other than the epidemiology
           Α.
16
   ones that we've mentioned already?
17
           Ο.
                 Mm-hmm.
18
                 I do believe there was a
19
   ranitidine study that looked at urinary
20
           I didn't focus on it for this
21
   particular question that I was asked to
22
   address. But I think there is at least
23
   one that I can vaguely recall seeing.
24
                 And did it look into the
           Ο.
```

- 1 metabolism of NDMA in the human body?
- A. Not that I recall. But
- <sup>3</sup> again I didn't -- it's been a while since
- <sup>4</sup> I saw that one. So I haven't considered
- $^{5}$  it recently.
- 6 Q. What are you basing your
- <sup>7</sup> opinion on that humans metabolize NDMA
- 8 the same -- most similarly to rats of any
- 9 animal?
- 10 A. Numerous mentions of that in
- 11 the articles that I considered for this.
- 12 And I'm trying to recall. I probably
- have in my note when it happened. If we
- want to take the time to do that, I can
- 15 recall one specifically.
- But my recollection is I saw
- that as many as four or five times
- 18 mentioned.
- Q. Did you look to see how they
- 20 came to their opinion on that?
- A. Again, in the absence of
- <sup>22</sup> pharmacokinetic studies in humans, it was
- more based on either rat 2E1, which is
- the major metabolizing enzyme in question

- 1 here for NDMA, and NDEA for that matter.
- <sup>2</sup> That was one area that was discussed.
- And then another area was
- 4 the volume that you can isolate of P450
- <sup>5</sup> per gram of liver in the rat is similar
- 6 if not close to identical to what you see
- <sup>7</sup> with that same calculation in the human.
- Q. Is P450 an important --
- <sup>9</sup> strike that question.
- What organs in the human
- 11 body have P450?
- 12 A. Many. But in almost every
- 13 study that I've ever seen, the majority,
- by an overwhelming majority is the liver.
- 15 You do have, depending on the enzyme,
- some in the gut wall, like the upper
- small intestine, the kidney, the lungs.
- There are a variety of other
- organs that have been identified to have
- it. But on a rank order, it's liver far
- $^{21}$  and away number one, gut wall number two.
- Q. And so would you agree with
- me that an organ or tissue must contain
- 24 P450 in order for NDMA to be able to

- <sup>1</sup> incite cancer in that organ?
- A. Yes. And that's been
- <sup>3</sup> written about in numerous of the studies
- <sup>4</sup> that I reviewed.
- 5 Q. And so you would also agree
- <sup>6</sup> that organs with P450, if exposed to
- <sup>7</sup> NDMA, could be susceptible to cancer
- 8 formation?
- <sup>9</sup> A. Depending on the dose. And
- then depending on the amount of P450 in
- 11 that organ, because they don't all have
- 12 the same amount.
- So if you give the same dose
- 14 to two different organs and have one
- organ that has ten times the P450 of the
- other, and then it would produce a
- different amount of carcinogen at that
- 18 point.
- So it is relying on both the
- $^{20}$  dose, the amount of P450, and technically
- $^{21}$  in the way in which the drug is
- 22 administered as well.
- Q. But you're unable to tell me
- how much of a dose of NDMA is needed in a

```
human, right?
1
2
                 MS. THOMPSON: Object to
3
           form.
4
                 THE WITNESS: No one has
5
           that data.
6
                 Sorry.
7
   BY MR. VAUGHN:
8
                 These grants and contracts
           0.
9
   that you received, are any of them from
10
   pharmaceutical manufacturers?
11
                 Some of them are. Yes.
           Α.
12
                What's the most recent grant
13
   or contract that you received, do you
14
   recall, or do you know?
15
                 I can tell you looking here.
           Α.
16
                 2013, which is around the
17
   time that I started switching my
18
   responsibilities from primarily a
19
   researcher clinician, educator, faculty
20
   member to picking up more administrative
21
   responsibilities.
22
           Q. You are no longer conducting
23
   research, correct?
24
                 MS. THOMPSON: Objection.
```

```
1
           Form.
2
                 THE WITNESS:
                               Not in the way
3
           in which would be reflected in
4
           terms of doing, you know, a grant
5
           submission or something like that.
6
                 But again, you know,
7
           researching drugs, their
8
          pharmacology, that's almost a
9
          daily thing for me for 40 years.
10
                 MR. VAUGHN: And, Tyler, if
11
          we can go to PDF Page 15.
12
   BY MR. VAUGHN:
13
           Q.
             So your publications start
14
   there. And it looks like you have about
15
   50 publications listed. Do any of your
16
   publications focus on cancer?
17
                 No, none of my publications
18
   focused on cancer. But you can see that
19
   they are heavily involved in drug
20
   metabolism, drug pharmacokinetics, drug
21
   pharmacodynamics.
22
                 MR. VAUGHN: And, Tyler, can
23
          you go to 19.
24
```

- <sup>1</sup> BY MR. VAUGHN:
- Q. At the bottom you list
- <sup>3</sup> original research. What do you mean by
- 4 original research?
- A. I try to break down
- <sup>6</sup> publications by either books or book
- <sup>7</sup> chapters that I've authored compared to
- 8 review articles, you know, which are sort
- <sup>9</sup> of an overview of a particular drug or
- 10 drug topic.
- But then original research
- 12 are the actual studies that I conducted,
- most of the time in collaboration with
- others, and then have those published.
- Q. And of your original
- 16 research, any of it relate to cancer?
- A. No. Again, it's all on
- 18 pretty much a drug pharmacokinetics, drug
- metabolism, drug interactions, and
- 20 pharmacodynamics.
- Q. All right. So, Doctor, I
- don't see anywhere within your CV
- <sup>23</sup> anything on cancer.
- Can you explain to our jury

1 why you believe that you are qualified to provide an opinion as to the potency of a 3 carcinogen? 4 MS. THOMPSON: Objection. 5 Form. 6 THE WITNESS: Again, I don't 7 think that I'm claiming anything 8 involving potency in the way in 9 which I think of that term from a 10 pharmacodynamic standpoint. 11 But again, the question that 12 I was asked to review was how was 13 NDMA and NDEA metabolized and 14 where would they go and what would 15 happened to them at these doses. 16 And, you know, without 17 sounding flippant, metabolism of 18 compounds evolved long before we 19 put drugs in capsules and tablets. 20 So whether the chemical is 21 NDMA and is going through 22 cytochrome P450, whether it's a 23 cardiovascular drug or 24 noncardiovascular drug, it's going

```
1
           through cytochrome P450.
2
                 Those principles are
3
           identical, and in fact were
4
           originally for ingested compounds,
5
           long before we made capsules and
6
           tablets.
7
                 So P450 has been around for
8
           way longer than valsartan for
9
           instance.
10
   BY MR. VAUGHN:
11
           Q. What is your definition of
12
   potency?
13
                 Potency would typically be a
           Α.
14
   dose-response curve where you give
15
   multiple doses and then characterize the
16
   dose-response curve of two different
17
   substances. And if there is a shift to
18
   the left or to the right, then one of
19
   those would be considered more potent
20
   than the other.
21
                 That's how potency is
22
   defined in drug pharmacology.
23
                 As a pharmacist, do you
           Ο.
24
   think you are more qualified than a
```

```
1
   cancer research specialist to opine on
   the potency of a carcinogen?
3
                 MS. KAPKE: Object to form.
4
                 MS. THOMPSON: Objection.
5
           Form.
6
                 THE WITNESS: As a
7
           pharmacist, what I'm probably more
8
           qualified than anyone that I've
9
           read depositions or expert reports
10
           on, is to comment on drug
11
           metabolism and drug distribution,
12
           and a dose-response relationship
13
           to that pharmacokinetic
14
           distribution.
15
   BY MR. VAUGHN:
16
                When that substance is a
           Ο.
17
   potential carcinogen, you still think
18
   that you're more qualified than a cancer
19
   research specialist?
20
                 MS. THOMPSON: Objection.
21
           Form.
22
                 THE WITNESS: In the context
23
           of what I focused on about drug
24
           metabolism, absolutely.
```

```
1
   BY MR. VAUGHN:
2
                 Did you do research to see
           Ο.
   if, you know, any of the properties of
4
   NDMA would change the way it's
5
   metabolized in comparison to a
6
   pharmaceutical drug?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: Yes, I did
10
           actually.
11
                 And again, many of my
12
           research and publications has
13
           centered on not just drug
14
           metabolism, but routes of drug
15
           metabolism as a way of predicting
16
           drug interactions.
17
                 And the number one cause of
18
           a drug interaction is having two
19
           co-administered compounds that
20
           compete for the same metabolic
21
           pathway.
22
                 And so one of my areas of
23
           review was to describe how
24
           valsartan is distributed and
```

```
1
          metabolized, eliminated. And the
2
           same for NDMA and NDEA. And I
3
           think clearly demonstrated in my
4
           report, that there's no overlap at
5
           all, so there would be no
6
           expectation of any -- having any
7
           effect on each other because of
8
          not sharing routes of elimination.
9
   BY MR. VAUGHN:
10
                 When you were determining
           Ο.
11
   the levels that were -- of NDMA that were
12
   given to animals, and you were trying to
13
   opine what level would be needed for a
14
   human to be equivalent, did you base that
15
   in part off of the weight of the human?
16
                 MS. THOMPSON: Objection.
17
           Form.
18
                 THE WITNESS:
                                I mean, we can
19
           look at that section in my report.
20
           But the way in which I tried to
21
           do, with all its limitations, the
22
           extrapolation of the animal data,
23
          particularly rats, because I think
24
           they are the closest approximation
```

```
1
           to humans, into human dose
2
           equivalence, then that was done on
3
           a milligram-per-kilogram basis.
4
                 So yes, it incorporated the
5
           weight differential between the
6
           two species, if you will, humans
7
           and animals.
8
   BY MR. VAUGHN:
9
                 As a pharmacist and, you
10
   know, the medications that you deal with,
11
   is that how you convert every medication?
12
   You do it the same way?
13
                 Convert from what to what?
           Α.
14
                 MS. KAPKE: Object to form.
15
   BY MR. VAUGHN:
16
                 From an animal to a human?
           Ο.
17
           Α.
                 Sometimes. I think it
18
   depends on what's been done. And
19
   sometimes they use body surface area.
20
   But the usual way it's done is on a
21
   milligram-per-kilogram basis.
22
                 Usual way, but not always,
           Ο.
23
   right?
24
                 Usual way but not always.
           Α.
```

```
1
                 Is there any -- is there any
           Q.
   medication you're aware of or substance
   where scaling for weight is
4
   inappropriate?
5
                 MS. THOMPSON: Objection.
6
           Form.
7
                 THE WITNESS: Off the top of
8
          my head, I can't say. I suspect
9
           that it could be there. But I
10
          can't say. I don't know off the
11
           top of my head.
12
   BY MR. VAUGHN:
13
                What factors would make it
           Q.
14
   inappropriate to scale based on weight?
15
                 MS. THOMPSON: Objection.
16
           Form.
17
                 THE WITNESS: Well, not
18
          having seen that done very much, I
19
          don't have -- I don't have an
20
           opinion on what that would be.
21
   BY MR. VAUGHN:
22
           Q. And so you didn't consider
23
   what factors might make scaling for
24
   weight when converting NDMA from an
```

- animal to a human, you didn't consider
- what factors might make that
- <sup>3</sup> inappropriate?
- <sup>4</sup> A. If I had ever seen in the
- <sup>5</sup> articles that I did review any allusion
- <sup>6</sup> to that, then I would have considered it.
- <sup>7</sup> But I didn't see it anywhere.
- 9 Q. You didn't see it anywhere.
- 9 But you would have considered though if
- 10 you did see it?
- 11 A. I would have always
- 12 considered it if I saw it.
- Q. And would it have been in
- 14 your report then if you saw that?
- <sup>15</sup> A. Yes.
- MR. VAUGHN: Counsel, right
- now is another really good time
- for a break. I know we're about
- an hour.
- Whereupon a discussion was
- held off the record.)
- THE VIDEOGRAPHER: The time
- right now is 11:11 a.m. We are
- off the record.

```
1
                 (Short break.)
2
                 THE VIDEOGRAPHER: The time
3
           right now is 11:26 a.m. We're
4
           back on the record.
5
                 MR. VAUGHN: All right.
6
           Tyler, can you pull the expert
7
           report back up for us. And let's
8
           go to Page 63 again.
9
   BY MR. VAUGHN:
10
                 Doctor, can you read that
           Ο.
11
   opinion of yours at the bottom, VIII?
12
           Α.
                 Yes.
13
                 "It is my opinion that no
14
   scientific professional could credibly
15
   claim to a reasonable degree of
16
   scientific certainty that plaintiffs'
17
   cancer was caused by their treatment with
18
   any valsartan product contain trace
19
   levels of NDMA and NDEA impurities during
20
   the time period in question."
21
                 Doctor, what do you consider
           Q.
22
   trace levels?
23
           Α.
                 The amounts that I consider
24
   to be trace in these valsartan products.
```

```
1
                 And that was 20 micrograms
           0.
2
   or less, correct?
3
           Α.
              Correct.
4
                 And you say any valsartan
5
   product. How could you give that opinion
6
   when you haven't even reviewed all of the
7
   testing data?
8
                 MS. THOMPSON: Objection.
9
           Form.
10
                 THE WITNESS: Any valsartan
11
          product that I evaluated.
12
   BY MR. VAUGHN:
13
           Q. Okay. So again, just less
14
   than the 20 micrograms is what your
15
   opinion is limited to?
16
                 MS. THOMPSON: Objection.
17
           Form.
18
                 THE WITNESS: Not really.
19
   BY MR. VAUGHN:
20
          O. So would it be more accurate
21
   to say that any valsartan product that
22
   the FDA reviewed?
23
                 MS. THOMPSON: Objection.
24
           Form.
```

```
1
                 THE WITNESS:
                               No.
                                     What I
2
           used to draw that conclusion in my
3
           report were the levels of exposure
4
           to NDMA and NDEA in the animal
5
           studies that provided
6
           dose-response relationships that
7
           appeared to confine, number one,
8
           doses of NDMA that would not leave
9
           the liver due to first-pass
10
           metabolism, and that also did not
11
           appear to cause cancer in
12
           predominately rats because they're
13
           the best model for this.
14
   BY MR. VAUGHN:
15
                 You're aware -- if you were
           Ο.
16
   aware that the NDMA or NDEA levels in
17
   generic valsartan were higher than what
18
   the FDA was aware of, is that something
19
   that you would have considered in forming
20
   your opinions?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: If we go back
24
           to the ZHP, for instance, comment
```

- that was earlier in my report of
- <sup>2</sup> 120 parts per million.
- 3 BY MR. VAUGHN:
- Q. Mm-hmm.
- 5 A. That would correspond to
- 6 only about twice as much as what the
- $^7$  highest amount was in any of the products
- 8 that I evaluated.
- 9 And if you look at my tables
- on 35, 36, 37, 38, 39, we're still
- 11 talking about hundreds to thousands times
- more that was shown to be safe in animals
- than the amount even in that 120 parts
- 14 per billion -- or million that we talked
- 15 about.
- Q. What if the levels were go
- even higher than 120 parts per million?
- 18 A. I don't have that
- information, so I don't know what that
- would look like or how much that would
- be. It's not enough information for me
- <sup>22</sup> to make an opinion on.
- Q. Defense counsel would have
- needed to provide that information to you

```
1
   for you to provide an opinion on it,
2
   right?
3
                 MS. THOMPSON: Objection.
4
           Form.
5
                 THE WITNESS: Or the FDA or
6
           anybody else.
7
   BY MR. VAUGHN:
8
                 So in this opinion, when you
           0.
9
   say no scientific professional could
10
   credibly claim, what do you mean by that?
11
                 Is that more than just
12
   disagreeing with the other side. Are you
13
   drawing into question their integrity in
14
   making their opinions?
15
                 MS. THOMPSON: Objection.
16
           Form.
17
                 THE WITNESS: No, I didn't
18
           draw this conclusion based on
19
           their opinions.
20
                 I drew that conclusion based
21
           on my research into NDMA
22
           metabolism and the dose-response
23
           relationship that this seemed to
24
           be far below that was associated
```

- with any cancer in the hundreds to
- thousands times lower.
- 3 BY MR. VAUGHN:
- 4 Q. Would you consider some of
- <sup>5</sup> the plaintiffs' experts to be scientific
- <sup>6</sup> professionals?
- A. Within their field, yes.
- <sup>8</sup> Q. And did any of them make the
- 9 claim to a reasonable degree of
- 10 scientific certainty that a plaintiff's
- 11 cancer could have been caused by their
- 12 treatment with valsartan containing NDMA
- or NDEA?
- A. I believe they made those
- 15 claims. I'm not sure they had access to
- the data that I've provided and whether
- that would have changed their opinions or
- $^{18}$  not.
- Q. And do you think that you
- <sup>20</sup> reviewed all of the data that they
- <sup>21</sup> reviewed?
- A. Much of the same.
- Q. And so this -- this opinion
- 24 is not directed to any specific

- plaintiffs' expert?
- A. No, it is not.
- Q. And it's not directed at any
- 4 of them, correct?
- <sup>5</sup> A. Correct.
- <sup>6</sup> Q. Did you review
- <sup>7</sup> Dr. Panigrahy's CV? You said that you
- 8 did, correct?
- <sup>9</sup> A. I probably scanned it to
- 10 see, you know, what his background and
- 11 training was and what his interests were
- 12 and what his current position was.
- Q. Can you tell our jury what
- 14 you recall about Dr. Panigrahy's
- 15 credentials?
- A. The details of that, I don't
- have off the top of my head. I'd have to
- 18 look at my materials.
- 19 Q. So you don't recall that
- Dr. Panigrahy was a medical -- is -- was
- <sup>21</sup> a medical doctor and completed a surgical
- <sup>22</sup> residency?
- A. If I looked at it I would
- $^{24}$  recall that.

- <sup>1</sup> Q. Do you recall if
- <sup>2</sup> Dr. Panigrahy has taught both surgery and
- <sup>3</sup> pathology at Harvard?
- <sup>4</sup> A. If that's what he did, I
- <sup>5</sup> would have recalled it if I saw it.
- Q. All right. Do you recall
- <sup>7</sup> that Dr. Panigrahy has devoted almost his
- 8 entire career to studying cancer?
- <sup>9</sup> A. That rings a bell, yes.
- 10 Q. Do you recall that
- 11 Dr. Panigrahy has been an editor on
- 12 journals such as Carcinogenesis,
- 13 Neoplasia, Cancer Research, Clinical
- 14 Cancer Research and Nature Reviews
- 15 Cancer?
- A. Not those details, I don't
- <sup>17</sup> recall.
- Q. All the journals that I just
- 19 listed, they all deal with cancer,
- 20 correct?
- A. I don't remember each one of
- <sup>22</sup> them. But I heard cancer a few times.
- 23 So I'm guessing that's the case.
- Q. Does Carcinogenesis, does

```
that relate to cancer?
2
          Α.
                Yes.
3
                What about Neoplasia?
          Q.
4
          Α.
                Yes.
5
          0.
                Cancer Research?
6
          Α.
                Yes.
7
                Clinical Cancer Research?
          0.
8
          Α.
                Yes.
9
          0.
                And Nature Reviews Cancer?
10
          Α.
                Yes.
11
                And previously you testified
          Q.
12
   that being an editor on a journal
13
   signifies that you were a higher level or
14
   respected individual in that field,
15
   correct?
16
          A. I think I --
17
                MS. THOMPSON: Objection to
18
          form.
19
                THE WITNESS: Sorry.
20
                 I think I used the word
21
           "recognized."
22
   BY MR. VAUGHN:
23
          Q. Okay. So would you agree
24
   with me that Dr. Panigrahy -- or
```

- 1 Panigrahy is a recognized leader in the
  - <sup>2</sup> field of cancer?
  - MS. THOMPSON: Objection.
  - 4 Form.
  - 5 THE WITNESS: He seems to
  - <sup>6</sup> be.
  - <sup>7</sup> BY MR. VAUGHN:
  - <sup>8</sup> Q. Are you familiar with the
  - 9 NIH?
- $^{10}$  A. Yes.
- 11 Q. Can you tell our jury what
- 12 the NIH is?
- 13 A. It's a research arm of the
- 14 federal government that conducts some of
- 15 its own research and then funds external
- 16 researchers who apply for grants.
- 17 Q. Have you ever received
- 18 funding from the National Institute of
- 19 Health?
- A. I applied twice and did
- 21 not -- I got approved, but my priority
- score wasn't high enough to receive the
- <sup>23</sup> dollars.
- Q. So they just don't hand that

- out to anybody, those grants, do they?
  - <sup>2</sup> A. No.
  - Q. Are you aware -- are you
  - <sup>4</sup> familiar with National Cancer Institute?
  - 5 A. That's one of the branches
- <sup>6</sup> of the National Institutes of Health.
- 7 Q. That was going to be my next
- <sup>8</sup> question. Thank you.
- 9 And have ever received -- I
- 10 guess you have not received funding from
- 11 the National Cancer Institute either,
- 12 because that's part of the National
- 13 Institute of Health?
- 14 A. That's correct. I have
- 15 received no NIH funding of any of their
- 16 branches.
- Q. Do you recall that
- 18 Dr. Panigrahy has received funding both
- 19 from the National Institute of Health and
- <sup>20</sup> the National Cancer Institute to study
- 21 cancer in -- on numerous occasions?
- MS. THOMPSON: Object to
- $^{23}$  form.
- THE WITNESS: I don't

```
1
           recall -- sorry.
2
                 I don't recall those
3
           details, but if they are in his
4
           CV, I'm sure he did.
5
   BY MR. VAUGHN:
6
           Q. So you don't recall that the
7
   first time that he received funding was
8
   back in 1998 for advanced training in
9
   surgical oncology with a focus in
10
   laboratory research?
11
                 MS. THOMPSON: Objection.
12
           Form.
13
                 THE WITNESS: I do not
14
           recall that specifically.
15
   BY MR. VAUGHN:
16
                And do you recall if the
17
   National Institutes of Health and the
18
   National Cancer Institute is still
19
   funding Dr. Panigrahy to research cancer
20
   to this very day?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: I do not
24
           recall that detail.
```

```
1
   BY MR. VAUGHN:
2
                 Do you think the National
           Ο.
3
   Institute of Health and the National
4
   Cancer Institute would still be funding
5
   Dr. Panigrahy's cancer research if they
6
   questioned his credibility?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: They probably
10
           would not fund someone whose
11
           credibility that they questioned
12
           based on the research that they
13
           submitted for review.
14
   BY MR. VAUGHN:
15
                 Are you aware that
           0.
16
   Dr. Panigrahy, one of the top cancer
17
   researchers in the world, spent around
18
   1,400 hours researching and drafting his
19
   opinions in this case?
20
                 MS. THOMPSON: Objection.
21
           Form.
22
                 THE WITNESS: I don't have
23
           access to that information. So I
24
           could not have been aware of that.
```

```
1
   BY MR. VAUGHN:
2
                 And you spent approximately
           Ο.
   100 to 120 hours, right?
4
                 MS. THOMPSON: Objection.
5
           Form.
6
                 THE WITNESS: So far, yes.
7
   BY MR. VAUGHN:
8
           O. Would that be about
9
   10 percent of the time that Dr. Panigrahy
10
   spent?
11
                 MS. THOMPSON: Objection.
12
           Form.
13
                 THE WITNESS: That's how
14
           that would be calculated, yes.
15
   BY MR. VAUGHN:
16
                 Doctor, are you familiar
           Ο.
17
   with the term bioavailability?
18
           Α.
                 Yes.
19
                 Can you explain to the jury
20
   what bioavailability means?
21
                 Bioavailability is the
           Α.
22
   assessment of what percent of a drug
23
   that's taken actually reaches what we
24
   would call the systemic circulation,
```

- <sup>1</sup> which means you can measure it in the
- <sup>2</sup> bloodstream.
- <sup>3</sup> Q. When the substance is taken
- <sup>4</sup> orally, what primarily impacts the
- <sup>5</sup> substance's bioavailability?
- 6 A. Well, it's a multi-step
- <sup>7</sup> process. And so the first step in that
- 8 is the actual release of the compound
- <sup>9</sup> from, let's say the pill or tablet that
- was taken. And there are a lot of
- 11 examples of pills that don't completely
- 12 release constituents.
- But once they are, they are
- 14 typically absorbed in the small
- <sup>15</sup> intestine. And there is a round,
- 16 potentially, depending on the product, of
- 17 drug metabolism that occurs across the
- 18 small intestine.
- And once absorbed there, it
- 20 goes directly into the liver where it
- 21 sees another round of potential
- <sup>22</sup> metabolism. And only after exceeding
- those steps, would it then show up in the
- 24 bloodstream to measure its

- <sup>1</sup> bioavailability.
- Then that would be expressed
- 3 as a percentage of the dose of that
- <sup>4</sup> particular drug or chemical that you
- <sup>5</sup> gave.
- 6 Q. Give me one -- I'm reading
- <sup>7</sup> the realtime. My internet cut out a
- 8 little bit, so I missed part of your
- <sup>9</sup> answer. So just give me one second.
- You said that a lot of drugs
- 11 don't release their constituents. Can
- 12 you explain that further to me?
- 13 A. Yeah. It just depends on
- 14 the drug. It's been noted with a lot of
- 15 sustained-release drugs, for instance,
- that they release the drug so slowly that
- sometimes the product gets past the site
- of absorption before all the drug in it
- 19 gets released. And, therefore, you don't
- get as good as bioavailability as you
- 21 might expect you would get.
- O. Valsartan, would all of the
- NDMA be released or would some of that
- $^{24}$  pass with the valsartan as it's being

```
1
   excreted?
2
                 MS. THOMPSON: Objection to
3
           form.
4
                 THE WITNESS: My suspicion
5
           is that it would be released from
6
           the dosage form, yes.
7
   BY MR. VAUGHN:
8
           O. Your suspicion -- what do
9
   you base your suspicion on?
10
                 Well, that dosage form is
           Α.
11
   the type that usually is pretty much
12
   completely dissolved into its individual
13
   components before or by the time it
14
   reaches the upper part of the small
15
   intestine.
16
                So this process that you've
           0.
17
   been talking about of what impacts the
18
   bioavailability of a drug that is orally
19
   ingested, is that known as first-pass
20
   metabolism?
21
                 Well, not necessarily. You
           Α.
22
   can give an injectable into the muscle
23
   and measure bioavailability. And that
24
   would not be going through first pass.
```

- So first pass is more
- <sup>2</sup> pertinent to oral administration.
- Q. That's what my question was,
- <sup>4</sup> is with oral administration, it's known
- <sup>5</sup> as first pass?
- <sup>6</sup> A. Yes.
- <sup>7</sup> Q. And the organs you said that
- 8 were primarily involved, which is
- 9 stomach, small intestine, liver?
- 10 A. Not so much the stomach.
- 11 Small intestine and liver.
- Q. Okay. And you would never
- 13 expect to see NDMA in the blood of a
- 14 human, correct, because you believe that
- the liver should be able to handle all of
- <sup>16</sup> it?
- $^{17}$  A. Well, let me -- let me
- 18 qualify that by saying that at the
- 19 amounts that were found in the -- of NDMA
- $^{20}$  and NDEA in the valsartan tablets, I
- would not expect that to reach the
- 22 systemic circulation at all based upon
- <sup>23</sup> first-pass metabolism.
- Q. If someone were to find it

1 in the blood, that means it got past the 2 liver, right? 3 A. I think that would be, if 4 the dose was high enough, possible. 5 Well, regardless of the 0. 6 dose, if it was found in the blood, that 7 means it got past the liver, right? 8 MS. THOMPSON: Objection. 9 Form. 10 THE WITNESS: Not 11 necessarily. It could have gotten 12 there from another source. 13 BY MR. VAUGHN: 14 O. Such as? 15 There's known endogenous 16 production of NDMA. So that's possible. 17 It could have been an environmental 18 exposure that led to NDMA that you found. 19 What about if someone orally Ο. 20 ingests NDMA, and after orally ingesting 21 it, the levels of NDMA in their blood go 22 up? 23 MS. THOMPSON: Objection to 24 form.

```
1
                 THE WITNESS:
                                Then that
2
           would imply to me that the dose is
3
           far exceeding the doses that we
4
           are talking about here.
5
   BY MR. VAUGHN:
6
                 Sorry. My internet is
7
   really bad here. You said that would
8
   imply to you that the dose is far
9
   exceeding the doses that we were talking
10
   about here.
11
                 Again, regardless of dose
   though, you could -- if you saw that,
12
13
   it's getting past the liver, correct?
14
                 Yeah. But that wouldn't be
15
   regardless of dose. It would be as a
16
   result of the dose.
17
                And if we saw it in the
18
   blood, that would mean that dose is
19
   sufficient to get past the liver,
20
   correct?
21
           Α.
                Correct.
22
                 And would you also agree
           Ο.
23
   then once it's in the blood, there are
24
   many more organs in which the NDMA could
```

- potentially impact?
- A. There are multiple organs
- <sup>3</sup> that receive blood flow, if that were the
- <sup>4</sup> scenario, that would receive NDMA.
- <sup>5</sup> Q. And those tissues or organs
- 6 would be at risk for cancer formation,
- <sup>7</sup> correct?
- <sup>8</sup> A. Not necessarily.
- 9 Q. Why not?
- 10 A. Depends on the amount. It
- depends on that organ's ability to remove
- 12 potential mutagens. And then it would
- also depend on that organ's volume of the
- 14 specific enzyme that's involved in
- 15 creating the potential mutagen from NDMA.
- <sup>16</sup> And that specific P450 is called 2E1.
- 17 I'm sorry.
- Q. No, I'm sorry. I didn't
- mean to interrupt you.
- A. It's all right.
- 21 And 2E1 has different
- <sup>22</sup> amounts in different organs. So there
- <sup>23</sup> are a lot of factors in play that would
- 24 have to be considered in that

- <sup>1</sup> hypothetical.
- Q. You used the word "mutagen."
- What is a mutagen?
- A. A drug that alters DNA
- <sup>5</sup> structure.
- Q. And is NDMA a mutagen?
- <sup>7</sup> A. Yes.
- <sup>8</sup> Q. And earlier I believe you
- 9 testified that human DNA is most similar
- to a monkey's DNA, correct?
- 11 A. It most likely is. I
- 12 haven't looked at that information in a
- 13 long time.
- Q. Of all the pharmaceutical
- medications that you've worked with, how
- many of them are also mutagens?
- A. Gosh. I couldn't tell you
- off the top of my head. Someone would
- 19 have had to have done a study
- <sup>20</sup> specifically looking for that.
- Most of that work is done
- when a drug is being developed in animal
- 23 studies before preclinical development,
- $^{24}$  and in most of those cases if there was

- 1 even a suspicion of that, it might not
- <sup>2</sup> have continued in the drug development
- <sup>3</sup> process. So I don't really have a good
- 4 number for you.
- <sup>5</sup> Q. Why would that -- why would
- <sup>6</sup> that be? Why, if there was a suspicion
- <sup>7</sup> that something was a mutagen, the drug
- 8 process would not continue?
- <sup>9</sup> A. Well, there's a variety of
- 10 reasons that a drug would be killed in
- the preclinical process. In mutagenicity
- 12 studies, teratogenicity studies,
- inability to get it stable in a dosage
- 14 form, a dependency on a specific P450
- 15 pathway that has a bunch of known drug
- 16 interactions.
- I mean, the list is almost
- 18 endless. And the way that companies do
- this, is they have maybe as many as 20 or
- <sup>20</sup> 30 similar chemically related drug
- 21 candidates. And they do a variety of
- those kind of studies on all those, and
- what looks like the best to go forward
- $^{24}$  with are the ones that actually end up

```
making it in human trials.
1
2
                 And so when a medication is
           Ο.
   found to be a mutagen, it makes more
4
   sense to kill the drug development
5
   process than potentially kill a human,
6
   right?
7
                 It depends on what the drug
           Α.
8
   is being developed for.
9
                 And in which situation do
10
   you think it would be okay to keep going
11
   and give it to a human?
12
                 MS. KAPKE: Object to form.
13
                 MS. THOMPSON: Objection to
14
           form.
15
                 THE WITNESS: I don't have a
16
           lot of details of that part of the
17
           drug development process.
18
                 So it also depends on what
19
           disease it is they're trying to
20
           treat and whether that's a risk
21
           worth taking.
22
                 Those are -- those are
23
           decisions made at the drug company
24
           level looking at a variety of
```

```
1
           factors.
   BY MR. VAUGHN:
3
          Q. Can you name a disease for
4
   me that would be worse than cancer?
5
                 MS. THOMPSON: Objection.
6
           Form.
7
                 THE WITNESS: I mean, there
8
           are -- I don't know. There are
9
           some that I'm sure somebody can
10
          say is worse than cancer. It
11
          depends on what type of cancer
12
          we're talking about. So I don't
13
          have a strong opinion on that at
14
          all.
15
   BY MR. VAUGHN:
16
          Q. What type of cancer do you
17
   think is the worst kind of cancer?
18
                 MS. KAPKE: Object to form.
19
                 MS. THOMPSON: Form.
20
                 THE WITNESS: The fatal
21
          ones, I guess. I don't have a --
22
   BY MR. VAUGHN:
23
          Q. So can you name one drug
24
   that you've worked with that is a
```

```
1
   mutagen?
2
                 MS. THOMPSON: Objection.
3
           Form.
4
                 THE WITNESS: What do you
5
          mean by "worked with"?
6
   BY MR. VAUGHN:
7
           O. Studied?
8
                 Did my own independent
           Α.
9
   research on? Or as part of my 40 years
10
   of evaluating drugs and drug safety and
11
   pharmacokinetics and drug metabolism, any
12
   drug within that realm that could have
13
   turned out to be a mutagen?
14
           Q. Yeah, in any way. Can you
15
   name drugs that you've worked with in
16
   some way that are mutagens?
17
                 Actos, I think, is the one
18
   that comes off the top of my head.
19
                 What did Actos cause?
           0.
20
                 MS. THOMPSON: Objection.
21
           Form.
22
                 THE WITNESS: I believe it
23
          was bladder cancer.
24
   BY MR. VAUGHN:
```

```
1
                 And was the mechanism there
           Ο.
2
   because it was a mutagen? Is that what
   was resulting in the bladder cancer?
4
                 I assume so. I didn't get
           Α.
5
   into the details of the mechanisms of
6
   mutagenicity or carcinogenicity. The
7
   mechanisms of that are not what I do.
8
                 Did you consider the
           0.
9
   mechanisms of mutagenicity when forming
10
   your opinions in this case?
11
                 Yes and no. I mean mostly
12
   what I focused on was metabolism,
13
   distribution, and drug dose-response.
14
                 Do you know if mutagenicity
15
   has any impact on drug dose-response?
16
                 MS. THOMPSON: Objection.
17
           Form.
18
                 THE WITNESS: Well,
19
          mutagenicity would be a drug dose
20
           response, or could be.
21
   BY MR. VAUGHN:
22
                 Do you know if mutagenicity
           Ο.
23
   has any impact on how you should be
24
   scaling from an animal to a human?
```

1		MS. THOMPSON: Objection.
2		Form.
3		THE WITNESS: Well again, we
4		have all the limitations of
5		scaling from animals to humans.
6		And for me to form the opinions
7		that I did, I looked at the amount
8		of NDMA, NDEA in valsartan
9		products, the amounts that did not
10		seem to be carcinogenic, in what I
11		considered to be the best animal
12		model which is the rat model.
13		And so my opinions were
14		formed based on that relationship
15		between a drug dose that did not
16		appear to cause either at least
17		carcinogenicity, and in some cases
18		mutagenicity, and compared that to
19		the levels of valsartan-containing
20		products.
21		So did I consider
22		mutagenicity as part of my
23		evaluation? Yes, I did.
24	BY MR.	VAUGHN:

```
1
           0.
                 How --
2
                 In response to the drug or
           Α.
3
   chemical.
4
                 How does mutagenicity impact
           Ο.
5
   interspecies scaling, if at all?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: Well, I don't
9
           think -- again, it's not the
10
           mutagenicity that impacts the
11
           interspecies scaling.
12
                 Interspecies scaling is
13
           always going to be an
14
           extrapolation that has its
15
           limitations.
16
                 In every one of articles you
17
           read, in at least the last
18
           paragraph or two, it always says
19
           we're unsure what it means in
20
           humans.
21
                 And so we are unsure about
22
           that. And so it's hard to say how
23
           that impacts scaling because it's
24
           not -- it's an inherent problem
```

```
1
           with doing the scaling to begin
2
           with.
3
   BY MR. VAUGHN:
4
                 Earlier, when we went
           0.
5
   through your CV and the literature
6
   review, you described to me the
7
   methodology in which you found that
8
   literature.
9
                 Did you seek out any
10
   literature on if mutagenicity would
11
   impact interspecies scaling?
12
                 I don't even know that
13
   that's the question that I was looking
14
   at.
15
                 What I can say is that some
16
   of the dose-response studies,
17
   particularly in rats by some of the
18
   trials, studies in rats that I relied on,
19
   they used mutagenicity as the
20
   dose-response marker.
21
                 Did you review any
           Q.
22
   literature on mutagenicity as it is
23
   related to interspecies scaling?
24
                                 Objection.
                 MS. THOMPSON:
```

```
1
           Form.
2
                 THE WITNESS: Again, I'm not
3
           even sure what that question is.
4
           So I have a hard time answering
5
           it.
6
                 So I did say that I
7
           considered mutagenicity in making
8
           an opinion or forming an opinion
9
           about dose-response relationships
10
           with rats relative to the amount
11
           of NDMA, NDEA that are found in
12
           the valsartan products.
13
                 So I made those
14
           extrapolations, understanding all
15
           of the limitations quoted by
16
           almost every author in the study
17
           that I looked at.
18
   BY MR. VAUGHN:
19
                 Would you have scaled it the
           0.
20
   same way regardless of if the substance
21
   was a mutagen?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
   BY MR. VAUGHN:
```

```
1
                 Sorry, would you have scaled
2
   from the animal studies with NDMA to
   humans the same way regardless if the
4
   substance was a mutagen?
5
                 MS. THOMPSON: Objection.
6
           Form.
7
                 THE WITNESS: I mean, that's
8
           just one of the dose responses
9
           that you would -- that you would
10
           try and extrapolate. So I'm not
11
           even sure that I really understand
12
           the question.
13
   BY MR. VAUGHN:
14
              Okay. If NDMA was not a
           Ο.
15
   mutagen, would your methodology have been
16
   the exact same?
17
                 In terms of evaluating the
18
   literature for drug distribution and
19
   metabolism, yes.
20
                And dose-response and
21
   interspecies scaling?
22
                 And it would have been a
23
   different response.
24
                 I want to focus on the
           Q.
```

- <sup>1</sup> interspecies scaling.
- A. Okay. What do you mean by
- 3 that?
- Q. Okay. When you take it from
- 5 an animal -- let's say an animal weighs
- one kilogram, okay?
- A. Mm-hmm.
- Q. And only one nanogram, let's
- 9 say, for that animal can cause cancer,
- $^{10}$  that 1 kg animal. How would you then --
- using your methodology, how would you
- determine how much would be needed to
- 13 give a human to cause cancer?
- MS. THOMPSON: Objection to
- form.
- THE WITNESS: I didn't
- evaluate it in those terms that
- you're asking the question.
- <sup>19</sup> BY MR. VAUGHN:
- Q. Explain to me again then how
- you did your analysis on these animal
- 22 studies to come to these conclusions that
- the valsartan contained so much more NDMA
- <sup>24</sup> than the animal studies?

```
1
                 MS. THOMPSON: Objection.
2
           Form.
3
                 THE WITNESS: It's actually
4
           the other way around.
5
                 The animal study doses, that
6
           were often not related to any
7
           cancer whatsoever, so mutagenicity
8
           doesn't play a role in that
9
           setting, I was able to find doses
10
           that did not produce any mutagenic
11
           or carcinogenic effect, and those
12
           are the values that I used to make
13
           my species extrapolation from --
14
           from the rats to the humans.
15
                 So I was using the absence
16
           of mutagenicity and
17
           carcinogenicity, not the
18
           production of it.
19
   BY MR. VAUGHN:
20
             Are you saying most of the
21
   animal studies regarding NDMA did not
22
   cause cancer?
23
                 MS. THOMPSON: Objection.
24
           Form.
```

```
1
                 THE WITNESS:
                                No.
                                     This gets
2
           back to my whole premise and focus
3
           in this review and report, is the
4
           dose.
5
                 And obviously, and we talked
6
           about this earlier this morning,
7
           you know, if you give enough NDMA
8
           and NDEA to many of the animal
9
           species that we talked about, you
10
           can induce cancer. And that's not
11
           the question that I was
12
           addressing.
13
                 I was addressing, is there a
14
           dose below which it doesn't appear
15
           to, and how does that relate to
16
           what's in valsartan.
17
                 MR. VAUGHN: Tyler, can we
18
           go back to his expert report.
19
           Let's go to Page 21.
20
   BY MR. VAUGHN:
21
                 Under valsartan
           Q.
22
   pharmacokinetics, can you read the first
23
   two sentences aloud for me?
24
                 "After oral administration
           Α.
```

- <sup>1</sup> in humans, valsartan is absorbed into the
- body primarily in the small intestine,
- <sup>3</sup> below the level of the stomach and
- 4 reaches peak plasma concentrations
- <sup>5</sup> between two and four hours.
- The amount of a given dose
- <sup>7</sup> that reaches the systemic circulation,
- <sup>8</sup> which means beyond the liver, is
- 9 expressed by the term of absolute
- 10 bioavailability and this ranges from 10
- to 35 percent, averaging 25 percent."
- 12 Q. Is there any difference
- between absolute bioavailability and
- 14 bioavailability, the terms?
- A. Yes, in a way. They're just
- <sup>16</sup> adding a descriptor of absolute because
- they have something when they did this
- 18 study to compare it to.
- Let's say you gave a dose
- of -- I don't know -- any drug and you
- measured it in blood, then you can claim
- 22 that it has bioavailability. But what
- you really have to do to calculate
- <sup>24</sup> absolute bioavailability is compare that

- 1 back to the intravenously given dose of
- the same drug. And then the number that
- <sup>3</sup> you're calculating is absolute.
- 4 O. And this absolute
- <sup>5</sup> bioavailability of valsartan is 10 to
- 6 35 percent. That's in humans, right?
- <sup>7</sup> A. Correct.
- <sup>8</sup> Q. Why is the bioavailability
- <sup>9</sup> of valsartan 350 percent higher in some
- 10 humans compared to others?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: Because of its
- variability, do you mean?
- <sup>15</sup> BY MR. VAUGHN:
- Q. Well, I mean, this range of
- ten percent to 35 percent. 35 percent is
- 18 like 350 times higher than 10 percent,
- 19 right?
- A. Yeah.
- Q. Why is there such a wide
- range on the bioavailability in humans?
- A. For many drugs you would
- <sup>24</sup> find the same thing, so I don't consider

- <sup>1</sup> that to be abnormal at all. That's just
- <sup>2</sup> what drug variability is.
- Q. That's expected, right,
- <sup>4</sup> there's going to be variability between
- 5 humans?
- A. Yes. What we call
- <sup>7</sup> interindividual variability. But that
- <sup>8</sup> will be unique to whatever drug we happen
- <sup>9</sup> to be talking about.
- 10 Q. But typically there's
- 11 variability among humans, correct,
- 12 regardless of the substance?
- 13 A. There will always be some.
- 14 In some cases it's more than this, and in
- 15 some cases it's less than this in terms
- <sup>16</sup> of the variability.
- 17 Q. In terms of percent
- bioavailability of valsartan, which
- <sup>19</sup> animal is the most similar to humans?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: I do not know.
- Because we have human data, we
- don't have to worry about it. And

```
1
           so I don't know which model.
2
           assume if I were to go back and
3
           look at the basic preclinical
4
           studies, that probably someone in
5
           Novartis or contracted by Novartis
6
           did 25 to 30 years ago, that I
7
           could probably find that. But --
8
           and so I know it's out there. I
9
           just haven't looked at it.
10
   BY MR. VAUGHN:
11
             Do you agree that knowing a
12
   medication's bioavailability is critical
13
   in determining the dose necessary for a
14
   specific outcome?
15
                 MS. THOMPSON: Objection to
16
           form.
17
                 THE WITNESS: Well, the way
18
           that question is asked, you know,
19
           could go a lot of different
20
           answers.
21
                 You know, if only 5 percent
22
           of something is absorbed, but you
23
           give a high enough dose to get the
24
           effect, then it's the effect you
```

```
1
           care about, and not whether it was
2
           5 percent and whether you liked
3
           the number 5 percent or not.
4
   BY MR. VAUGHN:
5
                 This 10 to 35 percent
           0.
6
   bioavailability in your report, that's
7
   specific to valsartan, right? That
8
   doesn't have anything to do with the
9
   bioavailability of NDMA or NDEA, correct?
10
                 Nothing to do with that at
           Α.
11
         They -- they're not attached to
12
   each other. One doesn't carry the other.
13
   So they're managed and handled
14
   independently.
15
                 Can you identify in your
16
   report where you specified the
17
   bioavailability of NDMA in humans?
18
                 I think in my report -- if
           Α.
   you give me a minute to look at it. Is
19
20
   that all right?
21
                 Absolutely. Take all the
           Q.
22
   time you need.
23
                 You're talking about NDMA or
           Α.
```

NDEA?

24

- O. Yes, sir.
- A. Yeah, I don't find where I
- <sup>3</sup> specifically listed a specific
- <sup>4</sup> bioavailability number. And the reason
- <sup>5</sup> probably for that is that it depends on
- <sup>6</sup> the dose because, unlike valsartan, this
- <sup>7</sup> is a highly subjective drug to first-pass
- 8 metabolism. And so as the dose goes up,
- <sup>9</sup> the bioavailability changes.
- So it's not as fixed a
- 11 number as the valsartan bioavailability
- $^{12}$  would be.
- Q. If there were studies in
- which they were giving below -- scratch
- 15 that.
- 16 If there were studies in
- which they were giving NDMA below what
- would saturate the liver, would that
- 19 allow you to determine its
- <sup>20</sup> bioavailability?
- A. Well, actually what you
- would determine in that setting by
- measuring something downstream from the
- liver, you would measure zero, which

- 1 would mean it was essentially zero
- <sup>2</sup> bioavailability because it wouldn't get
- <sup>3</sup> into the systemic circulation, despite
- 4 being absorbed.
- <sup>5</sup> Q. So it's your opinion the
- 6 liver must be fully saturated before it
- <sup>7</sup> can get past the liver?
- <sup>8</sup> A. Absolutely.
- 9 O. Is that with every animal?
- 10 A. That's with everybody with a
- <sup>11</sup> liver.
- 0. And then -- so once it's
- 13 saturated, is every amount of the dose
- 14 going to be going past the liver?
- A. Yes. Depends again on the
- 16 compound, the drug, and how else it might
- be metabolized. But when it leaves the
- 18 liver, it goes into the venous
- 19 circulation.
- Q. And so can you explain to me
- 21 again how you determine the
- <sup>22</sup> bioavailability of a substance?
- A. The most pure way, if you
- $^{24}$  will -- excuse me -- is to give an oral

- dose and an IV dose and compare how much
- <sup>2</sup> you measure in the bloodstream using
- 3 something called the area under the curve
- <sup>4</sup> or the AUC.
- <sup>5</sup> Q. And did you see any studies
- 6 like that on any animal?
- <sup>7</sup> A. I did.
- <sup>9</sup> A. Predominately rats. But a
- 10 few other species. I think I saw a
- 11 monkey study and a pig study and a dog
- 12 study. Actually two dog studies, maybe.
- Q. And do you recall what the
- 14 bioavailability of NDMA is in rats?
- 15 A. It was less than 10 percent
- at doses below, say, around .1 milligram
- 17 per kilogram given orally. So in the
- 18 range of 6 to 8 percent.
- Q. What about monkey? Do you
- <sup>20</sup> recall what the bioavailability of NDMA
- is in a monkey?
- A. I think the study I saw was
- it was higher. Maybe as much as 80 or
- $^{24}$  90 percent.

```
1
           Ο.
                 80 or 90 percent?
2
                 That's my recollection.
           Α.
3
                 So you're saying in monkeys,
           Q.
4
   80 to 90 percent of the NDMA you give
5
   them is going to get past the liver?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS:
                                In the dose
9
           that they gave in that monkey
10
                   That's going to get
           study.
11
           back -- gets back to the heart of
12
           what my whole premise here is, is
13
           that bioavailability for a
14
           high-clearance drug like NDMA is
15
           based on the dose you give.
16
                 And I'm fairly certain in
17
           the monkey study that it gave at
18
           least a milligram per kilogram,
19
           which is way above what I'm
20
           contending is the liver's capacity
21
           to completely metabolize NDMA and
22
           spare downstream organs.
23
   BY MR. VAUGHN:
24
                 What about pigs? Do you
           Q.
```

- 1 recall the bioavailability of NDMA in
- <sup>2</sup> pigs?
- A. Yeah. I think that study
- 4 was around -- oh, I'm going to say
- <sup>5</sup> 45 percent, something like that.
- <sup>6</sup> Q. And then do you recall the
- <sup>7</sup> bioavailability of NDMA in dogs?
- A. I think it was somewhat
- <sup>9</sup> similar to the pigs. Maybe in that 40 to
- 10 50, 60 percent range.
- 11 Q. And so pigs, dogs, monkeys,
- the bioavailability of NDMA is hundreds
- of times higher than in rats, correct?
- A. When you give a thousand
- 15 times higher dose, yes.
- Q. But it's your opinion that
- 17 humans are most similar to rats in their
- bioavailability of NDMA?
- A. That is my contention. And
- there's literature to support that.
- Q. Is there literature that
- <sup>22</sup> goes against that?
- MS. THOMPSON: Objection.
- Form.

1	THE WITNESS: Again, you
2	have to be very specific about the
3	doses given.
4	And the other animal species
5	that you're talking about, the
6	doses given were a thousand or
7	more times higher than the doses
8	that I'm talking about in the rat
9	studies that have been shown to be
10	completely metabolized in the
11	liver.
12	MR. VAUGHN: Give me just
13	one second.
14	THE WITNESS: And I should
15	add, while you're looking,
16	there's it's a little more
17	complicated than that.
18	When you look at these kinds
19	of bioavailability studies, not
20	only is the dose important to
21	determine what you're going to
22	call bioavailability, the two
23	other things that are important to
24	look at, one is interspecies

1	differences in the amount of the
2	cytochrome P450 enzyme that we're
3	talking about here, which is 2E1.
4	And so for a species to have
5	less than a rat, let's say, then
6	even the same dose would give a
7	higher bioavailability because
8	they have less metabolizing
9	capacity by having less 2E1. And
10	beagles, swine, and monkey
11	primates are all known to have
12	less 2E1 than rats.
13	So that factors into that
14	higher bioavailability.
15	And then to go even a little
16	bit deeper, and this gets into the
17	understanding of how you calculate
18	or use AUCs to calculate
19	bioavailability, is there's an
20	assumption that you make. And all
21	of these articles we're referring
22	to identify that assumption.
23	And they clearly identify in
24	their own self-criticism of their

1 study, is it makes the assumption 2 that when you give a drug IV, it's 3 metabolized nowhere but in the 4 liver because you're comparing the 5 oral dose that goes straight 6 through the liver with the IV 7 dose. 8 And the more there is 9 extrahepatic metabolism of the 10 drug, the more the overestimate is 11 of the bioavailability. 12 So using those 13 bioavailability numbers in animals 14 that have less 2E1 that made 15 invalid assumptions about the 16 calculations of bioavailability to 17 begin with, and then thirdly give 18 a thousandfold or higher dose than 19 what the liver can handle at 20 smaller doses, then I evaluated 21 those studies, but because I 22 didn't think they were germane to 23 the doses of NDMA that we're 24 talking about here, they didn't

- alter my opinions in my report.
- <sup>2</sup> BY MR. VAUGHN:
- Q. Part of the reasons those
- 4 doses were not -- or you do not consider
- <sup>5</sup> similar to the amounts given to humans is
- 6 because humans weigh more than those
- <sup>7</sup> animals, correct?
- 8 A. No. You can do it on a
- <sup>9</sup> milligram per kilogram.
- The three other species
- 11 studies we're talking about, beagles,
- pig, and monkey, some of them gave both 1
- and 5-milligram-per-kilogram oral doses.
- 14 And if you do that on a scale of what's
- in valsartan containing NDMA, we are
- 16 talking thousands and thousands times
- <sup>17</sup> higher doses.
- And I think it might have
- been the monkey study that only gave one
- <sup>20</sup> milligram per kilogram. They didn't do
- $^{21}$  the five as well.
- So there are a lot -- there
- <sup>23</sup> are a lot of reasons why those trials did
- <sup>24</sup> not alter my conclusions, because they

```
1
   weren't relevant to the doses that we are
   talking about, and they weren't as close
   a species for 2E1 metabolism.
4
                 And you're saying humans are
5
   more similar to rats than monkeys?
6
                 MS. THOMPSON: Objection to
7
           form.
8
                 THE WITNESS: In 2E1
9
          metabolism.
10
   BY MR. VAUGHN:
11
           Q. Doctor, we got through that
12
   section a little quicker than I had
13
   anticipated.
14
                 MR. VAUGHN: I think it's a
15
           little after noon your guys' time.
16
           If you want to take a lunch break
17
           now, I think that would be --
18
           that's okay with me.
19
                 MS. THOMPSON: That's fine
20
          with me.
21
                 THE WITNESS: Yeah, that's
22
           fine.
23
                 MR. VAUGHN: How long do you
24
           guys want to take? We can go off
```

```
1
           the record.
2
                 THE VIDEOGRAPHER: The time
3
          now is 12:16 p.m. We're off the
4
           record.
5
                 (Whereupon a luncheon recess
6
           was taken.)
7
                 THE VIDEOGRAPHER: The time
8
           right now is 1:07 p.m. We're back
9
           on the record.
10
   BY MR. VAUGHN:
11
           Q. Doctor, you testified
12
   earlier that NDMA is a probable human
13
   carcinogen. Can you define for the jury
14
   the word "probable"?
15
                 Again, I take that
           Α.
16
   definition from the IARC definition of a
17
   known carcinogen in animals, but
18
   insufficient data to call it a known
19
   carcinogen in humans.
20
              Do you not have a definition
21
   for the word "probable"?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS: No, I don't.
```

```
1
   BY MR. VAUGHN:
2
                Would you say that probable
           0.
   is the same as more likely than not or a
   higher level of proof?
5
                 MS. THOMPSON: Objection to
6
           form.
7
                 THE WITNESS: Yeah, I don't
8
           have an opinion on that.
9
   BY MR. VAUGHN:
10
                 Do you think probable is
           Ο.
11
   possibly less than more likely than not?
12
                 MS. THOMPSON: Objection to
13
           form.
14
                 THE WITNESS: I don't have
15
           an opinion on that.
16
   BY MR. VAUGHN:
17
           Q. Does the bioavailability of
18
   valsartan decrease as you decrease the
19
   dose of valsartan?
20
                 MS. THOMPSON: Objection.
21
           Form.
22
                 THE WITNESS: Not that I'm
23
           aware of.
24
                 I don't recall seeing
```

```
1
           anything in the -- in the
2
           pharmacokinetic valsartan studies
3
           that indicated that. So it's
4
           probably of a similar amount
5
           across its usual oral dosage
6
           range.
7
   BY MR. VAUGHN:
8
           Q. Is that typical of most
9
   drugs?
10
                 It depends. It depends on
           Α.
11
   their clearance and how they're
12
   metabolized and what their dose range is.
13
              So why would valsartan --
           Q.
14
   because do you have to saturate it
15
   before -- beforehand, the liver, before
16
   it can get systemic?
17
                 MS. THOMPSON: Objection.
18
           Form.
19
                 THE WITNESS: Well, unless
20
           you're giving a drug orally with
21
           the intent of treating the colon,
22
           which is like what happens with
23
           some drugs for ulcerative colitis
24
           and Crohn's disease, then orally
```

```
1
           administered drugs that are
2
           supposed to have an effect
3
           somewhere other than the colon or
4
           the liver, then you have to give
5
           it at a dose that will get to
6
           those sites of action.
7
                 But I wouldn't characterize
8
           the metabolism as having been
9
           saturated at the doses that we
10
           give for valsartan.
11
   BY MR. VAUGHN:
12
           O. If valsartan is not
   saturated in the liver then why is some
13
14
   of the valsartan getting past the liver?
15
                 MS. THOMPSON: Objection.
16
           Form.
17
                 THE WITNESS: In this case
18
           it's a slowly metabolized drug.
19
           So it just takes a while to
20
           metabolize. So some drug is going
21
           on into the bloodstream while the
22
           other part that's still in the
23
           liver is waiting to be
24
           metabolized.
```

```
1
                 So, I mean, you could call
2
           that a form of saturation if you
3
          want. But it's -- it's not really
4
           a form of saturation. It's a rate
5
           of metabolism in this case.
6
   BY MR. VAUGHN:
7
           0.
                 And so I'm clear, the
8
   valsartan does not have to saturate the
9
   liver to get past the liver, correct?
10
                 MS. THOMPSON: Objection.
11
           Form.
12
                 THE WITNESS: I don't
13
           believe I've ever seen that
14
          described as being a saturable
15
          metabolism step.
16
   BY MR. VAUGHN:
17
          Q. Why with NDMA do you believe
18
   that it must fully saturate the liver for
19
   any amount of NDMA to get past the liver?
20
                 MS. THOMPSON: Objection.
21
           Form.
22
                 THE WITNESS: Because its
23
          rate of metabolism is different.
24
           It's a faster rate.
```

```
1
   BY MR. VAUGHN:
2
          O. What's the rate of
3
   metabolism of NDMA?
4
          A. I don't know that number off
5
   the top of my head.
6
              What's the rate of
7
   metabolism for valsartan?
8
          A. I also don't know the number
9
   off the top of my hand -- my head.
10
                 My point is that using the
11
   term "saturation" to define what does or
12
   does not get into the liver past the
13
   bloodstream, it's more complicated than
14
   that. It's based on rate of metabolism
15
   and the amount given as well.
16
                 How can you have the opinion
           Ο.
17
   that NDMA is metabolized faster than
18
   valsartan when you do not know the rate
19
   of metabolism of either valsartan or
20
   NDMA?
21
                 MS. THOMPSON: Objection.
22
          Form.
23
                 THE WITNESS: Just its
24
           clearance. Clearance.
```

- <sup>1</sup> BY MR. VAUGHN:
- Q. Can you explain that a
- 3 little more?
- 4 A. Well, there's two types of
- <sup>5</sup> clearance. There's high clearance and
- 6 low clearance. And it depends on the
- <sup>7</sup> kind of drug and which one is going to be
- 8 more dependent on the intrinsic clearance
- <sup>9</sup> of the liver versus hepatic blood flow
- 10 itself.
- And those ratios are all
- 12 different for different drugs.
- Q. Are you aware of any
- 14 substances that can inhibit P450?
- A. Yes. I'm aware of many.
- Q. Can you list off the ones
- that you're aware of?
- A. Amiodarone, cimetidine,
- 19 azole antifungals, erythromycin,
- 20 clarithromycin, many of the HIV drugs.
- The list goes on and on.
- Q. So taking substances that
- inhibit P450 increase the likelihood that
- NDMA is going to get past the liver in a

```
1
   human?
2
                 MS. THOMPSON: Objection.
3
           Form.
4
                 THE WITNESS: Again, it
5
          would depend on what the inhibitor
6
           inhibits.
7
                 Some of these drugs I
8
          mentioned only block P450-3A4 and
9
          they don't touch 2E1, which is the
10
          major P450 we're talking about
11
          here. And in looking at my 2E1
12
          metabolism, there are no listed
13
           inhibitors for 2E1.
14
   BY MR. VAUGHN:
15
          Q.
                None?
16
          Α.
                None.
17
                What about alcohol?
          0.
18
                Alcohol. You asked me about
          Α.
19
   drugs. And so I didn't list alcohol as a
20
   drug.
21
                 I apologize. So alcohol
           Q.
22
   could though?
23
                Alcohol has been shown to
          Α.
24
   block 2E1.
```

```
1
                 So it would be a bad idea to
           0.
   be drinking alcohol if you were taking
   valsartan contaminated with NDMA,
4
   correct?
5
                 Actually, I should --
           Α.
6
                 MS. THOMPSON: Objection to
7
           form.
8
                 THE WITNESS: Sorry.
9
                 Let me clarify. I think
10
           it's the alcohol that blocks 2A6
11
           and not 2E1.
12
   BY MR. VAUGHN:
13
          Q. And what are you basing that
14
   on?
15
                 The data.
           Α.
16
                 Are you aware of any other
           Ο.
17
   substances -- doesn't have to be drugs --
18
   any other substances that would inhibit
19
   2E1?
20
           A. I am not.
21
                What data are you relying on
           Q.
22
   to say that alcohol does not inhibit 2E1?
23
                 The fact that there isn't
           Α.
24
   any.
```

```
1
           0.
                 So you're not aware of any?
2
                 I'm not aware of any.
           Α.
3
                 So you did not -- sorry.
           0.
4
           Α.
                 I'm aware though of the
5
   alcohol and the 2A6.
6
                 If there is literature out
7
   there on various substances that can
8
   inhibit 2E1, you did not consider those
   in forming your opinions in this case,
10
   correct?
11
                 MS. THOMPSON: Objection.
12
                 THE WITNESS: I did not see
13
           any.
14
                 Sorry.
15
                 MS. THOMPSON: You got to
16
           let me object.
17
                 Objection to form.
18
                 Go ahead.
19
                 THE WITNESS: I did not see
20
           any.
21
   BY MR. VAUGHN:
22
             And so, therefore, you did
           Ο.
23
   not consider it, correct?
24
                Correct.
           Α.
```

```
1
                 Doctor, do you disagree with
           Q.
   the plaintiffs' experts that there is a
   linear dose-response with NDMA or NDEA
4
   and cancer with no dose threshold,
5
   correct?
6
                 MS. KAPKE: Object to form.
7
                 MR. VAUGHN: Let me re-ask
8
           that one.
9
   BY MR. VAUGHN:
10
           Ο.
                 Doctor, are you aware if
11
   sedatives can impact 2E1 or inhibit 2E1?
12
                 MS. THOMPSON: Object to
13
           form.
14
                 THE WITNESS: I don't recall
15
           specifically seeing that. If I
16
           did, my recollection was that it
17
           was one of the older sedatives
18
           that we don't use anymore. But
19
           I -- I don't have that off the top
20
           of my head.
21
   BY MR. VAUGHN:
22
           Q. So you do think that there
23
   are some substances that can inhibit 2E1?
24
                 Maybe.
           Α.
```

```
1
                Maybe. What about
           Q.
2
   phytochemicals?
3
          A. I'm not sure what you're
4
   referring to.
5
                 Chemical compounds produced
           Ο.
6
   by plants. Are you aware of any
7
   compounds that plants could produce that
8
   could inhibit 2E1?
9
          A. If there is, I didn't look
10
   at that or I didn't consider it.
11
          Q. Okay. Doctor, plaintiffs'
12
   experts have opined that NDMA and cancer
13
   have a linear dose-response with no dose
14
   threshold. You disagree with that
15
   opinion, correct?
16
                 MS. KAPKE: Object to form.
17
                 THE WITNESS: I disagree
18
          with the latter part of that
19
           conclusion about no dose
20
          threshold, because that's not
21
          consistent with the data that I've
22
          included in my report.
23
   BY MR. VAUGHN:
24
                Okay. Have you seen any
           Q.
```

- 1 evidence or literature suggesting that
- <sup>2</sup> there is a no-dose threshold?
- A. Yes. I refer in my
- <sup>4</sup> report -- excuse me. The Ito study.
- 5 O. You said Ito?
- A. I-T-O.
- <sup>7</sup> Q. Okay. Ito. Gotcha.
- A. There was a noneffective
- 9 level of carcinogenesis at .1 milligrams
- 10 per kilogram by the oral route.
- 11 Q. Is that the only thing that
- 12 you're basing your opinion off of?
- 13 A. No.
- 0. What else?
- A. One of the Peto studies on
- <sup>16</sup> Page 34. The apparent increase in liver
- 17 cancer was only seen in doses above
- 18 .3 parts per million, equating to
- 19 15 micrograms per kilogram per day.
- Q. So you actually relied on
- Peto to say there is no dose-response --
- or there is no -- I'm sorry, there is no
- <sup>23</sup> dose threshold?
- MS. THOMPSON: Objection to

```
1
           form.
2
                 THE WITNESS: I'm relying on
3
           that particular Peto study. And
4
           this is in -- this is in comparing
5
           against his rate of nontreated
6
           rats who also developed liver
7
           cancer.
8
                 So evidence of higher doses,
9
           yes, but not at the dose different
10
           from what was seen in the
11
           background noise of his rat
12
           population.
13
   BY MR. VAUGHN:
14
                 Do you know if Peto believes
15
   there is a no-dose threshold?
16
                 I may --
           Α.
17
                 MS. THOMPSON: Object to the
18
           form. Sorry.
19
                 THE WITNESS: Yeah, I may
20
           have mentioned it in my report
21
           that he uses terms about the
22
           likely shapes of dose-response.
23
           And that's on Page 36 in my
24
           report.
```

```
1
                 "In Peto's conclusion is the
2
           comment, 'General arguments about
3
           the likely shapes of dose-response
4
           relationships make it probable,
5
           even at lower doses where direct
6
           observation is impractical, that
7
           this linear relationship may
8
           remain approximately true for
9
           Colworth rats, if not for
10
           humans.'"
11
                 And so he's not sure at the
12
           low doses if there's enough
13
           evidence to solidly state that
14
           there is a linear relationship.
15
   BY MR. VAUGHN:
16
                He's not 100 percent sure,
           Ο.
17
   but he thinks it's probable, correct?
18
                 He think it's possible,
           Α.
19
               I'm just saying that the
   probable.
20
   people who do those studies are not
21
   100 percent convinced at the low doses.
22
                 They are not 100 percent.
           Ο.
23
   But they think it's probable, correct?
24
                 MS. THOMPSON: Objection to
```

```
1
           form.
2
                 THE WITNESS: That's not the
3
           word he used. He said
           "approximately true." So he
4
5
           didn't use the word "probable."
6
           That was your word.
7
   BY MR. VAUGHN:
8
           Q. Approximately true. Oh.
9
   Would you at least think that's more
10
   likely than not?
11
           A. I do not know what he meant
12
   by that.
13
             Okay.
           Q.
14
                 And what it does mean is
15
   that he can draw through the numbers and
16
   call it a straight line, but that doesn't
17
   mean that it actually describes what
18
   happens at low doses like we're talking
19
   about because he didn't do enough animals
20
   and you don't see enough cancer at those
21
   doses to have reliability.
22
                 And one of his areas of
23
   statistical analysis in that study
24
   involved something called his Z value.
```

- And I go onto describe on
- <sup>2</sup> the next page, in his methodology, the Z
- <sup>3</sup> value, if it's between -- between the
- <sup>4</sup> numbers two and three, then judgment as
- <sup>5</sup> to how likely it is that treatment really
- 6 did cause the disease of interest becomes
- 7 more difficult.
- 8 And so he's unclear as well,
- <sup>9</sup> because in the ones that we are talking
- about at these doses we're talking about
- were in that range of uncertainty with
- 12 that Z value between two and three.
- Q. Again, when you say he's not
- 14 sure, do you mean that, you know, he's --
- what was the word you used, approximately
- 16 true?
- 17 A. That was his previous
- 18 statement.
- Q. So you rely on Peto to say
- that there is a threshold, but you
- <sup>21</sup> disagree with Peto's analysis of his own
- 22 studies?
- A. I do not -- sorry.
- MS. THOMPSON: Objection to

```
1
           form.
2
                 THE WITNESS: I do not
3
           disagree. That's not what I said.
4
                 I said he is unsure at those
5
           low doses. And I am agreeing with
6
           him at those low doses about the
7
           uncertainty of a linear
8
           relationship at doses that low.
9
   BY MR. VAUGHN:
10
                 Do you agree with him that
           Ο.
11
   it's approximately true?
12
                 I agree that he can draw a
13
   line through them and then claim that's
14
   approximately true.
15
                 And I would just like to add
16
   that this is an era at the time where
17
   everyone pretty much already believed
18
   that it was linear. And so to me, he was
19
   trying to not accept that it might not
20
   be.
21
                 And I think there are other
22
   experts in this field who might argue
23
   that we have more modern data that
24
   dispute a low range linearity
```

1 relationship. 2 Approximately what year was Ο. Peto's study going on? 4 A. 1991 for this one. So 5 30 years ago. 6 If you didn't know what Peto 7 meant by approximately true, wouldn't you 8 want to see what he meant by that 9 wording? 10 MS. THOMPSON: Objection. 11 Form. 12 THE WITNESS: I think if he 13 was able to give more detail on 14 what he meant, he would have put 15 it in his paper. So I'm only 16 going on what he put on his paper. 17 BY MR. VAUGHN: 18 Have you not reviewed any of Ο. 19 Peto's other papers where he says that 20 there's likely no threshold for NDMA? 21 I have read his other Α. 22 papers. 23 And do you recall him saying 24 that it is likely there is no threshold

```
for NDMA?
1
2
                 MS. THOMPSON: Objection.
3
           Form.
4
                 THE WITNESS: I recall him
5
           saying that. But that's not what
6
           this study data shows.
7
   BY MR. VAUGHN:
8
           Q. And so are you disagreeing
9
   with Peto that it is likely there is no
10
   threshold for NDMA?
11
                 MS. THOMPSON: Objection.
12
           Form.
13
                 THE WITNESS: Again, I'm
14
           disagreeing that the data show
15
           that. We're talking now about his
16
           interpretation of his data at an
17
           era where linearity was the
18
           accepted, and which we now know is
19
           not necessarily the case.
20
   BY MR. VAUGHN:
21
                 Can you explain to the jury
           Q.
22
   what a linear dose-response means?
23
                 In this case it means that
           Α.
   you can identify with dose increases
```

- 1 across a broad enough dose range that you
- <sup>2</sup> see an increase in the effect. And that
- <sup>3</sup> effect could be a positive effect or it
- 4 could be a negative effect.
- 5 And I think all of the
- <sup>6</sup> papers in this realm that talk about low
- <sup>7</sup> doses, that the effect rates are so small
- 8 that you start losing your reliability of
- <sup>9</sup> that linear relationship.
- The Brantom study, which is
- the next one at the bottom of Page 37, in
- 12 Brantom's introductory remarks he
- 13 considers the possibility that at very
- 14 low levels of exposure there is no
- 15 effect.
- And he did essentially a
- 17 similar study to what Peto did.
- O. He considers the
- 19 possibility. Is that what you said?
- A. That's a quote in my -- in
- 21 my report from what he says in the
- introductory components to his thesis
- <sup>23</sup> project.
- Q. So he thinks there's some

```
possibility that there might not be a
   threshold?
3
                 MS. THOMPSON: Objection.
4
           Form.
5
                 THE WITNESS: That's what he
6
           says.
7
   BY MR. VAUGHN:
8
           Q. But you're convinced there
9
   is a threshold?
10
                 MS. THOMPSON: Objection.
11
           Form.
12
                 THE WITNESS: I believe
13
           there is a threshold, yes.
14
   BY MR. VAUGHN:
15
          Q. And so is it your opinion
16
   that you can consume a certain amount of
17
   NDMA per day and not be at any increased
18
   risk of developing cancer, but once you
19
   pass some threshold, then boom, you can
20
   start developing cancer?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: That would
24
          mischaracterize what I've written
```

- in my report.
- <sup>2</sup> BY MR. VAUGHN:
- <sup>3</sup> Q. How did I mischaracterize
- 4 it?
- <sup>5</sup> A. I have identified a level
- <sup>6</sup> below which, number one, there does not
- <sup>7</sup> appear to be proof of a cancer effect,
- 8 again, in rat studies. And that I
- <sup>9</sup> further go on to say that's consistent
- with first-pass metabolism at low doses
- of this kind of compound, and that these
- 12 reported no cancer rates are hundreds to
- thousands of times higher than the amount
- of NDMA found in any of the valsartan
- 15 products.
- Q. Based on your methodology,
- 17 correct?
- A. Based on what's in the
- <sup>19</sup> literature.
- Q. Okay. But, I mean, the dose
- comparison, you're the one that did that
- <sup>22</sup> calculation, right?
- A. I did. But I didn't create
- the noncancer dose that I'm reporting

```
from these studies like Ito.
1
2
                 But to take the animal dose
           Ο.
   to get the human dose, you're the one
   that did that math, right?
5
           Α.
                 Yes.
6
                 All right. And we'll get to
7
   your methodology on that later.
8
                 In your opinion, what is the
9
   threshold level of NDMA that is needed to
10
   increase a human's risk of getting
11
   cancer?
12
                 MS. THOMPSON: Objection.
13
                  Asked and answered.
           Form.
14
                 THE WITNESS: I do not know
15
           that dose. As I've said
16
           previously, I'm able to identify
17
           what appears to be a dose below
18
           which you don't see cancer. I
19
           don't have the ability to identify
20
           above which, because at these low
21
           dose exposure levels that seem
22
           they don't cause cancer or that do
23
           not cause cancer in animal
24
           studies, often the next dose is
```

```
1
           100 or a thousand times.
2
                 So there may be something in
3
           between. I don't know where that
4
           is.
5
                 So I'm only talking about
6
           the no effect dose. I'm not
7
           trying to describe or define the
8
           effect dose.
9
   BY MR. VAUGHN:
10
           Q. Is this mysterious threshold
11
   for NDMA in humans, is it the exact same
12
   for every person?
13
                 MS. THOMPSON: Objection.
14
           Form.
15
                 THE WITNESS: Since I don't
16
           know what it is, I can't answer
17
           that.
18
   BY MR. VAUGHN:
19
           Q. Is it your opinion that once
20
   that threshold is crossed, the
21
   dose-response then would be linear from
22
   then on?
23
                 MS. THOMPSON: Objection.
24
           Form.
```

```
1
                 THE WITNESS: That's not
2
           what I said.
3
                 What I said is the amount of
4
           NDMA in any valsartan product is
5
           hundreds to thousand times below
6
           doses that are no cancer related
7
           in the rat studies.
8
   BY MR. VAUGHN:
9
                 I'm sorry. I wasn't clear
           0.
10
   in my question probably.
11
                 Valsartan aside. If you're
12
   giving a human NDMA, once you pass
13
   whatever that threshold is, is the
14
   dose-response going to be linear?
15
                 We don't know that in
           Α.
16
   humans. I have no idea. There's never
17
   been a --
18
                 Is there a chance that it
           0.
19
   becomes exponential at some point, it
20
   kind of goes straight up?
21
                 I have no idea.
           Α.
22
                 MS. THOMPSON: Objection.
23
   BY MR. VAUGHN:
24
                What -- how do you define
           0.
```

- whether you have a linear dose-response?
- What does the word "linear" in the
- dose-response mean?
- <sup>4</sup> A. It depends on what the
- <sup>5</sup> response is.
- 6 Q. Can you explain that to me,
- <sup>7</sup> what you mean?
- <sup>8</sup> A. Well, which response are we
- <sup>9</sup> talking about?
- Q. Well, let's talk about NDMA
- and its ability to increase the risk of
- 12 cancer. So what is a linear
- dose-response mean in that context?
- A. In that context it's defined
- $^{15}$  a couple of different ways.
- Pegg defined it by looking
- <sup>17</sup> at the formation of adducts.
- Peto defined it by the
- 19 formation of tumors so there are
- <sup>20</sup> different ways of defining that.
- Q. But the linear part of it,
- <sup>22</sup> what does that mean? Does that mean,
- like, it's proportional to the amount
- <sup>24</sup> that you increase the dose to increase

- <sup>1</sup> risk of cancer? Is that what's going on
- <sup>2</sup> with linear?
- A. Again, the use of the term
- 4 linear means as the dose goes up, it
- <sup>5</sup> looks like the occurrence goes up, which
- 6 may or may not necessarily be
- <sup>7</sup> characterized as a dead straight line.
- 8 They just sort of observe that there's
- 9 more when they sort of plot it over time.
- 10 Q. Is there a reason that
- 11 throughout your entire expert report you
- 12 never mention that NDMA is genotoxic?
- MS. THOMPSON: Objection.
- 14 Form.
- THE WITNESS: There's no
- reason that I didn't mention it.
- 17 It was not what I was focused on
- in my report.
- <sup>19</sup> BY MR. VAUGHN:
- Q. Do you know if NDMA is
- 21 genotoxin?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: We know it is

```
1
           in animals.
2
   BY MR. VAUGHN:
3
           Q. Do you know if a substance
4
   being a genotoxin impacts its dose
5
   threshold?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: I don't even
9
          understand the question. So I'm
10
           not sure how to answer it.
11
   BY MR. VAUGHN:
12
           Q. Okay. So you didn't
13
   consider the fact that NDMA is a
14
   genotoxin when coming to your opinions
15
   that there is a threshold for NDMA
16
   exposure before it's going to increase
17
   the risk of cancer, correct?
18
                 Well, not correct, because
           Α.
19
   that's not what I testified.
20
                 I'm not defining, again, the
21
   threshold at which genotoxicity occurs.
22
   That wasn't the focus of my report.
23
                 There's -- I think you kind
           0.
24
   of misconstrued things. I don't think
```

- <sup>1</sup> there's a threshold for genotoxicity.
- <sup>2</sup> NDMA is just a genotoxin, period,
- 3 correct?
- A. Yes, it's a genotoxin.
- <sup>5</sup> Q. At any amount given, right?
- A. Well, I don't know about
- <sup>7</sup> that. That sort of gets out of my area
- 8 of testimony, because there are
- 9 oncologists and toxicologists that that's
- <sup>10</sup> more within their realm.
- 11 I'm more looking at more
- dose-response relationships at what
- 13 appear to be safe levels of NDMA and how
- that compares to the amount of NDMA found
- <sup>15</sup> in valsartan products.
- Q. And so you would defer to an
- oncologist or a toxicologist on if the
- genotoxicity of a substance would impact
- 19 it's dose threshold?
- MS. THOMPSON: Objection.
- THE WITNESS: Not
- necessarily, but again, I don't
- know that I understand what that
- question was asking.

```
1
   BY MR. VAUGHN:
2
                 Do you know or have you seen
           Ο.
3
   any literature that says that you should
4
   calculate the dose-response or the
5
   threshold differently if the substance is
6
   a genotoxin?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: Characterizing
10
           dose-response relationships has
11
           nothing to do with whether a
12
           chemical or a drug is genotoxic or
13
           not.
14
   BY MR. VAUGHN:
15
                 What about dose threshold?
           0.
16
                 Again, depends on the
           Α.
17
   response. But there are many drugs where
18
   you calculate a dose threshold that has
19
   nothing to do with genotoxicity.
20
                 There are many drugs that
           Ο.
21
   you can calculate -- sorry, scratch that.
22
                 Can you name one genotoxin
23
   that has a threshold level needed to --
24
   scratch that again. I'm sorry.
```

```
1
                 Can you name one genotoxin
2
   that has a dose threshold?
3
                 MS. THOMPSON: Objection.
4
           Form.
5
                 THE WITNESS: A dose
6
           threshold for what?
7
   BY MR. VAUGHN:
8
           O. Before it can cause cancer.
9
           Α.
                 No. I mean, that's what I
10
   previously testified is that I'm not here
11
   today to try to define the genotoxic dose
12
   threshold that starts causing
13
   genotoxicity. That's not the nature of
14
   my report.
15
                 Then how can you say that
16
   there is a dose threshold for NDMA?
17
                 And I'll say again, it's the
18
   dose from the studies that was shown to
19
   not be genotoxic.
20
                And so that's all that you
           Ο.
21
   base your opinion on, correct?
22
                 That is what I'm basing my
           Α.
23
   opinion on.
24
                 Thank you. Can you define
           Q.
```

```
genotoxic to the jury for me?
2
                 MS. THOMPSON: Objection.
3
           Form.
4
                 THE WITNESS: I don't think
5
           that's my role to do that.
6
                 Again, I'm focusing on drug
7
           metabolism. I think there are
8
           others who have spent time on
9
           toxicity, genotoxicity,
10
           mutagenicity. They're better
11
           equipped to do that than I am. So
12
           it's not my role.
13
   BY MR. VAUGHN:
14
           Q. And you would defer to them,
15
   correct?
16
           A. In the definition of
17
   genotoxicity, yes, I would.
18
                 And that's the only aspect
           Ο.
19
   that you would defer to them on, is just
20
   the definition?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: I never said
24
           it was the only aspect I would
```

```
1
           refer to them on. I said that
2
           specific question is one that I
3
           think it's -- I would defer to
4
           them.
5
   BY MR. VAUGHN:
6
           Q. Do you know if a genotoxin
7
   can permanently alter a person's DNA?
8
                 MS. THOMPSON: Objection.
9
           Form.
10
                 THE WITNESS: I have no
11
           opinion on that.
12
   BY MR. VAUGHN:
13
             So you have no definition of
14
   genotoxicity?
15
                 MS. THOMPSON: Objection.
16
           Form.
17
                 THE WITNESS: I do not.
18
   BY MR. VAUGHN:
19
                 And I assume you probably
           0.
20
   have no opinion if it does permanently
21
   mutate someone's DNA, if that can be
22
   passed to every generation thereafter?
23
                 MS. THOMPSON: Objection.
24
           Form.
```

- THE WITNESS: I do not have
  - an opinion on that.
  - 3 BY MR. VAUGHN:
  - Q. Let's go to Page 26 of your
  - <sup>5</sup> expert report. The first paragraph at
  - <sup>6</sup> the bottom, if you can read us the first
  - <sup>7</sup> sentence that starts with, "The alpha."
  - <sup>8</sup> A. "The alpha-hydroxylation
  - 9 pathway produces the methyldiazonium ion,
- which binds with a segment of DNA to
- 11 produce a primary mutagenic and
- 12 carcinogenic substance
- 13 06-methyl-quanine."
- Q. Can you explain what that
- means to the jury?
- A. Well, to me, what it means
- particularly if you put it in the context
- of the following sentence, is that the
- 19 key step in producing the potential
- <sup>20</sup> mutagenic carcinogenic substance is
- <sup>21</sup> forming the alpha-hydroxylated metabolite
- of NDMA, which is 2E1-mediated.
- Q. Is it okay if we refer to
- $^{24}$  this as 06 going forward?

```
1
          Α.
                 Sure.
2
                It's a lot to say. Thank
          0.
3
   you. And so you would agree that 06 is a
4
   carcinogen, correct?
5
                MS. THOMPSON: Objection.
6
          Form.
7
                 THE WITNESS: That is the
8
          known carcinogen, correct.
9
   BY MR. VAUGHN:
10
          Q. And so O6 is not a probable
   human carcinogen. O6 is a known human
11
12
   carcinogen, correct?
13
                MS. THOMPSON: Objection to
14
          form.
15
                 THE WITNESS: Incorrect. We
16
          do not know the known carcinogenic
17
          effect of NDMA or its downstream
18
          metabolites.
19
   BY MR. VAUGHN:
20
          Q. Why did -- in your report,
21
   do you note that 06 is a carcinogenic
22
   substance?
23
          A. Because it caused cancer in
24
   animals.
```

```
1
                 Oh, so you're talking about
           Ο.
2
   animals. You are not talking about
3
   humans?
4
           Α.
                 Yes.
5
                 You think O6 is unlikely to
           Ο.
6
   cause cancer in humans?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: I never said
10
                  I think that's, again, a
           that.
11
           better question for toxicology
12
           oncology. The amounts, the
13
           mechanism, the inherent protective
14
           mechanisms. That's not the nature
15
           of my testimony.
16
   BY MR. VAUGHN:
17
              The amount, you would defer
18
   to a toxicologist or an oncologist, is
19
   what you just testified to, correct?
20
                 MS. THOMPSON: Objection to
21
           form.
22
                 THE WITNESS: The amount
23
           that would make it a carcinogen in
24
           animals, yes.
```

```
1
   BY MR. VAUGHN:
2
          Q.
                And in humans?
3
           A. I don't have to defer to
4
   anybody on that one because it's never
5
   been studied, so it's not known.
6
              Okay. But at least in
7
   animals, you would defer to an oncologist
8
   or toxicologist on how much of a dose is
9
   necessary to induce cancer, correct?
10
                 MS. THOMPSON: Objection.
11
           Form.
12
                 THE WITNESS: Correct. As
13
          I've stated before, it was not the
14
           intent of my report to define that
15
          threshold.
16
                 My point was to find the
17
           threshold below which there
18
          doesn't appear to be a cancer
19
          risk.
20
   BY MR. VAUGHN:
21
                 Okay. So would you also
           0.
22
   defer to a cancer researcher on what dose
23
   would cause cancer or could increase the
24
   risk of cancer?
```

```
1
                 MS. THOMPSON: Objection.
2
           Form.
3
                 THE WITNESS: That's what I
4
           said, yes.
5
   BY MR. VAUGHN:
6
                All right. She objected.
7
   Let me ask it again so it's really clear.
8
                 In regards to the dose
9
   necessary for NDMA to increase the risk
10
   of cancer, you would defer to a cancer
11
   researcher, correct?
12
                 MS. THOMPSON: Objection.
13
           Form.
14
                 THE WITNESS: It's not the
15
           nature of my testimony.
16
   BY MR. VAUGHN:
17
                And so you would defer to a
18
   cancer researcher, correct?
19
                 Potentially. Could be
           Α.
20
   toxicologist. Could be somebody else.
21
   But it's not the nature of my testimony.
22
           Q. Let's go to Page 33 of your
23
   report now.
24
                 So towards the bottom of
```

- <sup>1</sup> this page, you opine that the liver may
- <sup>2</sup> have a carcinogenic surveillance system
- 3 that removes O6 from DNA prior to
- 4 carcinogenesis.
- Is this opinion based on the
- <sup>6</sup> Pegg paper that you cited above?
- A. Pegg refers to it in his
- <sup>8</sup> paper. There are many others that I came
- 9 across that refer to that too. And the
- 10 surveillance system is my own sort of
- 11 selection of a descriptor.
- 12 Q. Do you find Pegg to be
- 13 reliable?
- 14 A. Yes.
- Q. Your opinion regarding the
- 16 surveillance system being able to remove
- 17 O6 from DNA prior to carcinogenesis, is
- that opinion specific to the liver?
- 19 A. In the context of what my
- 20 report is, I'm referring to the liver's
- 21 ability to protect itself against
- 22 potential carcinogens from NDMA, again
- $^{23}$  depending on the dose.
- My understanding, although

```
1
   it's not my area, is that that
   surveillance system is essentially in all
   tissues, in all cells.
4
                 And so you agree that this
5
   surveillance system opinion is really not
6
   in your wheelhouse, correct?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: It's in my
10
           wheelhouse in the context of how I
11
           used it. I'm not trying to
12
           quantify it.
13
                 I find it from a
14
           pharmacologic sense interesting
15
           that the lower dose NDMA, because
16
           of first-pass metabolism and
17
           clearance, 2E1 produces the
18
           potential carcinogen in the very
19
           organ that has the best probable
20
           capacity to remove it.
21
   BY MR. VAUGHN:
22
              And so if NDMA were to have
           Ο.
23
   a high bioavailability in humans and was
24
   able to get past the liver, the liver's
```

```
1
   carcinogenic surveillance system wouldn't
   have any impact on the O6 formations in
   other organs or tissues, correct?
4
                 MS. THOMPSON: Objection.
5
           Form.
6
                 THE WITNESS: Again, that's
7
           a hypothetical. That's not what
8
           I'm dealing with because we're not
9
           giving those kinds of doses to
10
           humans.
11
   BY MR. VAUGHN:
12
           Q. You are an expert in this
13
   litigation, so I can ask you a
14
   hypotheticals.
15
                 And I'm saying
16
   hypothetically, if it were to get past
17
   the liver, the liver surveillance system
18
   wouldn't have any impact on those 06
19
   formations in other tissues and organs,
20
   correct?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: Yeah, if you
24
          were to give some massive
```

```
1
           overdose, then I guess, in theory,
2
           in your hypothetical, you could
3
           bypass the liver.
4
   BY MR. VAUGHN:
5
                But you don't know what dose
           0.
6
   that is, correct?
7
           Α.
                 I do not.
8
           Q.
                Okay. Are you aware --
9
   sorry.
10
                 Are you aware of any factors
   that can inhibit or increase the
11
12
   metabolism of NDMA in the liver?
13
                 MS. THOMPSON: Objection.
14
           Form.
15
                 THE WITNESS: The only one
16
           that I looked at, because of my
17
           interest in pharmacogenomics, is
18
           to see if there is any 2E1 related
19
           polymorphisms. And those have not
20
           been identified. So no.
21
   BY MR. VAUGHN:
22
           Q. And so in coming to your
23
   opinions in this case, you did not
24
   consider any factors that could inhibit
```

```
or increase the metabolism of NDMA in the
1
2
   liver?
3
                 MS. THOMPSON: Objection.
4
                 THE WITNESS: In the
5
           research that I did, if I came
6
           across it, then I would have
7
           commented on it. So it wasn't
8
           that I didn't consider it. I
9
           would have looked for it in the
10
           articles that I was looking at.
11
   BY MR. VAUGHN:
12
                 And you didn't comment
           0.
13
   anywhere in your expert report on it, did
14
   you?
15
                 I did not.
           Α.
16
                 Did you actually read the
           Q.
17
   Pegg paper?
18
           Α.
                 I did.
19
                 MR. VAUGHN: Hey, Tyler, can
20
           you pull up the 1980 Pegg paper
21
           for us.
22
                 (Document marked for
23
           identification as Exhibit
24
           Bottorff-4.)
```

```
1
                 MR. VAUGHN: Go to PDF Page
2
           15.
3
   BY MR. VAUGHN:
4
                 All right. And that second
           0.
5
   sentence, can you read that aloud for the
6
   jury, please, where it starts, "For
7
   example."
8
           A. "For example, when the
9
   ability of the liver to metabolize NDMA
10
   is impaired by feeding a
11
   protein-deficient diet, a greater
12
   fraction of the carcinogen may become
13
   available for reaction with other
14
   organs."
15
                Why did you not mention that
16
   in your expert report?
17
                 MS. THOMPSON: Objection.
18
           Form.
19
                 THE WITNESS: I have no
20
           reason for that. But again, the
21
           impact of that would have to also
22
           depend on the dose.
23
                 And so, I didn't consider it
24
           as having an impact on the doses
```

```
1
           that we're talking about.
   BY MR. VAUGHN:
3
           Q. Why didn't you mention that
4
   in your expert report? I thought you
5
   said you would have addressed it?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: Because I
9
           didn't think it would have an
10
           impact at the amount of doses that
11
           we are talking about.
12
   BY MR. VAUGHN:
13
                Well, that's not the answer
           Q.
14
   that you gave me a second ago, is it?
15
                 MS. THOMPSON: Objection.
16
           Form.
17
   BY MR. VAUGHN:
18
                 Go ahead and read the next
           Ο.
19
   sentence. Starts also. "Also since
20
   uptake."
21
                 Can you read that for the
22
   jury?
23
                 MS. THOMPSON: Can he answer
24
           the question that you had earlier
```

```
1
           before we --
2
                 MR. VAUGHN: I'm sorry. I
3
           thought he -- yeah, absolutely.
4
                 MS. THOMPSON: Okay. We may
5
           need the court reporter to read it
6
           back. But I think you asked an
7
           question and then he didn't
8
           answer --
9
                 MR. VAUGHN: I can ask it
10
           again.
11
   BY MR. VAUGHN:
12
                 That was not the answer that
           0.
13
   you gave earlier, was it?
14
                 MS. THOMPSON: Objection.
15
           Form.
16
                 Go ahead.
17
                 THE WITNESS: And I think I
18
           said that if it would have
19
           impacted my opinions, I would have
20
           included it. And so it didn't
21
           impact my opinion.
22
   BY MR. VAUGHN:
23
                 I thought you said if you
           0.
   would have read it in the literature you
24
```

```
1
   would have addressed it in your expert
2
   report.
3
                 MS. THOMPSON: Objection to
4
           form. Mischaracterizes.
5
                 THE WITNESS: If it would
6
          have impacted my opinion.
7
   BY MR. VAUGHN:
8
           Q. And again, you haven't
9
   reviewed all the internal testing on the
10
   levels of NDMA in valsartan, have you?
11
                 MS. THOMPSON: Objection.
12
           Form.
13
                 THE WITNESS: No, I haven't.
14
   BY MR. VAUGHN:
15
                 Okay. I'm going to now ask
           0.
16
   you if you can read that next sentence
17
   that starts with "Also, since uptake."
18
```

- "Also, since uptake of NDMA Α.
- 19 is more rapid from the small intestine
- 20 than from the stomach, agents that retard
- 21 gastric emptying might be expected to
- 22 slow the rate of absorption."
- 23 What does "retard gastric Ο.
- 24 emptying mean?

```
1
                 Slow gastric emptying into
           Α.
2
   the site of absorption.
3
               Okay. Can you read the next
4
   sentence for me?
5
                 "Agrelo have recently
           Α.
6
   published data which show that the
7
   presence of fat retards the rate of
8
   uptake and the metabolism of oral doses
9
   of NDMA."
10
                 And you --
           0.
11
                 And he -- I'm sorry.
           Α.
12
                 Then he goes on to say that
13
   that might actually increase its
14
   metabolism by the liver, not enhance its
15
   ability to escape the liver.
16
                 And you didn't mention that
           Ο.
17
   in your report either, did you?
18
                 MS. THOMPSON: Objection.
19
           Form.
20
                 THE WITNESS:
                                No.
                                     Again,
21
           these aren't things that I
22
           considered. He was being thorough
23
           in looking at potentials.
24
                 But most of these would have
```

```
1
           effects, based on my knowledge of
2
           these issues with other drugs that
3
           would not be -- that would not
4
           alter my opinion about NDMA in the
5
           doses that we are talking about.
6
   BY MR. VAUGHN:
7
           0.
                 Do you know if a person's
8
   liver would metabolize NDMA with the same
9
   efficiency if the person took their
10
   valsartan with just water versus taking
11
   their valsartan with food or drinks other
12
   than water?
13
                 MS. THOMPSON: Objection.
14
           Form.
15
                 THE WITNESS:
                                I'm sorry,
16
           effect their absorption of what?
17
   BY MR. VAUGHN:
18
                 Do you know if a person's
           0.
19
   liver would metabolize NDMA at the same
20
   efficiency regardless if the patient took
   the valsartan with water or if they took
21
22
   it with food or if they took it with a
23
   drink other than water?
24
                 MS. THOMPSON: Object to
```

```
1
           form.
2
                 THE WITNESS: We -- sorry.
3
           We don't know that.
4
   BY MR. VAUGHN:
5
           0.
                We don't -- who is we?
6
                We, us, all of us. Nobody
           Α.
7
   knows that answer.
8
           Q. Are you speaking for the
9
   plaintiffs' experts as well?
10
                 Well, let me rephrase my
           Α.
11
   answer then.
12
                 There are no data in humans
13
   that address that question that you
14
   asked.
15
                 What about animals?
           0.
16
                 I mean, you could talk about
           Α.
17
   what he says here. I don't think they
18
   have a substantial effect at the doses
19
   we're talking about.
20
                What do you base that on?
           Ο.
21
                 Just that these doses are so
           Α.
   small and generally these sort of
22
23
   theoreticals don't have that much of an
24
   impact.
```

```
1
                And again, these doses that
   are so small, you're not even aware of
   the highest doses, are you?
4
                 MS. THOMPSON: Objection.
5
           Form. Asked and answered.
6
                 THE WITNESS: I'm aware of
7
           the highest doses that I had
8
           access to.
9
   BY MR. VAUGHN:
10
                 Do you know if vitamins can
           Ο.
11
   impact the carcinogenicity of NDMA or
12
   NDEA in animals or humans?
13
                 MS. THOMPSON: Objection to
14
           form.
15
                 THE WITNESS: I have not
16
           looked at that.
17
   BY MR. VAUGHN:
18
                 Being a vegetarian, would
           Ο.
19
   that have any impact on how efficiently
20
   someone's liver can metabolize NDMA?
21
                 MS. THOMPSON: Objection to
22
           form.
23
                 THE WITNESS: I have no
24
           opinion on that.
```

```
1
   BY MR. VAUGHN:
2
                 Same thing with a high-fat
           Ο.
3
   diet. You have no opinion on that if
4
   it's going to impact the rate of
5
   metabolism of NDMA in a human liver?
6
                 I have no -- no opinion.
7
                 Same with alcohol, no
           Ο.
8
   opinion on if that's going to impact the
9
   metabolism of NDMA in a human?
10
           Α.
                 Correct.
11
                 So is your opinion regarding
           0.
12
   NDMA in valsartan, how it's going to be
13
   metabolized, based on the assumption that
14
   nothing else can impact the metabolism?
15
                 MS. THOMPSON: Objection.
16
           Form.
17
                 THE WITNESS: Let me put it
18
           back into perspective that as my
19
           approach.
20
                 Again, in the doses that do
21
           not appear to be carcinogenic in
22
           animals, which is hovering around
23
           .1 milligrams per kilogram or
24
           lower, that that threshold, which
```

1		is not the carcinogenic threshold,
2		it's the non-carcinogenic
3		threshold, is in the range of 350
4		to 21,000 times higher than the
5		valsartan I evaluated as having
6		contained those amounts of NDMA.
7		And so, if gastric emptying
8		or taking a glass of water versus
9		a glass of milk, there's never
10		been any bioavailability study
11		with any drug under any of those
12		conditions that has changed
13		absorption by 350 times or, you
14		know, 22,000 times.
15		So these issues that
16		might in Pegg's paper where I
17		think he was being thorough in all
18		the data he analyzed, in my
19		opinion, having read this, it had
20		no impact on my conclusion.
21		So I didn't put it in the
22		paper for that reason.
23	BY MR.	VAUGHN:
24		Q. Your paper is not as

```
thorough as Pegg's?
2
                 MS. THOMPSON: Is that a
3
          question or a statement?
4
                 MR. VAUGHN: It's a
5
          question.
6
                 MS. THOMPSON: Objection.
7
          Form.
8
                 THE WITNESS: My paper is
9
          focused on what I focused on. His
10
          paper focused on other things.
11
   BY MR. VAUGHN:
12
          Q. In your opinion, is
13
   100 percent of NDMA absorbed and makes
14
   its way to the liver?
15
                 MS. THOMPSON: Objection.
16
          Form.
17
                 THE WITNESS: Do you mean
18
          given orally?
19
   BY MR. VAUGHN:
20
          O. Correct.
21
          A. Because inhaled --
22
          O. No, I understand. I
23
   appreciate your clarification. I'll
24
   re-ask the question.
```

- 1 Is it your opinion that when
- NDMA is ingested orally, that 100 percent
- <sup>3</sup> of it makes its way to the liver?
- <sup>4</sup> A. Yes. I think there are
- <sup>5</sup> bioavailability studies in animals that
- 6 show that.
- <sup>7</sup> Q. So none of it is going to be
- 8 excreted through the feces or make it
- 9 down that tract?
- $^{10}$  A. No. I think the study I
- saw, the absorption was 90-something
- 12 percent.
- Q. Does the stomach have P450
- <sup>14</sup> in it?
- 15 A. It does. The only two
- 16 enzymes that I've seen in the stomach are
- 17 like 2J2 and 2S4, or something.
- So very, very uncommon
- 19 P450s, but not the ones we are talking
- <sup>20</sup> about.
- Q. What about in the
- intestines, large intestine, small
- intestine? Do they have P450-2E1?
- A. Actually, they do not. The

- 1 small intestine does not have 2E1.
- Q. A second ago, did you say
- 3 that you saw a study that said 90 percent
- 4 was absorbed?
- 5 A. I believe that's the one
- <sup>6</sup> that I saw where they gave administration
- <sup>7</sup> through a feeding tube into the stomach
- 8 and then also down into the intestine.
- 9 Q. So that's not 100 percent.
- Where's that other ten percent going?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: They just
- couldn't measure it anymore from
- drawing back from the tube.
- 16 BY MR. VAUGHN:
- 17 O. Is there a chance that some
- 18 of it would be excreted to the feces?
- 19 A. It hasn't been described.
- Q. Do you know if the rectum
- <sup>21</sup> has P450-2E1?
- A. I believe it does not. My
- <sup>23</sup> understanding is that as you go further
- down from the small intestine towards the

- larger intestine, that there's this
- <sup>2</sup> decline in all the P450s. And I have
- 3 never seen anything that identified 2E1
- <sup>4</sup> being in the colon or rectum.
- 5 O. Does the entire GI tract
- 6 have P450 in it?
- MS. THOMPSON: Objection.
- 8 Form.
- 9 THE WITNESS: Some have
- P450, and some do not.
- 11 BY MR. VAUGHN:
- 12 Q. The study in which you were
- 13 saying 90 percent, what animal was that
- 14 in? Do you recall?
- A. Pretty sure it was in rats.
- Q. And was that an oral dose?
- A. Yes. They put like a
- 18 feeding tube down and then administered
- 19 the NDMA through the feeding tube. And
- then sampled back out of the feeding tube
- over time to see how the drug was
- <sup>22</sup> absorbed.
- Q. How does pulling it back out
- let you know if it made it to the liver?

```
1
                 MS. THOMPSON: Objection.
2
           Form.
3
                 THE WITNESS: There are
4
           other studies showing that it goes
5
           to the liver once it's absorbed in
           the small intestine.
6
7
                 MR. VAUGHN: Can we go to
8
           Page 19 -- actually, before we do
9
           that, stay here.
10
                 Can we go to the bottom of
11
           the summary of -- on this page,
12
           yeah.
13
   BY MR. VAUGHN:
14
           Q. Then can you read the
15
   sentence that starts with, "The greatest
16
   capacity," and read that sentence and the
17
   one afterward.
18
                 Yeah, I can see fine on
           Α.
19
   mine.
20
                 "The greatest capacity to
21
   metabolize these nitrosamines to
22
   alkylating agents is found in the liver,
23
   but other organs including the esophagus,
24
   lung and kidney are also capable of
```

```
activation."
1
2
                And the next sentence as
           Ο.
   well, please.
4
                 "These organs may be more
5
   susceptible to alkylation than the liver
6
   because they have a lesser ability to
7
   catalyze the removal of the
8
   O6-alkyl-guanine from their DNA."
9
                 Do you agree with that?
           Ο.
10
                 Particularly if you go on to
11
   the next sentence, because I want to put
12
   it in the proper context.
13
                 "However, orally
14
   administered doses of NDMA and the NDMA
15
   formed by nitrosation reactions" --
16
                 THE WITNESS: Can you keep
17
           scrolling for me, please.
18
                 MS. THOMPSON: I don't have
19
           control of the documents.
20
                 THE WITNESS: Oh, I'm sorry.
                 -- "within the GI tract are
21
22
           rapidly absorbed from the upper
23
           part of the small intestine and
24
           carried to the liver in the portal
```

```
1
           blood supply. When small doses
2
           are given in this way, the
3
           capacity of the liver to
4
           metabolize the carcinogen is
5
           sufficient that the nitrosamines
6
           effectively cleared in a
7
           first-pass effect, leaving very
8
           little to interact with other
9
           organs."
10
                 So to read those couple
11
           sentences that you had me start
12
           with, I think it was only fair to
13
           put it into the context of the
14
           rest of Pegg's comments.
15
   BY MR. VAUGHN:
           Q. No, actually. I'm really
16
17
   glad that you did. At the end of that,
18
   it said "very little is left to interact
19
   with other organs."
20
                 You agree with that, right?
21
   It's not that it's none left. It's just
22
   not as much, right?
                No, not right. It depends
23
           Α.
24
   on the dose.
```

```
1
                 And here it says "when small
           Ο.
2
   doses are given." Would you agree with
           Small doses, you're still going to
   that?
4
   get a little bit that goes to the other
5
   organs?
6
                 Depends on --
           Α.
7
                 MS. THOMPSON: Objection to
8
           form.
9
                 Sorry.
10
                 THE WITNESS:
                                Sorry.
11
                 It depends on how small the
12
           dose.
13
   BY MR. VAUGHN:
14
                 Do you have any idea what
15
   Pegg meant when he said small dose here?
16
                 No, he didn't define it in
           Α.
17
   this set.
18
                 And we don't know if his
           Ο.
19
   definition of small dose is the same as
   your definition of a trace amount?
20
21
           Α.
                 I don't.
22
                 All right. Can we go back
           0.
23
   to your expert report, and go to Page 19
24
   now.
```

```
1
                 Can you read out loud the
   first full sentence on this page?
   starts at the end of Line 117.
4
                                 Line 117?
                 MS. THOMPSON:
5
                 MR. VAUGHN: I messed up on
6
           that. 317.
7
                 It starts with the word
8
           "only." Sorry about that.
9
                 MS. THOMPSON: Here, if it's
10
           easier to read.
11
                 THE WITNESS: I've go it.
12
                 "Only when the dose exceeds
13
           first-pass metabolism capacity
14
           will unchanged drug or compound be
15
           systemically available for
16
           distribution through the
17
           bloodstream, leaving the liver and
18
           being delivered to other tissues
19
           and organs."
20
   BY MR. VAUGHN:
21
                 And so is it your opinion
           Q.
22
   that if a human orally ingests NDMA, that
23
   it would only be detectable in the blood
24
   if it was exceeding the first-pass
```

```
1
   metabolism capacity of the liver?
2
           Α.
                 Correct.
3
                 Do you agree that if NDMA
4
   reaches the bloodstream, that it has the
5
   potential to cause cancer in numerous
6
   organs and tissues?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: Again, I think
10
           we've talked about this a few
11
           times.
12
                 It depends on the amount,
13
           how much gets past the liver. And
14
           the ability of that organ to
15
           generate -- or to have the 2E1.
16
                 So it's dependent on a lot
17
           of things.
18
   BY MR. VAUGHN:
19
                 And again, you've stated
           0.
20
   several times that you're not here to
21
   talk about what dose is necessary.
22
                 So dose aside, if you're
23
   getting into the bloodstream there's more
24
   organs and tissues at risk, correct?
```

```
1
                 MS. THOMPSON: Object to
2
           form.
3
                 THE WITNESS: There's --
4
           there's more organs and tissue
5
           that can receive the drug. I
6
           don't know what that risk is
7
           because it depends on the amount.
8
   BY MR. VAUGHN:
9
                 I mean, the risk of it would
10
   be getting cancer. Are you saying that
11
   you don't know how likely they are to get
12
   cancer?
13
           Α.
                 Yes.
14
                 MS. THOMPSON: Object to
15
           form.
16
                 THE WITNESS: I can't
17
           quantify without having a dose
18
           to -- or an amount that gets to
19
           the organ or knowing which organ
20
           and how much 2E1 it has and how
21
           much of a removal system that it
22
           has.
23
                 Those are -- those are all
24
           things that would impact the
```

```
1
           conclusion you were drawing.
   BY MR. VAUGHN:
3
           Q. Are you aware if some people
4
   are exposed to NDMA in their diet?
5
           Α.
                 I am aware of that.
6
                 Do you know what the average
7
   amount of NDMA that -- scratch that. One
8
   second.
9
                 Do you know what the average
10
   amount of NDMA an American is exposed to
11
   in their diet every day?
12
                 MS. THOMPSON: Objection to
13
           form.
14
                 THE WITNESS: I recall
15
           having seen it.
16
                 My recollection is that it
17
           might be like a few hundred
18
           nanograms or up to maybe a tenth
19
           of a microgram or something like
20
           that.
21
   BY MR. VAUGHN:
22
                 It's kind of impossible to
           0.
23
   not be exposed to NDMA at all as a human,
24
   correct?
```

```
1
                 I would say that the sources
   of NDMA that I've read about that are in
   dietary substances, some or many of them
4
   are part of the normal American diet,
5
   yes.
6
             Is it your opinion that NDMA
           Ο.
7
   in the diet can't cause cancer in humans?
8
                 MS. THOMPSON: Objection to
9
           form.
10
                 THE WITNESS: It is my
11
           opinion that looking at the
12
           dietary studies that have been
13
           done, I don't believe they
14
           reliably and consistently show
15
           that they have caused cancer
16
           through dietary studies.
17
   BY MR. VAUGHN:
18
                 But they do show an
           Ο.
19
   association, correct?
20
                 MS. THOMPSON: Objection to
21
           form.
22
                 THE WITNESS:
                                Sorry.
                                        An
23
           association, yes.
24
   BY MR. VAUGHN:
```

1 I want to ask you again your 2 opinion. Can the amount of NDMA in the diet increase the risk of cancer in 4 humans? 5 MS. THOMPSON: Objection to 6 form. 7 THE WITNESS: And I'm saying 8 that hasn't been demonstrated. Ιf 9 it had been, it would have a 10 different IARC classification than 11 it does. 12 BY MR. VAUGHN: 13 You're saying the amount of Q. 14 NDMA in the diet probably could increase 15 the risk of cancer in humans? 16 No, I'm not saying that. Α. 17 I'm saying there's no data that it does 18 increase cancer in humans. 19 There's no data at all? Ο. 20 There are no data that prove Α. 21 that NDMA in the diet causes cancer in 22 humans. And so prove. Again, like, 23 Ο. 24 you're putting this at 100 percent

```
1
   standard, right?
2
                 MS. THOMPSON: Objection.
3
           Form.
4
                 THE WITNESS: I don't
5
           believe it's been proven. The
6
           preponderance of the data are
7
           conflicting to me.
8
   BY MR. VAUGHN:
9
                Does it lean more one way or
           Ο.
10
   the other?
11
                 MS. THOMPSON: Objection to
12
           form.
13
                 THE WITNESS:
                                Not in any
14
           type of reliable conclusion that
15
           I've been able to make, no.
16
   BY MR. VAUGHN:
17
           Q. So I'm okay to start eating
18
   a lot of bacon with my whiskey again?
19
   It's not going to increase my risk of
20
   cancer?
21
                 MS. THOMPSON: Objection to
22
           form.
23
   BY MR. VAUGHN:
24
           O. I'd like to be able to do
```

```
1
   that again. That's -- I really like
2
   bacon.
3
           A. On your grilled hamburger,
4
   yes.
5
                 MR. VAUGHN: Do you want to
6
           take a break real quick. Is that
7
           okay?
8
                 MS. THOMPSON: Sure.
9
                 THE VIDEOGRAPHER: The time
10
           right now is 2:07 p.m. We're off
11
           the record.
12
                 (Short break.)
13
                 THE VIDEOGRAPHER: The time
14
           right now is 2:23 p.m. We're back
15
           on the record.
16
   BY MR. VAUGHN:
17
                 Doctor, if a human is able
18
   to exceed their body's repair mechanisms
19
   just through NDMA in their diet, then
20
   wouldn't any additional amount of NDMA
21
   further increase their risk of cancer?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS: Again, I
```

```
1
           suspect that's theoretically
2
           possible, but --
3
   BY MR. VAUGHN:
4
                 What do you mean theoretical
           Q.
5
   possible?
6
                Well they would have to --
7
   well, number one, there's no proof in
8
   humans that dietary NDMA causes cancer.
9
                 And so it's operating from
10
   the assumption that it does, and so it
11
   makes it hard for me to accept that
12
   hypothetical.
13
                 If an animal is able to
           Q.
14
   exceed their body's repair mechanisms
15
   just through the NDMA in their diet, then
16
   wouldn't any additional amount of NDMA
17
   further increase that animal's risk of
18
   cancer?
19
                 MS. THOMPSON: Objection.
20
           Form.
21
                 THE WITNESS: So again, the
22
           basis for that question was that
23
           dietary NDMA is causing cancer in
24
           animals?
```

```
1
   BY MR. VAUGHN:
2
          O. Yeah. If/then. It's a
3
   hypothetical?
4
          Α.
                 I mean, same thing, it would
5
   have to be enough to cause cancer to
6
   begin with.
7
                And, again, hypothetical.
8
   If that was enough, then any additional
9
   amount of NDMA would further increase the
10
   risk of cancer, correct?
11
                 MS. THOMPSON: Objection.
12
          Form.
13
                 THE WITNESS: Well, I
14
          believe the dose studies that have
15
          given enough to cause cancer sort
16
          of prove that that's possible.
17
   BY MR. VAUGHN:
18
                 Thank you, Doctor. Now, a
           Ο.
19
   second ago you said the average amount
20
   diet would have a few hundred nanograms
21
   of NDMA in it a day. But what about a
22
   single meal? Do you know how much that
23
   on average would have?
24
                 MS. THOMPSON: Objection to
```

```
1
           form.
                  Scope.
2
                 THE WITNESS: I don't.
3
   BY MR. VAUGHN:
4
           Q.
                 Less than a few hundred
5
   nanograms, though, right?
6
                 MS. THOMPSON: Objection.
7
           Form. Scope.
8
                 THE WITNESS: I mean, I
9
           guess it depends on the meal
10
           relative to the other meals of the
11
           day. I mean, I don't know.
12
                 MR. VAUGHN: Tyler, can we
13
           pull Pegg back up again, the 1980
14
           Pegg study. Let's go to Page 15
15
           again.
16
   BY MR. VAUGHN:
17
           Q. Doctor, that second
18
   paragraph that starts with the word
19
   "finally," can you read that aloud for
20
   the jury?
21
                 "Finally, it has been
           Α.
22
   reported that NDMA and NDEA were present
23
   in human peripheral blood samples and
24
   that the amounts increased after a meal.
```

- Calculations of total daily exposures
- <sup>2</sup> have been made on the basis of these
- <sup>3</sup> figures but without knowledge of the
- 4 clearance rate these calculations may be
- <sup>5</sup> seriously in error and may underestimate
- 6 total exposure."
- <sup>7</sup> Q. And so this is saying just
- 8 one meal is able to clear the liver and
- <sup>9</sup> get into the bloodstream, the NDMA; is
- 10 that correct?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: Again, I'd
- have to look at those studies to
- see if just that one-sentence
- summary would be an accurate
- representation.
- <sup>18</sup> BY MR. VAUGHN:
- 19 Q. Did you not look at those
- two studies when you were forming the
- 21 basis of your opinions?
- A. No, I did not.
- Q. And so if this is true, that
- 24 a meal can -- levels of NDMA in a meal

can exceed what the liver can handle and 1 2 make it into the bloodstream, then if 3 someone took valsartan with NDMA in it, 4 that would also be able to make it into 5 the bloodstream, correct? 6 MS. THOMPSON: Objection. 7 Form. 8 THE WITNESS: Again, I can't 9 draw that same conclusion without 10 looking at those studies. 11 BY MR. VAUGHN: 12 And you didn't look at those 13 studies, so you can't really opine on 14 what impact dietary NDMA is going to have 15 on the NDMA that's in valsartan, correct? 16 MS. THOMPSON: Objection. 17 Form. 18 THE WITNESS: No, I haven't 19 looked at these studies. So I 20 can't tell you what impact they 21 would have on my opinions. 22 BY MR. VAUGHN: 23 Ο. What were the levels that 24 you were aware of, of NDMA in valsartan?

- 1 Was it 20,000 nanograms and they were
- <sup>2</sup> able to show 38,000 nanograms? Is that
- <sup>3</sup> what it says?
- <sup>4</sup> A. Yes.
- <sup>5</sup> O. All right. And you said
- <sup>6</sup> that the average diet would have a few
- <sup>7</sup> hundred nanograms. But yet one meal is
- <sup>8</sup> able to bypass the liver and the NDMA get
- <sup>9</sup> into the bloodstream?
- $^{10}$  A. I don't know that. I
- 11 haven't read these studies. They weren't
- what I looked at in looking at NDMA
- 13 metabolism.
- Q. If just a couple hundred
- 15 nanograms can bypass the liver, then, I
- mean, tens of thousands of nanograms of
- 17 NDMA would definitely bypass the liver,
- 18 correct?
- MS. THOMPSON: Objection to
- form.
- THE WITNESS: I can't answer
- that without looking at these
- studies.
- 24 BY MR. VAUGHN:

```
1
                 It's a hypothetical.
           Q.
2
   an if/then. If a few hundred was able to
   bypass, then definitely tens of
4
   thousands, correct?
5
                 MS. THOMPSON: Objection to
6
           form.
7
                 THE WITNESS: Well, again,
8
          you're asking me to accept the
9
           "if." And I have to look at these
10
           studies before I would accept
11
           that.
12
   BY MR. VAUGHN:
13
                 Because you didn't review
           Q.
14
   all the literature before you formed your
   opinions in this case or before this
15
16
   deposition, right?
17
                 MS. THOMPSON: Objection.
18
           Form.
19
                 THE WITNESS: I was not
20
           focused on dietary NDMA.
21
   BY MR. VAUGHN:
22
           Q. Are you going to review
23
   these studies after this deposition?
24
                 I could.
           Α.
```

- Q. And if you change your
- opinions, are you going to notify us?
- A. If I change my opinions, I
- 4 would notify you.
- MS. THOMPSON: You will
- 6 notify us, and we will notify.
- THE WITNESS: Well.
- 8 BY MR. VAUGHN:
- 9 Q. Doctor, can you explain how
- 10 you did your dosage conversions around
- 11 animal to human?
- 12 A. I just used milligrams per
- 13 kilogram. And the average typically used
- weight for a human is 70 kilograms.
- Q. Based on what authority did
- 16 you decide that the -- scratch that one
- $^{17}$  second.
- The average typically used
- weight for a human is 70 kilograms. What
- <sup>20</sup> did you base that off of?
- A. That's been a historical
- 22 number that you can find in the
- literature for literally decades.
- Q. So it's not like a standard

```
of practice in pharmacy and stuff that
1
   you would use 70 kg for a human?
3
                 MS. KAPKE: Object to form.
4
                 THE WITNESS:
                                Sorry.
                                        Not
5
           any more standard to pharmacy than
6
           it is to any of the other health
7
           professions.
8
   BY MR. VAUGHN:
9
                 Including oncology?
           0.
10
                 Including oncology,
           Α.
11
   including cardiology, nephrology. That's
12
   been in the literature as sort of a
13
   standard for a long time, probably longer
14
   than what would be accurate today. I
15
   think the number today would probably be
16
   even bigger.
17
                 It's important to have that
18
   number be accurate, correct?
19
           Α.
                 In a comparative basis, not
20
   necessarily. But you know, it's ballpark
21
   enough to make the point.
22
                 And so you don't know -- you
           Ο.
23
   said that cancer research oncologists,
24
   they all use 70 kg, right?
```

```
1
                 MS. THOMPSON: Object to
2
           form.
3
                 THE WITNESS: I didn't say
4
                  I said it's been accepted
5
           by all branches of the medical and
6
           pharmacy and nursing communities
7
           when people are sort of talking
8
           about what the average human
9
           weight is. And that's been around
10
           for decades.
11
   BY MR. VAUGHN:
12
                 Did you do any research to
13
   make sure that that's the weight that's
14
   used when you're dealing with a
15
   carcinogen?
16
                 MS. THOMPSON: Objection.
17
           Form.
18
                 THE WITNESS: No, I did not.
19
   BY MR. VAUGHN:
20
                You just assumed that you
21
   would use the same weight?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS: Again, it's
```

```
1
           for a relative basis.
2
                 If I were to use 10
3
           kilograms less or 10 kilograms
4
           more, or 20 kilograms more, it
5
           wouldn't change my opinions.
6
   BY MR. VAUGHN:
7
                 Isn't it your opinion that
           Ο.
8
   the levels of NDMA present in generic
9
   valsartan don't increase the risk of
10
   cancer for anyone taking it?
11
                 MS. THOMPSON: Objection.
12
           Form.
13
                 THE WITNESS: Could you
14
           rephrase that again for me,
15
           please?
16
   BY MR. VAUGHN:
17
           Q.
                 Yeah.
18
                 Is it your opinion that the
19
   levels of NDMA that were present in
20
   generic valsartan did not pose an
21
   increased risk of cancer formation for
22
   anyone that took the drug?
23
                 Yes. That's in my report.
           Α.
24
                 If your opinion is on anyone
           Q.
```

```
that took the drug, why are you using
1
2
   average human weight?
3
                 For comparative purposes. I
4
   guess I could have used, if I had them,
5
   the weights of all the people who took
6
   the drug. But I didn't have that.
7
                 I mean, wouldn't
8
   approximately half of humans weigh less
9
   than the actual weight of a human?
10
           Α.
                 Yes.
11
                 If you were so confident in
           0.
12
   your opinions that the levels of NDMA in
13
   valsartan can't cause or increase the
14
   risk of human cancer, why didn't you just
15
   go with the lowest weight value. Why did
16
   you use average?
17
                 MS. THOMPSON: Objection.
18
           Form.
19
                 THE WITNESS: I don't know
20
          what the lowest weight would have
21
                  But had I picked, you know,
           been.
22
           60 kilograms or 20 or -- I don't
23
           know what you mean, because I
24
           don't know what that number is.
```

```
1
   BY MR. VAUGHN:
2
                Well, it significantly
           Ο.
3
   impacts your calculation, does it not?
4
                 MS. THOMPSON: Objection.
5
           Form.
6
                 THE WITNESS: No. It really
7
                     If you look at my table,
           doesn't.
8
           you know, where I use the 70-kilo,
9
           and get 7,000 milligrams, as what
10
           appeared to be a non-cancerous
11
           dose based on a .1 milligram per
12
           kilogram in rat studies, whether
13
           that number is 6,000 or in the
14
           case of someone who's larger,
15
           whether that number is 15,000, it
16
           doesn't change my opinions.
17
   BY MR. VAUGHN:
18
                 All right. Based on your
           Ο.
19
   methodology, if 1 nanogram of NDMA was
20
   able to induce cancer in an animal that
21
   weighed one kilogram, then based on your
22
   calculations, it would take 70 nanograms
23
   to induce cancer in a human; is that
24
   correct?
```

- A. Well, I never calculated
- <sup>2</sup> that.
- Q. Well, I know you didn't do
- <sup>4</sup> this calculation. But the way you're
- <sup>5</sup> doing your conversion -- and so I'm not
- <sup>6</sup> trying to represent that one nanogram
- <sup>7</sup> causes cancer in animals. I'm just using
- 8 these numbers for simplicity's sake
- 9 because I want to understand your
- 10 methodology and how you came to these
- 11 numbers.
- Does that make sense?
- 13 A. Yeah, we can just use the
- 14 numbers and use them. We don't have to
- use something other than the numbers.
- Q. Well, I need the math to be
- a lot easier, is why I'm doing it this
- $^{18}$  way.
- 19 A. Okay. This is pretty easy.
- Q. So you're just taking
- whatever the nanograms per kilogram are
- 22 and you're timesing them by 70, correct?
- A. And they're actually
- micrograms and/or milligrams, and not in

- <sup>1</sup> the nanogram range.
- Q. Would you be more
- 3 comfortable if I gave my hypothetical in
- 4 micrograms instead of nanograms? Would
- <sup>5</sup> that make it easier for you?
- A. Well, like I said, we can
- <sup>7</sup> just use the numbers. They're not that
- 8 complicated. .1 --
- 9 O. I'm allowed to ask you
- 10 hypotheticals. And if I use basic
- 11 numbers it's a lot easier for the jury to
- understand what your methodology is.
- So if 1 microgram of NDMA
- <sup>14</sup> was able to induce cancer in an animal
- that weighed 1 kilogram, based on your
- 16 calculations, it would take 70 micrograms
- to induce cancer in a human; is that
- 18 correct?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: Again, if that
- were the case, which isn't the
- case.
- 24 BY MR. VAUGHN:

```
1
                 But the way I did my math
           Ο.
2
   was how you did your methodology,
3
   correct?
4
                 Well, multiplying by 70,
5
   yes.
6
                Okay. And so for every kg,
           Ο.
7
   you added 100 percent of that base dose,
8
   correct?
9
                 For a dose that did not
10
   cause cancer in rats, that in a few
11
   studies was fairly consistent
12
   around .1 milligrams per kilogram, I
13
   extrapolated that to the average weight
14
   of a human adult, which is 70 kilograms.
15
   So 70 times the .1 gave 7 milligrams,
16
   which is 7,000 micrograms.
17
                 Thank you, Doctor.
18
                 And so, if an animal weighs
19
   70 times as much as another animal, based
20
   on your methodology, it's going to take
21
   70 times the amount of NDMA to have the
22
   same impact on that animal, correct?
23
                 MS. THOMPSON: Objection.
```

Form.

24

1 THE WITNESS: I don't think 2 that's what I'm saying here. BY MR. VAUGHN: 4 Can you explain to me what Ο. 5 you're saying then? 6 Well, you again, reverted 7 from the dose I said that doesn't cause cancer to a dose that does cause cancer, 8 9 and I'm not claiming to know what that 10 is. 11 Q. Okay. Let's set aside 12 causing cancer. One nanogram per 13 kilogram would be the same as 70 14 nanograms for 70 kilograms. 15 That's what your math came 16 out to be, right? 17 Correct. That's the math. 18 Okay. And is that known as 0. 19 linear extrapolation? 20 MS. THOMPSON: Objection to 21 form. 22 THE WITNESS: I don't know 23 if that's the term that's used.

But that would describe it

24

- accurately, I think.
- <sup>2</sup> BY MR. VAUGHN:
- <sup>3</sup> Q. So it's like a one to one
- <sup>4</sup> ratio, right? Like, for every kg, you
- 5 add one part of the base, right?
- 6 A. Yeah. As long as it's
- <sup>7</sup> reported in kilograms.
- Q. And what was your basis that
- <sup>9</sup> that one-to-one ratio was appropriate to
- 10 use for NDMA?
- 11 A. It's just the best that we
- 12 have. We don't have any other method of
- 13 conversion based on some other
- 14 physiologic factor. It's how the animals
- $^{15}$  were dosed. They were dosed in
- <sup>16</sup> milligrams per kilogram.
- Q. But you have no basis for
- why that's appropriate to extrapolate
- them to humans based on weight?
- A. Well, as I've already said,
- we are not sure that extrapolating these
- <sup>22</sup> animal data to humans is accurate and the
- right thing to do to begin with.
- So we have some missing

- 1 parts. We've got the milligram per
- <sup>2</sup> kilogram dose in the animals, what dose
- <sup>3</sup> didn't cause cancer, we have the weight,
- <sup>4</sup> the average weight of a human adult, and
- 5 then we have how much microgram
- <sup>6</sup> quantities were in the valsartan product.
- So there's that missing link
- 8 connection that is an assumption that is
- 9 being made.
- 0. Okay. Let's set humans
- $^{11}$  aside then.
- 12 If two different animal
- species, each weighed one kilogram, you
- would expect the exact same amount of
- NDMA to be necessary to induce cancer in
- those animals, correct?
- <sup>17</sup> A. No.
- <sup>18</sup> Q. Why?
- A. Different amounts of 2E1.
- Q. Does a rat and a mouse have
- 21 different amounts of 2E1?
- A. I believe they do. I know
- $^{23}$  for sure a rat and a dog do and a rat and
- $^{24}$  a monkey does and a rat and a pig does.

- O. But you don't know if a rat
- <sup>2</sup> and a mouse have different amounts?
- A. I don't recall seeing that.
- <sup>4</sup> And the reason I do know about the
- 5 monkeys and the pigs and the beagle dogs,
- <sup>6</sup> is because there were studies that I
- <sup>7</sup> reviewed that were in that area.
- Q. And so you didn't consider
- <sup>9</sup> the amount of 2E1 in mice when forming
- your opinions in this case?
- A. No, I did not.
- Q. Based on what you just said
- about the 2E1, assuming that they have
- 14 comparable amounts of 2E1. An animal
- that weighs, let's say, 12 times as much
- 16 as another animal, you would expect it to
- take 12 times the amount of NDMA to have
- the same impact, correct?
- A. Well, I'm saying the dose
- would be. I am not saying what the
- impact would be. There's other parts to
- <sup>22</sup> the question about causing cancer. And
- it has to do with the amount of 2E1 in
- the different organs and what the dose is

- <sup>1</sup> and whether you exceed first-pass
- <sup>2</sup> metabolism, and do you give it IV or PO.
- There's all these moving
- 4 parts to the puzzle to even get close to
- <sup>5</sup> having an apples-to-apples kind of
- 6 comparison.
- Q. Well, assuming that you're
- <sup>8</sup> giving it the same route, you know,
- <sup>9</sup> giving it orally for each of them.
- A. Right. But again, they have
- 11 different amounts of 2E1.
- 12 Q. I said assuming that they
- had comparable amounts of 2E1. I mean,
- 14 you're assuming that human and rats have
- 15 comparable amounts, right?
- A. Well, that's been
- demonstrated. And I can't say it across
- <sup>18</sup> all species.
- 19 Q. You don't know if mice have
- <sup>20</sup> anything similar?
- A. I just didn't look at that,
- <sup>22</sup> no.
- Q. You listed, "2018 M7(R1)
- 24 Assessment and Control of DNA" --

- 1 reactivity -- "Reactive Impurities in
- <sup>2</sup> Pharmaceuticals to Limit Potential
- <sup>3</sup> Carcinogenic Risk: A Guidance For the
- 4 Industry."
- 5 Did you read that entire
- 6 document?
- <sup>7</sup> A. I did.
- <sup>8</sup> Q. And did you consider the
- <sup>9</sup> 2018 guidance for the industry in forming
- 10 your opinions?
- 11 A. I considered them. But they
- 12 didn't have an impact on my opinions.
- Q. Do you recall disagreeing
- with anything from the 2018 guidance for
- 15 industry?
- A. It doesn't mean that I
- disagreed with them. It just means that
- they didn't have an impact on the
- 19 conclusions that I drew based on NDMA
- metabolism relative to the amounts of
- $^{21}$  NDMA found in the valsartan products.
- Q. But what I was asking is do
- you recall disagreeing with anything in
- <sup>24</sup> the guidance?

```
1
                 I don't recall being in any
   position to disagree with it. I just was
   familiar with it.
4
                 And would you follow a
           Ο.
5
   quidance document like that?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: I read the
9
           quidance document. I don't know
10
           what you mean by follow.
11
                 MR. VAUGHN: Tyler, you can
12
           go ahead and pull that up for me.
13
           The 2018 M7(R1).
14
                  (Document marked for
15
           identification as Exhibit
16
           Bottorff-5.)
17
   BY MR. VAUGHN:
18
                 Guidance for industry.
           Ο.
19
   Who's the industry that this is supposed
20
   to guide?
21
                 Pharmaceutical industry.
           Α.
22
                 That's who you represent,
           Ο.
23
   correct?
24
           Α.
                 Correct.
```

- Q. And at the bottom here, what
- <sup>2</sup> agencies are responsible for this
- <sup>3</sup> guidance document?
- <sup>4</sup> A. HHS, FDA, the CDER, CBER,
- <sup>5</sup> which are branches of the FDA.
- 6 Q. So the U.S. Department of
- <sup>7</sup> Health And and Human Services, the Food &
- <sup>8</sup> Drug Administration, the Center for Drug
- <sup>9</sup> Evaluation & Research, and the Center For
- 10 Biologic Evaluation & Research; is that
- 11 correct?
- 12 A. Yes. Just to clarify, CDER
- and CBER are branches of the FDA, and the
- 14 FDA is a branch of the Health & Human
- 15 Services.
- Q. Okay. So this guidance
- document is basically put out by the U.S.
- 18 Department of Health & Human Services?
- 19 A. Under the auspices of the
- FDA, and it's two specific branches that
- $^{21}$  did the work.
- Q. And what year was this put
- <sup>23</sup> out?
- <sup>24</sup> A. 2018.

```
1
                And when did the industry --
          Ο.
2
   or not the industry. Scratch that.
3
                 When did the FDA
4
   approximately learn about the valsartan
5
   contamination with NDMA?
6
                MS. THOMPSON: Objection.
7
          Form.
8
                 THE WITNESS: I think it was
9
          in July of 2018 that they
10
          announced. I can't remember the
11
          exact date. But it was in 2018.
12
                MR. VAUGHN: Go to Page 39,
13
          Tyler. Actually, one second. One
14
          second. Page 24. Can you go back
15
          two pages actually, Tyler, for me.
16
          I'm sorry. I got my PDF stuff
17
          wrong. That works. All right.
18
          Got it. Sorry. I'm having a hard
19
          time seeing it. Go -- no, no.
20
   BY MR. VAUGHN:
21
          Q. Do you see here what kg body
22
   weight they are using?
23
          Α.
                 50.
24
                And you used 70, correct?
          Q.
```

```
1
           Α.
                 Correct.
2
                 MS. THOMPSON: I think I'm
3
           in the wrong document. Is this in
4
           the shared file?
5
                 MR. VAUGHN: I mean, it's in
6
           his materials considered that you
7
           gave us.
8
                 MS. THOMPSON: I understand.
9
           I'm just trying to make sure that
10
           I'm pulling up the right one
11
           because the last exhibit that I
12
           have in here is Exhibit 5, which
13
           looks like it's the guidance
14
           document.
15
                 MR. VAUGHN: The first page
16
           says "Guidance for Industry.
17
           M7(R1)." It's March 2018.
18
   BY MR. VAUGHN:
19
           Q. So would you agree with me,
20
   Doctor, when the FDA is doing their
21
   calculations on carcinogens, they use a
22
   50 kg weight, not 70 kg rate?
23
                 MS. THOMPSON: Objection to
24
           form.
```

```
1
                 THE WITNESS: They did use
2
           50.
   BY MR. VAUGHN:
4
                 And do you know if they
           0.
5
   always use 50 when it's a carcinogen?
6
                 I do not know that. I think
7
   they -- I don't know if it's here or
8
   somewhere else that they explained their
   use of the 50 kilos, so they would be in
10
   their calculations on the
11
   ultra-conservative side.
12
                 And why would they want to
13
   be on the more conservative side?
14
                 Because they're a regulatory
15
   agency. I don't know.
16
                 Do you think it might have
17
   anything to do with not wanting people to
18
   get cancer?
19
                 MS. THOMPSON: Object to
20
           form.
21
                 THE WITNESS: I'm sure they
22
          don't want people to get cancer.
23
   BY MR. VAUGHN:
24
                And so setting that lower kg
           0.
```

```
rate gives them a little bit more
1
   assurance, right?
3
                 MS. THOMPSON: Objection.
4
          Form.
5
                 THE WITNESS: Not
6
          necessarily, but that's what they
7
          chose to do.
8
   BY MR. VAUGHN:
9
          Q. What do you mean not
10
   necessarily? Isn't timesing something by
11
   50 going to result in a lower number than
12
   timesing something by 70?
13
                 MS. THOMPSON: Objection to
14
          form.
15
                 THE WITNESS: Every time.
16
                 MR. VAUGHN: All right.
17
          Sorry. I got off. I don't know
18
          where I was at.
19
   BY MR. VAUGHN:
20
                Doctor, you also listed the
          0.
21
   FDA's February 2021 "Control of
22
   Nitrosamine Impurities in Human Drugs:
23
   Guidance For Industry" on your list of
24
   your materials considered.
```

```
1
                 Do you recall reading that
2
   document?
3
                 I do.
           Α.
4
                 And did you read that entire
           Ο.
5
   document?
6
                 I probably scanned that one
7
   in case there was something different
8
   than what I had seen before. I don't --
9
   I don't remember specifically if I read
10
   the entire word for word.
11
                 You don't recall if FDA's
12
   guidance document lays out a different
13
   methodology than the one that you used in
14
   forming your opinions?
15
                 Well, I believe the document
           Α.
16
   that you just have up there now used a
17
   different methodology than I used.
18
                 And why did you decide to
           Ο.
19
   use a different methodology than the FDA?
20
                 They have a different focus.
           Α.
21
                 Is their focus more on
           Q.
22
   patient health and your focus is more on
23
   defending a pharmaceutical company?
24
                                Objection.
                 MS. THOMPSON:
```

```
1
           Form.
2
                 THE WITNESS: Well, my focus
3
           was on the science behind looking
4
           at a non-cancerous dose as opposed
5
           to trying to extrapolate something
6
           over 70 years in a 50-kilogram
7
           person, which I think is their
8
           more regulatory approach. I tried
9
           to look at the science and
10
           conclude what was available.
11
   BY MR. VAUGHN:
12
                 You didn't even look into
           Ο.
13
   like, mutagenicity and stuff, did you?
14
                 MS. THOMPSON: Object to
15
           form.
16
                 THE WITNESS: I'm not sure
17
          what you're asking.
18
   BY MR. VAUGHN:
19
           Q. That's fine. We'll get into
20
   it more.
21
                 MR. VAUGHN: Tyler, can you
22
           pull up the 2021 guidance for
23
           industry.
24
                 And what exhibit number is
```

```
1
          this going to be, Tyler? I'm
2
          sorry. Is it five?
3
                 TRIAL TECH: This is going
4
          to be six.
5
                 MR. VAUGHN: Six. Thank
6
          you.
7
                 (Document marked for
8
          identification as Exhibit
9
          Bottorff-6.)
10
   BY MR. VAUGHN:
11
          Q. I'm trying to stay organized
12
   as we go. Can we go to -- this is what I
13
   want to go to 24.
14
                 MR. VAUGHN: Can we go to 24
15
          now, Tyler. Sorry about that.
16
   BY MR. VAUGHN:
17
                All right. If we go --
          Q.
18
                 MR. VAUGHN: Sorry. You
19
          were at the page I wanted.
20
                 TRIAL TECH: Okay. I was
21
          going to say, it doesn't look like
22
          there's a Page 24. But this is
23
          the last one.
24
                 MR. VAUGHN: The last one.
```

```
1
          That's what I meant. Of the
2
          document, Page 24 -- or of the
3
          PDF.
4
   BY MR. VAUGHN:
5
                All right. And, Doctor, if
6
   we go to Line 39. Do you see where the
   FDA in this 2021 guidance to the industry
8
   is still recommending that 50 kg be
   utilized when doing conversions to
10
   humans?
11
                 Doctor?
12
                 MR. REEFER: Excuse me,
13
          Brett. Can you hear me?
14
                 MR. VAUGHN: I can. Can you
15
          guys not hear me?
16
                 MR. REEFER: We're having
17
           some technical difficulties in the
18
          room. I apologize for
19
           interjecting. This is Jason from
20
          the Pietragallo firm.
21
                 MR. VAUGHN: No problem.
22
          You guys -- is it fixed now?
23
                 MS. THOMPSON: No. We're on
24
          this computer only. So I'm trying
```

```
1
          to shut down and redo my
2
          connection since I control the
3
          mic.
4
                 THE VIDEOGRAPHER: Should we
5
          go off the record?
6
                 MR. VAUGHN: Go off the
7
          record. Yeah.
8
                 THE VIDEOGRAPHER: The time
9
          right now is 2:52 p.m. We're off
10
           the record.
11
                 (Short break.)
12
                 THE VIDEOGRAPHER: The time
13
          right now is 2:57 p.m. We're back
14
          on the record.
15
   BY MR. VAUGHN:
16
          Q. Doctor, is the amount of
17
   P450-2E1 going to impact how much NDMA it
18
   takes to kill an animal?
19
             Not necessarily.
          Α.
20
          Q. What do you mean by not
21
   necessarily?
22
          A. Well, you can give a massive
23
   IV dose that goes -- that totally
24
   disrupts liver function and causes
```

- <sup>1</sup> massive bleeding which has been done and
- <sup>2</sup> that has nothing to do with 2E1.
- Q. Line 39, I don't know if we
- 4 got the question in before you guys
- <sup>5</sup> disconnected earlier. The FDA here in
- 6 2021 is still recommending to use 50 kg
- <sup>7</sup> as the body weight, correct?
- MS. THOMPSON: Objection.
- 9 Form.
- THE WITNESS: I don't think
- they are recommending that I or
- anyone else use 50 kilograms.
- 13 It's what they did in their
- calculation.
- <sup>15</sup> BY MR. VAUGHN:
- Q. And they're still doing that
- 17 calculation in 2021 with 50 kilograms,
- 18 correct?
- A. Correct.
- Q. And so, on that example we
- gave earlier, that one nanogram per
- 22 kilogram for human, with your
- methodology, you would come out at 70
- $^{24}$  nanograms. Based on the FDA's

- 1 methodology, it would be 50-nanograms,
- <sup>2</sup> correct?
- A. Yeah. And we can apply that
- 4 to my calculations where the .1 milligram
- <sup>5</sup> per kilogram dose that doesn't appear to
- 6 cause cancer in rats, we can multiply it
- <sup>7</sup> by 50 and I get 5,000 milligrams instead
- 8 of 7,000 milligrams. And that wouldn't
- 9 change my conclusions at all.
- 10 Q. Down at the -- towards the
- bottom, Line 52. It's talking about TD50
- values. Do you know what a TD50 value
- <sup>13</sup> is?
- 14 A. I do.
- Q. Can you explain to the jury
- what a TD50 value?
- 17 A. It's the dose given to the
- <sup>18</sup> animal that you've decided to give it to
- 19 that kills half of the animals. It's
- sort of like the lethal 50 dose.
- 21 Actually it's -- in this case, it's the
- tumor dose. It gives half the animals
- <sup>23</sup> tumors.
- Q. The amount that's needed per

```
kg is half as much for a rat as it is for
   a mouse, isn't it?
3
           Α.
                Yes.
4
                 And you don't know if that's
5
   because of P450-2E1 or if it's because as
6
   you increase weight it's not proportional
   of the dose that you need to give the
8
   animal, correct?
9
                 MS. THOMPSON: Objection.
10
           Form.
11
                 THE WITNESS: It's correct
12
           that I don't know what the reason
13
           for that is. It could be that
14
           the -- that the rat are more
15
           resistant to getting tumors than
16
           the mice.
17
                 I mean, there is a number of
18
           reasons why that might be the
19
           case.
20
   BY MR. VAUGHN:
21
                 You never investigated what
           Ο.
22
   that reason is in forming your opinions,
23
   did you?
24
           A. I did not.
```

```
1
                 And if the reason is because
           Ο.
2
   it's not a linear relationship when you
   increase weight to dose, then that would
4
   significantly impact your opinions,
5
   wouldn't it?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS:
                                No.
                                     In fact,
9
           the FDA used the milligram per
10
           kilogram in their calculations.
11
           mean, so they're comfortable using
12
           milligrams per kilogram.
13
   BY MR. VAUGHN:
                 The FDA did in this example.
14
15
   But what I'm saying is if the rat is
16
   increasing in weight, but only needs half
17
   as much per kilogram, then that's more
18
   like a 50 percent ratio, right, as
19
   opposed to the 100 percent ratio?
20
                 MS. THOMPSON: Objection to
21
           form.
22
                 THE WITNESS: This means
23
           that it takes less drug by about
24
           half in the rat versus the mouse
```

- to cause a tumor in half of them.
- <sup>2</sup> BY MR. VAUGHN:
- Q. And if that held true as the
- 4 weight kept going up all the way to a
- <sup>5</sup> human and we use the FDA's 50 kg, then
- <sup>6</sup> that would only be -- half of 50 is 25,
- <sup>7</sup> right? So you'd multiply it by 25
- <sup>8</sup> instead, if this held true for humans,
- 9 correct?
- A. Again, we're not applying
- the mouse data to the humans.
- Q. You're not applying the
- mouse data to the human?
- A. And nor did any of the other
- 15 studies that I looked at.
- Q. And you didn't consider the
- <sup>17</sup> mouse data?
- MS. THOMPSON: Objection to
- form.
- THE WITNESS: I did not.
- Sorry.
- 22 BY MR. VAUGHN:
- Q. I don't know if -- did you
- $^{24}$  answer that? I don't see it on -- oh,

- <sup>1</sup> there it is. My internet is now
- <sup>2</sup> unstable. Are you able to hear me?
- A. I think I said correct.
- Q. You did. I guess my
- <sup>5</sup> internet was having -- was going a little
- <sup>6</sup> slow there.
- <sup>7</sup> So there is a citation for
- 8 this, isn't there? Citation Number 3.
- <sup>9</sup> And what is that citation?
- 10 A. In the document?
- 0. Yeah.
- 12 A. It's this carcinogenicity
- 13 potency database for NDMA.
- Q. And who published that
- 15 database?
- A. I'm not sure publishes it.
- 17 But this is a reference to the National
- 18 Library of Medicine collection of those
- <sup>19</sup> databases.
- Q. Is that what the NLM part of
- that -- and then it has a ".NIH"; is
- that -- what's the NIH part there?
- A. I guess that's indicating
- that the National Library of Medicine is

- <sup>1</sup> part of the NIH.
- Q. And that's the National
- Institute of Health, correct?
- <sup>4</sup> A. Correct.
- O. And that's what we were
- <sup>6</sup> talking about earlier, the National
- <sup>7</sup> Institute of Health that continues to
- <sup>8</sup> fund Dr. Panigrahy -- sorry. Scratch
- <sup>9</sup> that.
- That's the same National
- 11 Institute of Health that we talked about
- 12 earlier that continues to fund
- 13 Dr. Panigrahy's cancer research, correct?
- A. Correct.
- MS. THOMPSON: Object to
- form.
- <sup>17</sup> BY MR. VAUGHN:
- Q. It's a hard name sometimes.
- Doctor, do you know what the
- 20 average rate -- I can't talk anymore.
- Doctor, do you know what the
- <sup>22</sup> average weight of a rat was that was
- 23 studied with NDMA?
- A. I looked at that, because in

- 1 some cases it wasn't so clear what that
- <sup>2</sup> number was. And in other cases it was
- <sup>3</sup> more clear.
- 4 Most of these rats were in
- <sup>5</sup> the 300, 350, 400, 450 range, depending
- on their age and whether they were male
- $^{7}$  or female.
- Q. And the mice, did you
- <sup>9</sup> calculate their average weight too?
- A. I did not.
- 11 Q. You didn't calculate their
- weight at .025 kg?
- A. I did not.
- MR. VAUGHN: Go to his
- expert report. Go to Page 44.
- 16 BY MR. VAUGHN:
- Q. On Line 728, where you note
- the rough estimate of 25 grams or 0.025
- 19 kg for the mice, what did you base that
- off of? Or do you not recall putting
- that into your expert report?
- A. No, I recall. This was one
- of the mice studies that I looked at, and
- <sup>24</sup> I don't believe in the paper they

- <sup>1</sup> actually reported the weights.
- So to do my calculation, I
- <sup>3</sup> had to go to the laboratory animal place
- 4 where you go buy them and look at that
- <sup>5</sup> specific strain and then look at the
- <sup>6</sup> weights that they give.
- <sup>7</sup> Q. Did you not look at any
- 8 other NDMA studies in mice to see what
- <sup>9</sup> weights they were in those studies?
- 10 A. No. I don't recall any
- $^{11}$  other one.
- Q. Why didn't -- why didn't you
- <sup>13</sup> do that?
- A. As I did my search and
- 15 started looking for articles that had
- some kind of dose-response relationship
- that would indicate a non-cancerous dose,
- the vast majority of that data were in
- 19 rats. And so I used predominately rat
- <sup>20</sup> data.
- Q. Did you give more weight to
- <sup>22</sup> the rat data just because more studies
- have been done in rats?
- A. No. As I said earlier, I

- 1 gave more weight because many of these
- <sup>2</sup> researchers talk about how the rat liver
- <sup>3</sup> metabolism is the closest to human liver
- 4 metabolism, which is why I think there's
- <sup>5</sup> way more rat studies in this area than
- <sup>6</sup> there is any other species.
- <sup>7</sup> Q. So you wouldn't exclude any
- 8 animal data just because it wasn't a rat,
- 9 right?
- 10 A. It depends on the data are
- $^{11}$  and what they found and how they got it.
- Q. So, like, if the data showed
- that it increased the risk of cancer for
- 14 another animal, you wouldn't discount
- that animal just because it wasn't a rat,
- 16 right?
- A. No, I wouldn't discount
- 18 that. But again, I was looking for doses
- 19 that didn't cause cancer, not doses that
- did. And many of these are on the doses
- <sup>21</sup> given to cause cancer.
- Q. But you wouldn't exclude an
- 23 animal just because it wasn't a rat?
- A. I wouldn't exclude looking

- <sup>1</sup> at the study. But I might exclude
- <sup>2</sup> pharmacokinetic data or something else
- 3 that is less applicable to what my
- 4 question was.
- <sup>5</sup> O. What about just not
- 6 mentioning it in your study, like the
- <sup>7</sup> animal. Like, you only focus on the rat
- <sup>8</sup> when the study looked at rats and another
- 9 animal?
- 10 A. I think it was appropriate
- 11 to focus on the rat because that's the
- 12 animal that best approximates metabolism,
- which is what the focus of my report was.
- Q. Right. You don't even know
- what the metabolism is in a mouse. So
- 16 how do you know that the rat is the
- 17 closest to a human?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: Because of all
- the studies that I looked at.
- 22 BY MR. VAUGHN:
- Q. What's a hamster? How close
- <sup>24</sup> is that to a human?

```
1
                 MS. THOMPSON: Objection.
2
           Form.
3
                 THE WITNESS: I don't know
4
           for sure. I know studies have
5
           been done. But not that many.
6
                 MR. VAUGHN: Can we go to
7
           Page 46 of your expert report now.
8
           And can we go to Line 766.
9
   BY MR. VAUGHN:
10
                 And can you read the
           Ο.
11
   sentence for the jury that starts with
12
   "rats"?
13
           A. "Rats and hamsters were
14
   studied, but given the preponderance of
15
   rat studies, only the rat data are shown
16
   here."
17
           O. Is this consistent with what
18
   you just testified to?
19
           Α.
                Yes.
20
           0.
                 How?
21
                 That the preponderance of
           Α.
22
   evidence comes from rat data.
23
           Ο.
                 And so you discounted the
24
   hamster data because it wasn't a rat,
```

```
1
   right?
2
                 MS. THOMPSON: Objection.
3
           Form.
4
                 THE WITNESS: I did not
5
           include it because the rats are
6
           the closest and I wanted to look
7
          at as many rat studies as I could.
8
   BY MR. VAUGHN:
9
                And again, how can you say
10
   that rats are closer to humans than
11
   hamsters if you don't know what hamsters'
12
   metabolism is like?
13
           A. When the researchers in my
14
   research say rats are closest, I believe
15
   them.
16
           Q. So you would defer to
17
   someone else on that, correct?
18
                 MS. THOMPSON: Objection.
19
           Form.
20
                 THE WITNESS: For the people
21
          who do animal studies in this
22
          area, yes, I would.
23
   BY MR. VAUGHN:
24
           Q. So, like, a cancer
```

- <sup>1</sup> researcher that focuses on animal
- <sup>2</sup> studies, you would defer to that cancer
- <sup>3</sup> researcher, correct?
- <sup>4</sup> A. I would refer to the study,
- <sup>5</sup> regardless of who the researcher was.
- O. Defer?
- A. I would defer to their
- 8 conclusion that they chose that animal
- <sup>9</sup> for a reason.
- Q. And so if a cancer
- 11 researcher with a specialty in animal
- 12 studies says that some other animal
- besides a rat is closest to a human in
- 14 how they metabolize NDMA, you would defer
- to that cancer researcher, correct?
- MS. THOMPSON: Objection to
- form.
- THE WITNESS: I would look
- at that, yes.
- 20 BY MR. VAUGHN:
- Q. Would you defer to them?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: Again, if it's

```
1
          one study, no. If it's, as in
2
          this case, dozens and dozens of
3
          studies that said that about the
4
          rat, then I would defer to the rat
5
          studies.
6
   BY MR. VAUGHN:
7
             But again, you don't know
          Ο.
8
   about how other animals compare to humans
   when it comes to their metabolism of
10
   NDMA, correct?
11
          A. Well, that's not true. I
12
   have looked at that.
13
          Q. Okay. Hamsters, did you
14
   look at hamsters?
15
          A. I read the study. But I
16
   don't recall the hamster data.
17
          Q. Okay. But -- sorry?
18
                That's okay.
          Α.
19
                As I previously testified, I
20
   did look at the swine data. I did look
21
   at the beagle data. I did look at the
22
   monkey data.
23
          Q. But not the hamster or the
24
   mouse?
```

- A. Well, I did report on a
- <sup>2</sup> mouse study. I just didn't report on a
- <sup>3</sup> hamster study.
- Q. But you didn't look into
- <sup>5</sup> either a mouse or a hamster as it relates
- 6 to metabolism of NDMA, correct?
- A. I did one mouse study. We
- <sup>8</sup> just looked at it.
- 9 O. But that had to do with how
- the mouse metabolizes NDMA?
- 11 A. I think it had to do with
- 12 alcohol and the effects of NDMA.
- Q. And what impact does alcohol
- 14 have on NDMA?
- A. Go back to the study --
- which one was it? Will someone refresh
- my memory where it was.
- MS. THOMPSON: Page 44.
- THE WITNESS: Page --
- MS. THOMPSON: 44.
- THE WITNESS: 44?
- Oh, the Gricute.
- 23 BY MR. VAUGHN:
- Q. Where in that paragraph that

```
1
   you're talking about on Page 44 that you
2
   discuss the metabolism of NDMA in mice?
3
                 I don't. I'd have to pull
4
   the study to see why I only put this
5
   amount of data in. But it was trying to
6
   get at what was the dose that was being
7
   studied.
8
                 And again, my focus for this
9
   report was to try to find studies that
10
   gave doses that did not produce cancer.
11
                 And they gave such a large
12
   dose, that it didn't give me evidence
13
   with which to reach my conclusions.
14
                 So in forming your opinions,
15
   you only considered data or studies that
16
   did not cause cancer, you didn't consider
17
   the ones that did cause cancer, correct?
18
                 MS. THOMPSON: Objection.
19
           Form.
20
                 THE WITNESS:
                               Untrue,
21
          because many of these studies also
22
           caused cancer. But what I was
23
           interested in is if they had dose
24
           regimens small enough to allow me
```

```
1
           to evaluate a noncancer-causing
2
           dose and what that dose was and
3
           how it correlated to the amount of
4
           NDMA in the valsartan products.
5
   BY MR. VAUGHN:
6
                 Did you try and look for any
7
   literature on low doses causing cancer in
8
   animals?
9
                 MS. THOMPSON: Objection.
10
           Form.
11
                 THE WITNESS: I think, in a
12
           way that's what I just said, is I
13
           looked for studies that had enough
14
           of a low dose of the dosage range
15
           on the low end, to have a low
16
           enough dose to not cause cancer,
17
           if that existed. And if it didn't
18
           exist, it didn't. But it did.
19
   BY MR. VAUGHN:
20
                Were you only looking for
21
   ones where it did not cause cancer?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS: If there were
```

```
1
           low dose studies that did cause
2
           cancer, I looked at them and I
3
           included them. But I was focusing
4
           on low dose studies that had an
5
           arm that didn't cause cancer so I
6
           could try to find how that low
7
           dose noncancer-causing dose
8
           related to NDMA in valsartan.
9
   BY MR. VAUGHN:
10
                 So any low dose studies that
           Ο.
11
   did cause cancer would be contained in
   the body of your expert report, correct?
12
13
                 Well --
           Α.
14
                Let me rephrase that. So
15
   any low dose studies that did cause
16
   cancer that you relied on in forming your
17
   opinions in this case would be contained
18
   in the body of your expert report,
19
   correct?
20
                 I believe so, yes.
21
                 I see a 1978 document from
           Ο.
22
   the WHO on nitrosamines on your materials
23
   considered list.
24
                 What is the WHO?
```

```
1
                The World Health
          Α.
2
   Organization.
3
          Q. Is that a reputable
4
   organization?
5
          A. Yes.
6
          O. Is that an authoritative
7
   organization?
8
          A. Yes.
9
                 MR. VAUGHN: Tyler, do you
10
          mind pulling the -- yeah, 2002
11
          WHO.
12
                 (Document Marked for
13
           identification as Exhibit
14
          Bottorff-7.)
15
   BY MR. VAUGHN:
16
          Q. Did you review anything
17
   after the 1978 one? I don't see this
18
   2002 one on your materials considered.
19
                I have one that's dated
          Α.
20
          So I have looked at this.
21
                Oh, good. Is it included on
          Q.
22
   your materials considered?
23
                 I don't know. But I
24
   actually looked at this, I don't know, a
```

- 1 couple days ago. So I know I've seen it.
  - Q. Did you consider it when
  - <sup>3</sup> forming your opinions in this case?
- A. We're looking for it. I
- 5 don't know.
- 6 Q. All right. 2002 is a lot
- <sup>7</sup> more recent been 1978, isn't it?
- 8 A. Yes.
- 9 Q. A lot has changed, you know,
- 10 from 1978 to 2002 in science. Wouldn't
- 11 you agree?
- MS. THOMPSON: I'm giving
- him the list of materials
- considered.
- <sup>15</sup> BY MR. VAUGHN:
- Q. I could have missed it. So
- 17 please double-check and let me know if
- 18 you included that on your materials
- 19 considered.
- A. Yeah, I have the article. I
- 21 know I looked at it. I just don't see it
- <sup>22</sup> at this point on the materials
- <sup>23</sup> considered.
- Q. Do you recall anything in

```
1
   this document that is counter to your
   methodology?
3
           A. Not that I recall.
4
                Would you want your
           0.
5
   methodology to be counter to what the WHO
6
   recommends?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: It depends on
10
           what they're recommending.
11
           don't know -- I don't know how to
12
           answer that.
13
   BY MR. VAUGHN:
14
           Q. It's fine. I'll be a little
15
   more specific for you.
16
                 MR. VAUGHN: Tyler, do you
17
          mind taking us to PDF Page 27. I
18
           think it's 23 on the bottom of the
19
          document though.
20
   BY MR. VAUGHN:
21
                 I guess before we do that,
           Q.
22
   this n-nitroso -- how do you say that?
23
                 N-nitrosodimethylamine.
           Α.
24
                What is that?
           Ο.
```

- A. That's NDMA.
- Q. So this document is specific
- 3 to NDMA?
- <sup>4</sup> A. Yeah. And I did find this
- <sup>5</sup> in my -- in my documents that I reviewed.
- 6 Q. It's on your materials
- 7 considered list?
- <sup>8</sup> A. Very top of Page 5.
- 9 O. Okay. See, I do -- oh, but
- 10 WHO is further in there. That's why I
- 11 missed it. Thank you for pointing that
- <sup>12</sup> out.
- 13 A. No problem. I know I had
- 14 seen it.
- Q. Appreciate it.
- MR. VAUGHN: So yeah, now,
- can we go to Page 27 of the PDF,
- Tyler.
- <sup>19</sup> BY MR. VAUGHN:
- Q. And then under dose-response
- 21 analysis, can you read that entire second
- <sup>22</sup> paragraph for the jury, Doctor?
- A. "Scaling for variations in
- the ratios of surface area to body weight

- 1 between rodent species and humans was not
- <sup>2</sup> considered appropriate for the measures
- of exposure response developed on the
- 4 basis of experimental data in animals,
- <sup>5</sup> since it's highly probable that the
- 6 carcinogenicity of NDMA is mediated
- <sup>7</sup> primarily through the generation of an
- 8 active metabolite."
- 9 Q. What do you understand that
- 10 to mean?
- 11 A. That means that they chose
- 12 not to use body surface area, which you
- use body weight when you calculate body
- 14 surface area. So they're saying they
- 15 chose not to use body surface area.
- Q. So they didn't scale between
- 17 species to humans?
- 18 A. Not using body surface area.
- 19 Q. How did they recommend
- 20 scaling?
- A. Well, this doesn't say what
- they recommended for that.
- Q. And what's the reason they
- <sup>24</sup> are saying not to scale based on surface

- <sup>1</sup> area to body weight?
- A. Again, I'm not sure how they
- <sup>3</sup> derived their reason. But the reason
- 4 they list is mediation through the active
- <sup>5</sup> metabolite generation.
- O. And what active metabolite
- <sup>7</sup> is that?
- A. The methyldiazonium ion
- 9 mediated by 2E1, which we previously
- talked about the rat model being a good
- 11 approximation of humans for that.
- Q. Were you aware that you
- shouldn't be using surface area to body
- weight conversions with NDMA?
- A. I don't recall specifically
- that comment. But in all these studies,
- they've used body weight. So that's
- 18 almost like saying that it's the accepted
- way to do that, instead of body surface
- <sup>20</sup> area.
- Q. Your opinion is it's almost
- like saying it's accepted to do it the
- way you did?
- $^{24}$  A. I would say that if I did it

```
1
   using body surface area, I would have
   been wrong in their opinion.
3
           Q. This active metabolite, is
4
   that a genotoxin?
5
                 That is the genotoxin.
           Α.
6
                 So is it because it is a
           0.
7
   genotoxin they're saying not to do the
8
   scaling?
9
                 MS. THOMPSON: Objection to
10
           form.
11
                 THE WITNESS: Not to my
12
          knowledge.
13
   BY MR. VAUGHN:
14
           Q. It says "since it's
15
   highly probable". Isn't "since" kind of
16
   like "because", this is the reason we're
17
   telling you not to do it?
18
                 MS. THOMPSON: Object to the
19
           form.
20
                 THE WITNESS: I think so.
21
          But it's -- I think you could
22
           argue it's metabolism, not the
23
           genotoxicity that makes that
24
           statement.
```

```
1
   BY MR. VAUGHN:
2
                 What do you derive that from
           Ο.
   in this paragraph?
4
                 Because they don't say we
5
   recommend this because it's a genotoxin.
6
   They recommend it because of the active
7
   metabolite pathway.
8
           Q. If it was recommended
9
   because it was a genotoxin, would that
10
   change the way you did your methodology?
11
                 MS. THOMPSON: Objection.
12
           Form.
13
                 THE WITNESS: Yeah,
14
           possibly. But that's not what
15
           they are saying.
16
   BY MR. VAUGHN:
17
           Q. Okay. And you've never
18
   worked with a genotoxin prior to this
19
   litigation, correct?
20
                 MS. THOMPSON: Objection.
21
           Form sorry.
22
                 THE WITNESS: Sorry. What
23
           do you mean by work?
24
   BY MR. VAUGHN:
```

- O. Did you not testify earlier
- <sup>2</sup> that you have not had experience with
- <sup>3</sup> genotoxins prior to this litigation?
- A. I don't think that's exactly
- 5 how I worded it because I think you did
- 6 use the word "work with," and I wanted
- you to define it.
- Q. And I said, you know,
- 9 anything. I tossed some examples and I
- $^{10}$  said in any way. And you said no. I
- mean, can you think of one now?
- A. No, I said that I had looked
- 13 at Actos and its genotoxicity. And that
- 14 I've taken care of hundreds of patients
- who were cardiac transplant patients that
- were on immunosuppressive drugs that have
- the potential to be genotoxic. So it's
- <sup>18</sup> not a foreign concept to me at all.
- 19 Q. How much immunosuppression
- is necessary to be genotoxic?
- A. I'm not sure. It's not how
- 22 those drugs are dosed. The
- immunosuppressive is dosed to prevent the
- $^{24}$  more problem at hand, which is the organ

```
<sup>1</sup> transplant. So they're not dosed based
```

- on their genotoxic potential. It's an
- unwanted side effect if it were to occur.
- Q. Are you aware if NDMA is an
- <sup>5</sup> immunosuppressant?
- A. I'm not aware of that. I
- <sup>7</sup> focused on its metabolism.
- Q. And so you didn't consider
- <sup>9</sup> if NDMA was an immunosuppressant when
- 10 forming your opinions?
- 11 A. No. I did not consider
- 12 that.
- Q. Right. Immunosuppressant
- 14 itself can cause cancer, correct?
- MS. THOMPSON: Object to
- form.
- THE WITNESS: I mean, I
- think that's a blanket yes/no
- statement, and I think it's
- probably a lot more complicated
- than that.
- 22 BY MR. VAUGHN:
- Q. But you didn't evaluate any
- other things that you would need to in

```
forming your opinions, right?
2
                 MS. THOMPSON: Objection.
3
           Form.
4
                 THE WITNESS: I didn't
5
           evaluate immunosuppression as part
6
           of my opinions.
7
   BY MR. VAUGHN:
8
           O. You didn't even consider
9
   immunosuppression, did you?
10
                 MS. THOMPSON: Objection to
11
           form. Asked and answered.
12
                 THE WITNESS: I did not.
13
           Metabolism is what I focused on.
14
                 MR. VAUGHN: How long have
15
           we been going in this section?
16
                 Have we been on the record a
17
           little bit.
18
                 THE VIDEOGRAPHER:
19
           28 minutes.
20
                 MR. VAUGHN: Oh, yeah, we
21
           had that break earlier.
22
                 Do you need a break, Doctor,
23
           or are you good.
24
                 THE WITNESS: I'm good.
```

```
1
                 MR. VAUGHN: Anyone else?
2
                 MS. THOMPSON:
                                 No.
3
                 MR. VAUGHN: All right.
4
          Tyler, if we can go back to his
5
           expert report. And let's look at
6
           Page 57 this time.
7
   BY MR. VAUGHN:
8
                 Doctor, can you read aloud
           Q.
9
   the first two sentences of this page?
10
                 "Notably, in Dr. Panigrahy's
           Α.
11
   report on Page 31, he states that only a
12
   single dose of NDMA is required to cause
13
   and initiate cancer in multiple animal
14
   species; however, Dr. Panigrahy did not
15
   cite any literature in support of this
16
   assertion. Based on my experience and my
17
   review of the literature, I do not agree
18
   with Dr. Panigrahy's blanket assertion."
19
                 Dr. Panigrahy, that's the
20
   cancer researcher that we've been talking
21
   a lot about that the NIH funds, right?
22
           Α.
                 Right.
23
           Ο.
                 And you don't agree with him
24
   that a single dose of NDMA is capable of
```

- inducing cancer, correct?
- A. I think what I'm stating
- <sup>3</sup> here is I don't agree with that statement
- <sup>4</sup> without having a reference to it.
- <sup>5</sup> Q. And did you review all the
- 6 literature at the end of Dr. Panigrahy's
- <sup>7</sup> expert report?
- MS. THOMPSON: Objection.
- 9 Form.
- THE WITNESS: I didn't read
- every single article that he
- referenced.
- 13 BY MR. VAUGHN:
- Q. So you didn't come across
- any of the literature that supported his
- opinion -- or that would support his
- opinion that only one dose of NDMA can
- 18 cause cancer?
- A. No. And I did it -- I
- 20 commented on it in the context that
- 21 follows those two sentences.
- 22 And it's that, if the dose
- is low enough, which was again, the focus
- of my contentions, that a single dose

- would be almost entirely metabolized by
- $^2$  the liver.
- So I think it would be
- 4 better to put it into the context of what
- <sup>5</sup> I was referring to.
- O. Just to be clear, you did
- <sup>7</sup> not review all the literature that Dr.
- Panigrahy did, correct?
- <sup>9</sup> A. Correct.
- MR. VAUGHN: Can we go to
- Page 26 of his expert report now.
- 12 BY MR. VAUGHN:
- Q. Can you read that last
- 14 sentence that goes onto the next page.
- 15 It starts with, "A key step."
- A. This is my report, right?
- Q. Correct. This is your
- 18 report?
- A. "A key step in this
- <sup>20</sup> metabolic activation to a potential
- 21 carcinogen is the hydroxylation of
- NDMA/NDEA by cytochrome P450 pathways.
- 23 2E1 is almost exclusively for NDMA, and
- 24 both 2E1 and 2A6 are used for NDEA."

```
1
                And you have a citation
           Ο.
2
   there, don't you?
3
           Α.
                 Yes.
4
                 MR. VAUGHN: And can we
5
           scroll down to see what that
6
           citation is.
7
   BY MR. VAUGHN:
8
           O. You found these authors of
9
   this article to be credible, correct?
10
           Α.
                Correct.
11
                And experienced in the field
           0.
12
   of nitrosamines?
13
                 I didn't look at each author
           Α.
   or even the first author's complete
14
   publication list to see how many papers
15
16
   they've written in that area. I've just
17
   focused on what this one said.
18
                 But the more papers they
19
   wrote on it, probably the more
20
   authoritative they are?
21
                 Potentially, yes.
           Α.
22
                 MR. VAUGHN: Tyler, can we
23
           go back now to Exhibit B, his
24
           materials relied on. Let's go to
```

- PDF Page 9. It's Page 8 on the
- bottom of the document.
- 3 BY MR. VAUGHN:
- Q. Doctor, if you look up about
- <sup>5</sup> five rows, you'll see this Kushida.
- <sup>6</sup> That's the article that you were citing
- <sup>7</sup> to a second ago in your expert report,
- 8 right?
- <sup>9</sup> A. Yes.
- Q. Do you see the -- oh, I
- 11 think it's about the sixth, the
- next-to-last name, T. -- I don't know
- 13 how you say that. Nohmi?
- A. Mm-hmm. I see it.
- Q. Do you recall if you
- 16 reviewed any other articles by this T.
- 17 Nohmi?
- A. I don't think I did. I
- 19 don't recall that.
- Q. Do you recall seeing other
- $^{21}$  papers by this T. Nohmi in Dr.
- <sup>22</sup> Panigrahy's expert report?
- A. I may have seen that. But I
- <sup>24</sup> didn't read those.

```
1
                 Okay. Well, let's have a
           Ο.
2
   look at those.
3
                 MR. VAUGHN: Tyler, will you
4
           pull up the Nohmi 2020 for us.
5
                 (Document marked for
6
           identification as Exhibit
7
           Bottorff-8.)
8
   BY MR. VAUGHN:
9
                 This first one that we were
10
   looking at that you cited to is back in
11
   2000. And this one now is in 2020. So
   this -- Nohmi has at least been, you
12
13
   know, involved in researching
14
   nitrosamines for 20 years. Would you
15
   agree with that?
16
                 I don't know what happened
           Α.
17
   in between. So there's a 20-year time
18
   period between these two papers.
19
                 He was studying nitrosamines
20
   20 years ago, and he's still studying
21
   nitrosamines though in 2020, right?
22
                 MS. THOMPSON: Object to
23
           form.
24
                                That, I agree.
                 THE WITNESS:
```

```
1
   BY MR. VAUGHN:
2
                 Right.
           Ο.
3
                 That, I agree. I just don't
           Α.
4
   know what happened in between.
5
           Q.
                 You don't know if he's
6
   published additional papers in between
7
   2000 and 2020, like in 2018, right?
8
                 Right. I did not look at
           Α.
9
   that.
10
                 MR. VAUGHN: Okay. Then if
11
          we can go down about two-thirds of
12
           the way under that first paragraph
13
           under introduction. And there's a
14
           sentence starting with "In
15
           General."
16
   BY MR. VAUGHN:
17
                Doctor, can you read that
18
   sentence aloud for the jury?
19
                "In general, genotoxic
           Α.
20
   carcinogens are regulated under the
21
   policy that they have no thresholds or a
22
   safe dose."
23
                 And then how many citations
24
   are listed after that?
```

```
1
           Α.
                 Three.
2
                 Do you know what ICH stands
           Q.
3
   for?
4
                 Yeah. I think it's a cancer
           Α.
5
   harmonization group or something like
6
   that.
           International cancer harmonization
7
   or something.
8
                 And they are saying
9
   genotoxic carcinogens are regulated under
10
   the policy they have no threshold or safe
11
   dose. You weren't aware of that when you
12
   were forming your opinions, were you?
13
                 MS. THOMPSON: Objection.
14
           Form.
15
                 THE WITNESS:
                                I was aware
16
           that there are people who think
17
           from a regulatory standpoint that
18
           way.
19
   BY MR. VAUGHN:
20
                Why would regulators take
           Ο.
21
   that stance?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                                I'm not a
                 THE WITNESS:
```

```
1
          regulator. I don't know.
   BY MR. VAUGHN:
3
          Q. But you agree that a
4
   genotoxin can alter a person's DNA,
5
   correct?
6
                 MS. THOMPSON: Objection.
7
          Form.
8
                 THE WITNESS: I agree that
9
          that's the definition of a
10
          genotoxin.
11
   BY MR. VAUGHN:
12
          Q. But you don't know if that
13
   has any impact on if there should be a
   safe threshold, do you?
14
15
                Well, on that fact alone,
16
   no, I don't think that's necessarily I
17
   would agree with that.
18
          O. Go ahead and read the next
19
   sentence for us.
20
             "This is based on the
21
   assumption that even one molecule of
22
   genotoxic chemicals may induce a mutation
23
   that may cause cancer."
24
                And then there's a couple
          0.
```

```
1
   citations there as well, correct?
2
           Α.
                 Correct.
3
                 Did you happen to read
4
   through of those citations either?
5
                 I did not. They were not
           Α.
6
   the focus in my report.
7
                 I thought the focus of your
8
   report was to see how little or how much
9
   NDMA you can -- a human can -- scratch
10
   that.
11
                 I thought the purpose of
12
   your opinion was to figure out how much
13
   NDMA a person can consume and not get
14
   cancer, right?
15
                 MS. THOMPSON: Objection to
16
           form.
17
                 THE WITNESS: Well, again,
18
           there are words in here about
19
           regulatory policy. I didn't
20
           evaluate regulatory policy.
21
                 There are words in here
22
           about assumption. I didn't
23
           operate on assumptions.
24
                 I looked at the data. And
```

```
1
           found that there were doses that
2
           did not cause cancer.
   BY MR. VAUGHN:
4
                 And you're not trying to
           Ο.
5
   give any regulatory opinions in this
6
   litigation, correct?
7
           Α.
                Correct.
8
                 MS. THOMPSON: Objection to
9
           form.
10
                 THE WITNESS:
                                Sorry.
11
                 MS. THOMPSON: It's okay.
12
                 THE WITNESS: Correct.
13
   BY MR. VAUGHN:
14
             The assumption is that one
15
   molecule of a genotoxic chemical may
16
   induce a mutation that may cause cancer.
17
   This sentence though, what does that
18
   actually have to do with regulatory?
19
                 MS. THOMPSON: Objection.
20
           Form.
21
                 Where are you reading that
22
           from?
23
                 THE WITNESS: The second
24
           sentence.
```

- <sup>1</sup> BY MR. VAUGHN:
- Q. Thank you, Doctor.
- A. Again, the first sentence is
- 4 regulatory policy that I said I was not
- <sup>5</sup> going to give any opinions on.
- The second sentence I said
- <sup>7</sup> made an assumption and then gave two
- 8 references.
- <sup>9</sup> And so for example,
- 10 Panigrahy's report references this
- 11 article. And this article only refers to
- 12 an assumption.
- So what I don't know is if
- this assumption is referring to papers
- that also said assumption as opposed to
- data proving it. So I can't really tell
- you if this is just somebody repeating
- 18 assumption and assumption and assumption
- <sup>19</sup> and keep referring to it without having
- $^{20}$  any evidence or proof. They may have it.
- <sup>21</sup> I just can't tell from this.
- Q. Because you haven't read
- this and you haven't read any of the
- 24 citations, have you?

- A. No. But now I have read
- <sup>2</sup> Panigrahy's reference or citation for
- that, and there's no data here to support
- <sup>4</sup> that contention.
- <sup>5</sup> Q. I thought earlier -- I
- 6 thought your expert report you said you
- <sup>7</sup> did not have anything to support that
- 8 opinion?
- 9 MS. THOMPSON: Objection to
- form.
- THE WITNESS: I said he
- didn't cite anything to form that
- opinion.
- <sup>14</sup> BY MR. VAUGHN:
- Q. So do you think this is the
- only article that he based that opinion
- <sup>17</sup> on?
- A. I do not know that. I know
- 19 that this article has no data with which
- to make that conclusion that he made.
- Q. But you haven't tried to
- <sup>22</sup> seek out any additional data regarding
- genotoxic chemicals and their ability to
- <sup>24</sup> mutate someone's DNA and cause cancer

- even with just one molecule, have you?
- A. I haven't looked for that.
- <sup>3</sup> Although I have found animal data with
- 4 way more than one molecule being given
- <sup>5</sup> that did not produce cancer over the
- 6 entire lifetime of rats which corresponds
- <sup>7</sup> to anywhere between 70 and 90 years of
- 8 exposure in humans.
- 9 O. And because you didn't look
- 10 for any literature on this, you cannot
- 11 base any of your opinions on the
- 12 literature that says this, right? You
- 13 didn't -- scratch that.
- Because you didn't look for
- 15 any literature on genotoxic chemicals,
- 16 you also did not consider any of that
- 17 literature in forming your opinions in
- 18 this case; is that correct?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: These opinions
- were not germane to the focus of
- my report.
- 24 BY MR. VAUGHN:

```
1
           Ο.
                 And explain to me again what
   the focus of your report was?
3
                 MS. THOMPSON: Objection.
4
           Asked and answered.
5
                 THE WITNESS: The metabolism
6
           of NDMA and NDEA and in relation
7
           to the amounts that were found in
8
           valsartan, and in relation to
9
           that, was there evidence based on
10
           metabolism that there would be a
11
           risk of cancer that I could
12
           identify based on the animal data.
13
   BY MR. VAUGHN:
14
                 Doctor, can you tell the
15
    jury how many molecules of NDMA are in
16
   one nanogram?
17
                 MS. THOMPSON: Objection.
18
           Form.
19
                 THE WITNESS: Let's see.
20
                 Can we do micrograms
21
           instead?
22
   BY MR. VAUGHN:
23
           Ο.
                 Sure.
24
                 And the reason I say that is
           Α.
```

- this gets back to what are called molar
- <sup>2</sup> calculations, which use the molecular
- weight of the compound in question, which
- 4 in the case of NDMA is -- the molecular
- <sup>5</sup> weight is 74. That's the weight of the
- 6 carbons an the oxygen and the nitrogens
- <sup>7</sup> and the hydrogens.
- And they add up to 74. So a
- <sup>9</sup> microgram divided by 74 would be whatever
- 10 that ratio is in micromolar, and a mole
- 11 has Avoadra's number, 6.03 times ten to
- 12 the 23rd molecules.
- So we can do that math if
- 14 you want.
- O. How about this? What's
- larger, a nanogram or a molecule?
- MS. THOMPSON: Objection.
- THE WITNESS: A nanogram.
- <sup>19</sup> BY MR. VAUGHN:
- Q. So there are multiple
- <sup>21</sup> molecules of NDMA in every nanogram of
- 22 NDMA?
- A. Right. And so I'm doubting
- $^{24}$  that there's any way that you could prove

- that one molecule could cause cancer
- because there's no way to give one
- $^{3}$  molecule.
- Q. You're saying they just
- <sup>5</sup> can't prove it, so you discount it?
- A. And that's why this is an
- <sup>7</sup> assumption that I think is being passed
- <sup>8</sup> on from person to person.
- <sup>9</sup> And in your nursing career,
- you may have heard of something called
- 11 chart lore where someone has heart
- 12 failure, because someone else in a note
- said they had heart failure, and you
- 14 can't find any evidence of an ejection
- 15 fraction or heart failure meds or
- <sup>16</sup> anything to substantiate what we call
- <sup>17</sup> chart lore.
- You can't give one molecule
- of anything. We just don't do that.
- There's no way to do it. So this is an
- 21 assumption that I think is being passed
- <sup>22</sup> on.
- Q. An assumption based on the
- <sup>24</sup> fact that it's genotoxin and can alter

```
1
   someone's DNA, right?
2
                 MS. THOMPSON: Objection.
3
                 THE WITNESS: At some dose.
4
          But there's no way to prove it
5
          happened at one molecule.
6
   BY MR. VAUGHN:
7
                Would you say there's 100
          Ο.
8
   molecules in a nanogram? A thousand?
9
   How much do you think there approximately
10
   would be?
11
          A. It's based on the molecular
12
   weight of the substance that you're
13
   talking about.
14
             It would be more than 100?
15
                 Yeah, because we're talking
          Α.
16
   6.02 times ten to the 23rd for a
17
   micromole. So that's a lot of molecules.
18
                Can you -- we'll go ahead
           Ο.
19
   and do it in micrograms.
20
                 Can you give me an estimate
21
   of how many of those molecules would be
22
   in a microgram or a nanogram, either one?
23
                        That's my estimate.
           Α.
                 Yeah.
24
   It's -- it's something like times ten to
```

- <sup>1</sup> the 20th or something like that.
- Q. Per, is that microgram or
- 3 nanogram?
- <sup>4</sup> A. Per microgram.
- O. And what's ten to the 20th
- <sup>6</sup> for the jury?
- <sup>7</sup> A. Quadrillion billions or
- 8 something. I don't know what the exact
- <sup>9</sup> is.
- Q. So per microgram, there's
- 11 you said quadrillion billions?
- 12 A. Oh, I don't know the exact
- 13 number. It's got 20 zeros in front of
- 14 the decimal point.
- Q. 20 zeros. And then you are
- 16 aware of NDMA levels in valsartan that
- are 40 micrograms. So you're saying
- 18 billions and billions and billions of
- 19 molecules of a genotoxic substance, you
- don't think has the potential to cause
- 21 cancer, correct?
- A. At the doses that we're --
- MS. THOMPSON: Objection.
- Form.

```
1
                 THE WITNESS: At the doses
2
          that we're talking about that have
3
          been demonstrated in the best
4
          approximator we have, which is the
5
           rat, that there is no cancer that
6
           formed in billions times those
7
          billions.
8
   BY MR. VAUGHN:
9
          O. Just one nanogram, would
10
   that be like trillions of molecules of
11
   NDMA?
12
                 I'd have to do the math. I
13
   couldn't assign a number to it unless I
14
   did the math. But we're talking about a
15
   lot.
16
          Q.
                 So these researchers have a
17
   focus in carcinogens and NDMA. They
18
   think one molecule of it can induce
19
   cancer. And you, a pharmacist, thinks
20
   that trillions of molecules of NDMA won't
21
   even increase the risk of someone getting
22
   cancer; is that correct?
23
                 MS. THOMPSON: Object to
24
           form.
```

```
1
                 MS. KAPKE: Object to form.
2
                                What is
                 THE WITNESS:
3
           correct is that there have been no
4
           data showing cancer in humans. So
5
           we have to start there.
6
                 And just secondly, we have
7
           millions and billions of molecules
8
           being given to rats that don't
9
           cause cancer.
10
                 And the NDMA in valsartan is
11
           way less than that.
12
                 So that is what it is.
13
   BY MR. VAUGHN:
14
             So the only evidence that's
15
   going to be good enough for you is if we
   give humans a bunch of NDMA and see what
16
17
   happens? That's the only way that you're
18
   going to say that it could be a
19
   carcinogen in humans?
20
                 MS. THOMPSON: Objection to
21
           form. Asked and answered.
22
                 THE WITNESS: It's not the
23
           focus of my report.
24
                 The focus of my report is
```

```
1
           whether the amount in valsartan
2
           that we know, whether that
3
           achieves some likelihood of
4
           causing cancer based on the best
5
           data we have, which are animal rat
6
           data.
7
                 And so I concluded what I
8
           did based on that.
9
   BY MR. VAUGHN:
10
                Are you aware of the widely
           Ο.
11
   understood principle that animal studies
12
   may simply be underpowered to pick up the
13
   cancer risk at very low levels?
14
                 MS. THOMPSON: Objection.
15
           Form.
16
                 THE WITNESS: I am aware of
17
           any study can be limited by the
18
           lack of something showing up at
19
           low doses. And that's what we
20
           have. That's the data that we
21
           have.
22
   BY MR. VAUGHN:
23
                 And are you aware that Peto
           0.
24
   previously stated that too?
```

```
1
           Α.
                 That Peto stated that we
2
   have data showing that low doses won't
3
   cause cancer?
4
                 No, that Peto says that
           0.
5
   animal studies were underpowered;
6
   therefore, they wouldn't be able to
7
   detect low doses increasing the risk of
8
   cancer, which is why they extrapolate all
9
   the way down to a no dose threshold.
10
                 Are you aware if Peto said
11
   that?
12
                 MS. THOMPSON: Objection to
13
           form.
14
                 THE WITNESS: I am aware of
15
          Peto's study. I referenced it. I
16
           referenced the concerns that he
17
           also expressed about the accuracy
18
          of the no threshold concept.
19
   BY MR. VAUGHN:
20
          Q. You said you are aware of
21
   Peto study.
22
                 Is it your belief that Peto
23
   has only done one study on NDMA?
24
                 I never said that. I was
           Α.
```

- 1 referring to the one that I referred to
- where he gave a low enough dose that we
- 3 could see there was no association with
- 4 cancers.
- <sup>5</sup> Q. Have you read all of Peto's
- 6 studies on NDMA?
- A. I'd have to look at my
- 8 reference list. I think I've read at
- 9 least one or two others.
- This was by far the largest.
- 11 So the reason he did a 4,000-rat study
- was to address those concerns about not
- having enough power to detect cancers at
- 14 low doses. So he improved his power by
- doing what I thought was the largest rat
- 16 study, although it turns out that REMS
- was almost the same size.
- Q. I'm going to ask you one of
- the questions again because I don't think
- $^{20}$  I got a clear answer.
- One second. You said that
- you're aware of the Peto study. And you
- <sup>23</sup> referenced it.
- My question was, were you

```
aware that Peto said that he believed the
1
2
   animal studies were underpowered and,
   therefore, were not able to detect the
4
   increased risk of cancer at low doses of
5
   NDMA?
6
                 MS. THOMPSON: Objection.
7
           Form.
8
                 THE WITNESS: And in that
9
          paper, was it in his introduction
10
           or in his conclusions?
11
   BY MR. VAUGHN:
12
                You don't recall where it
           0.
13
   was?
14
                 MS. THOMPSON: Objection.
15
           Form.
16
                 THE WITNESS: I don't. We
17
          could look it up. My suspicion is
18
           that it's in his introduction to
19
           explain why he chose to do a study
           in 4,000 rats, is to address that
20
21
           concern.
22
   BY MR. VAUGHN:
23
                 That's your suspicion, but
           0.
   you don't know, do you?
```

```
1
           Α.
                 No. We can call it up. We
   can look at it. I would actually love to
   do that.
4
                We might do that in front of
5
   the jury instead.
6
                 MR. VAUGHN: Can we go back
7
          to that Nohmi 2020 article,
8
          please.
9
                 Can we zoom out a little
10
          bit.
11
   BY MR. VAUGHN:
12
             Can you read out loud,
13
   Doctor, the next sentence starting with
14
   "accordingly."
15
          A. "Accordingly, genotoxic
16
   carcinogens are strictly regulated and
17
   not allowed to be used as food additives,
18
   pesticides, or veterinary drugs."
19
                 So genotoxic carcinogens
20
   aren't even allowed in veterinary drugs
21
   or pesticides, but it's your opinion that
22
   it's okay for them to be in human
   medications; is that correct?
23
24
                 MS. THOMPSON: Objection.
```

```
1
           Form. Calls for speculation.
2
                 THE WITNESS: Again, at
3
          doses low enough that don't appear
4
           to increase the risk for cancer,
5
           which is what I found, that is
6
           reporting the science, not making
7
           a regulatory statement, which is
8
          not what I'm attempting to do.
9
   BY MR. VAUGHN:
10
                 Okay. Pesticides, it's not
           Ο.
11
   like low amounts of NDMA are allowed in
12
   pesticides. No NDMA is allowed to be in
13
   a pesticide, right?
14
                 MS. THOMPSON: Objection.
15
           Form. Scope.
16
                 THE WITNESS: I mean that's
17
          what it says, yes.
18
   BY MR. VAUGHN:
19
           Q. And same for drugs that you
20
   give to animals, it's not like you can
21
   give a little bit. You can't give any at
22
   all, right?
23
                 MS. THOMPSON: Objection.
24
           Form.
                  Scope.
```

```
1
                 THE WITNESS: Again --
2
           sorry.
3
                 This is a regulatory
4
           perspective. That's not the
5
           approach I took.
6
   BY MR. VAUGHN:
7
                 Which one -- which approach
           0.
8
   would be safer for the public health,
9
   your approach or this approach?
10
                 MS. THOMPSON: Objection.
11
           Form.
                Scope.
12
                 THE WITNESS: Again, you --
13
           you are making the assumption that
14
           one molecule causes cancer which
15
           is an unsubstantiated claim.
16
                 So the reality is that we
17
           have to look at what did happen,
18
           not at what could or should or
19
           might happen in the future.
20
                 But I was looking at the
21
           reality of what did happen. And
22
           did I feel that this put patients
23
           at risk for developing cancer at
24
           the amount in the valsartan
```

```
1
           products.
2
                 And based on the best
3
           available data that I have access
4
           to, the answer is no, I don't
5
           think it put people at excess
6
           risk.
7
   BY MR. VAUGHN:
8
           Q. Again, you don't know all
9
   the levels that were in valsartan,
10
   because you never even asked defense
11
   attorneys to provide it to you, did you?
12
                 MS. THOMPSON: Objection.
13
           Form. Asked and answered.
14
                 THE WITNESS: I didn't ask
15
           attorneys for that. I took it
16
           from the FDA's website which was
17
           publicly available to all of us.
18
   BY MR. VAUGHN:
19
           Q. Okay. I mean, I guess,
20
   would you expect that a manufacturer of a
21
   pharmaceutical product would disclose all
22
   of their testing data to the FDA?
23
                 MS. THOMPSON: Objection.
24
           Form.
```

```
1
                 THE WITNESS: They may have.
2
           But the FDA didn't make it
3
           available to me.
4
                 And even if we go back, I
5
           think at the very beginning of
6
           this morning, when you talked
7
           about the 120 parts per billion,
8
           let's call that the highest level.
9
           It doesn't change the opinions in
10
           my report anyway.
11
   BY MR. VAUGHN:
12
                 Did you just say parts per
13
              Did you mean parts per million?
   billion?
14
                 If I said billion, I meant
15
   million.
16
                 Sorry. The 120 parts per
17
   million, which I think we calculated as
18
   being just under 40 micrograms, like 38
19
   something.
20
                 And so we're talking about,
21
   instead of the calculations that I did on
22
   20 micrograms, that 120 parts per
23
   million, let's call it 40 micrograms.
24
   And so instead of the amount in the
```

1 valsartan products that I calculated 2 being anywhere from 350 to 22,000 times, you know, it's still 150 to 11,000 times. 4 So it doesn't change my opinions at all. 5 The fact that you Ο. 6 underestimated the amount of NDMA in a 7 pill, the fact that you overestimated the 8 weight of the average human, the fact 9 that you did a one-to-one ratio when you 10 scaled it for kg, all of those things 11 together you don't think really impact 12 your opinion? 13 MS. THOMPSON: Objection to 14 Mischaracterizes his 15 testimony. 16 And just THE WITNESS: No. 17 making some assumptions that I 18 don't necessarily have to agree 19 with. 20 There's not a patient in 21 this country on valsartan for 22 hypertension at the usual age that 23 those people are that weigh 24 50 kilograms.

1 BY MR. VAUGHN: 2 In the United States, right? Ο. 3 Is there one somewhere? Α. 4 Yeah, probably. 5 I mean, I guess Americans Ο. 6 are heavier on average than people in 7 other countries, aren't we? 8 Yeah, that's true. Α. 9 0. So --10 Again, we're not talking Α. 11 about healthy 17-years-olds. 12 talking about potentially unhealthy 50-, 13 60-, 70-year olds, who again aren't going 14 to be taking the drug for 70 years. 15 most they could have been taking it for 16 four years. 17 So if a company is making 18 valsartan and some of their product they 19 know has a lot of NDMA in it and some of 20 their product has just a little bit of 21 NDMA in it, do you think it would be more 22 appropriate for them to be sending that 23 high level of NDMA to Americans because,

you know, Americans weigh more than other

24

```
1
   people do in other countries on average?
2
                 MS. THOMPSON:
                               Objection.
3
                Foundation. Calls for
4
          speculation.
5
                 THE WITNESS: I don't think
6
          I ever said that. But I don't
7
          think anyone would be doing that
8
          anyway.
9
   BY MR. VAUGHN:
10
          0.
                Why?
11
          A. Why would they? I can't
12
   come up with a reason why they would. So
13
   I don't have a why.
14
             It would be very unethical
15
   if they were doing that, wouldn't it?
16
                 MS. THOMPSON: Objection.
17
          Form.
                Scope.
18
                 THE WITNESS: If someone
19
          were to do something unethical, it
20
          would be unethical.
21
   BY MR. VAUGHN:
22
             I mean, if a company was
23
   intentionally sending the higher level of
24
   NDMA product to the United States instead
```

```
of other countries, that would be
1
   unethical to do, right?
3
                 MS. THOMPSON: Objection.
4
                  Asked and answered. Scope.
5
           I mean, this is so far afield from
6
           his opinions in his report, I
7
          don't know what we're doing here.
8
   BY MR. VAUGHN:
9
           Q. You going to answer the
10
   question, Doctor?
11
                 Yes, I can answer.
12
                 I can't understand how that
13
   would ever happen. So I don't have an
14
   opinion on something that would never
15
   happen.
16
                 I agree with you, it's
           0.
17
   completely inconceivable someone would do
18
   something like that.
19
                 Do you agree that a
20
   responsible pharmaceutical company would
   disclose all of their testing data and
21
22
   all of the levels of NDMA that they were
23
   aware of in valsartan to the FDA?
24
                 MS. THOMPSON: Objection to
```

```
1
           form.
2
                 MS. KAPKE: Object to form.
3
                 MS. THOMPSON: I'm going to
4
           re-raise the issue that was raised
5
           earlier, that that is a general
6
           liability opinion. That's not
7
           about causation. That's not what
8
           we're here to discuss.
9
                 MR. VAUGHN: Well, I mean,
10
           the FDA has done some calculations
11
           and stuff based on the data that
12
           they're aware of. And this expert
13
           has relied on what the FDA was
14
           aware of.
15
                 So I think it is applicable.
16
   BY MR. VAUGHN:
17
           Q. You would expect a
18
   responsible company to disclose all the
19
   data that they are aware of to the FDA,
20
   right?
21
                 MS. THOMPSON: Same
22
          objection.
23
                 THE WITNESS: I'm assuming
24
           they did. So I don't know what
```

```
1
          happened there. It wasn't
2
          anything that I looked at or
3
          relied upon.
4
                 MR. VAUGHN: Great. Let's
5
           take a break.
6
                 THE VIDEOGRAPHER: The time
7
          right now is 3:55 p.m. We're off
8
           the record.
9
                 (Short break.)
10
                 THE VIDEOGRAPHER: The time
11
          right now is 4:06 p.m. We're back
12
          on the record.
13
   BY MR. VAUGHN:
14
          Q. Doctor, can you hear me? It
15
   says my connection is unstable. I think
16
   it's good now.
17
                 I hear you now.
18
                 MS. THOMPSON: We hear you.
19
   BY MR. VAUGHN:
20
                All right. Doctor, are you
          0.
21
   aware -- strike that. Just going to talk
22
   clearly.
23
                 Doctor, are you aware of how
24
   the FDA selected the valsartan pills that
```

```
it tested for NDMA?
1
2
                 No, I'm not, actually. I
           Α.
   got the table that I included in my
4
   report off of the FDA's published
5
   website.
6
           Q. And so you're not aware if
7
   the companies sent the valsartan pills to
8
   the FDA to test, correct?
9
                 I do not know that.
10
                 And, therefore, you don't
           Ο.
11
   know if those companies cherry-picked the
12
   valsartan pills that they decided to send
13
   to the FDA, correct?
14
                 I do not know that.
15
                 MR. VAUGHN: Thank you very
16
          much for your time today, Doctor.
17
           I have no further questions,
18
           subject to direct.
19
                 THE WITNESS: Okay. All
20
           right. Thank you, Mr. Vaughn.
21
                 MS. THOMPSON: We are going
22
           to have some questions. And I
23
          wasn't expecting you to do that.
24
           So give me a second to pull those
```

	- agorer oz rz
1	up.
2	THE VIDEOGRAPHER: Are we
3	going off the record?
4	MR. VAUGHN: I'm fine with
5	staying on.
6	MS. THOMPSON: If you don't
7	mind, I'd really like to go off
8	for just two minutes just to make
9	sure that I have all my questions.
10	MR. VAUGHN: As long as it's
11	just a couple minutes.
12	MS. THOMPSON: Real brief.
13	THE VIDEOGRAPHER: The time
14	right now is 4:07 p.m. We're off
15	the record.
16	(Short break.)
17	THE VIDEOGRAPHER: The time
18	right now is 4:10 p.m. We're back
19	on the record.
20	MS. THOMPSON: Just a few
21	questions. Hopefully this will be
22	quick.
23	
24	EXAMINATION

```
1
2
   BY MS. THOMPSON:
3
                 Dr. Bottorff, as a doctor of
4
   pharmacy are you able to and have you
5
   prescribed drugs to patients?
6
                 In the context of physically
7
   writing the prescription, I have done
8
   that.
9
                 Usually I've done it in an
10
   environment where a physician at some
11
   point would need to come behind and
12
   co-sign it instead of independent
13
   prescriptive authority, although there
14
   are some pharmacists in some states who
15
   have that ability. So yeah, I've
16
   initiated, with co-signature, thousands
17
   of drug therapies.
18
                 And has that included
           Ο.
19
   prescribing anti-hypertensives like
20
   valsartan or other ARB drugs?
21
                 Valsartan, many of the other
           Α.
22
   ARBs, and not just for hypertension, but
23
   also for heart failure.
24
                 And you didn't study
           Q.
```

- <sup>1</sup> valsartan and the other ARBs for their
- <sup>2</sup> metabolism or their pharmacokinetics for
- <sup>3</sup> the first time for this case, right?
- <sup>4</sup> A. No. No. Those are drugs
- <sup>5</sup> that on a regular basis, when they come
- out, I look at their pharmacokinetics,
- <sup>7</sup> their pharmacodynamics, their side effect
- <sup>8</sup> profile. Because when you have more than
- one drug in the category, then you need
- 10 to evaluate in what situation would I use
- this one versus that one, what's the
- 12 strength of their outcome data, as much
- 13 clinical information on those drugs as I
- 14 can get.
- And it's not just in my own
- <sup>16</sup> interest. I get asked questions about
- those issues with these drugs from
- 18 physicians, from patients and from
- 19 students when I teach.
- Q. We had some questions
- $^{21}$  earlier, and I just want to give you an
- 22 opportunity to explain it cleanly in a
- way that a layperson -- and I am a
- layperson -- can understand.

1 What is first-pass 2 metabolism? 3 A. Every drug that we give 4 orally that is absorbed towards the 5 liver, across the small intestine, 6 undergoes what we would call first-pass 7 metabolism. 8 And for some drugs that 9 clearance is pretty low, for some drugs 10 it's intermediate, and for some drugs 11 that clearance rate is really high. 12 And so the amount of drug 13 that gets through the liver, then into 14 the hepatic vein, which then enters the 15 circulation through the heart, the lungs, 16 back to the liver, to other organs, is 17 only occurring if drugs are given at a 18 dose that exceeds whatever that 19 first-pass metabolism capability is for 20 that particular drug. 21 So did you have to determine Ο. 22 a first-pass metabolism capability for 23 valsartan and NDMA? 24 Object to form. MR. VAUGHN:

```
1
                 THE WITNESS:
                                Sorry. For --
2
           for valsartan, that's what's
3
           reported in the package label and
4
           plenty of studies showing --
5
           that's when we talked about its
6
           bioavailability being between,
7
           what was it, 10 and 35 percent.
8
                 That's the percent of the
9
           drug that gets through the liver
10
           and does its systemic effects,
11
           because that's a drug that you
12
           want to work on the heart, in the
13
           kidney, on the blood vessels.
14
                 Can you repeat the question
15
           real quick?
16
   BY MS. THOMPSON:
17
                 I was asking about, did you
18
   have to determine the first-pass
19
   metabolism of both valsartan and then
20
   NDMA --
21
                 Yeah, it's easier for
           Α.
22
   valsartan because it's supposed to get
23
   through the liver and do its
24
   pharmacologic effect so you can measure
```

- the bloodstream to assess
- <sup>2</sup> bioavailability.
- For NDMA, that assessment is
- 4 not as exact a science, except for a
- <sup>5</sup> couple small rat studies that looked at
- 6 it, because you don't want it to get into
- <sup>7</sup> the systemic circulation.
- 8 So -- and the dose is low
- 9 enough that you get first complete
- 10 first-pass metabolism, you couldn't
- measure it in the bloodstream.
- 0. And before valsartan that
- 13 contains NDMA or NDEA gets to the liver,
- does it get metabolized anywhere else or
- exposed to any organs prior to the liver?
- A. No. And some drugs do.
- 17 There is a fairly robust round of
- 18 cytochrome P450 in the small intestine.
- 19 So many drugs are first metabolized
- there, and then into the mesenteric blood
- 21 system directly into the liver.
- But in looking at this
- issue, particularly at 2E1, there is no
- <sup>24</sup> 2E1 in the small intestine. So there is

- 1 no pre-systemic metabolism before it gets
- <sup>2</sup> to the liver. So all of it occurs in the
- $^{3}$  liver.
- 4 Q. And so, how do we know that
- 5 the only metabolism that would occur
- 6 would be in the liver and not prior to
- <sup>7</sup> that?
- MR. VAUGHN: Object to form.
- <sup>9</sup> THE WITNESS: Because there
- is no metabolism ability present
- until you get to the liver.
- 12 BY MS. THOMPSON:
- Q. Does first-pass metabolism
- 14 apply to both NDMA and NDEA?
- A. Yes, it does.
- Q. And you also used a term
- earlier today that I'm going to again
- 18 make you explain to me like a layperson.
- Liver saturation, can you
- <sup>20</sup> please explain that?
- A. Again, this sort of gets at
- the issue of first-pass metabolism and at
- what point do you reach the ability of
- $^{24}$  the liver to completely clear the dose of

- <sup>1</sup> that drug.
- And saturation is a good
- <sup>3</sup> term. Some people liken it to, like, how
- 4 much water can a sponge hold. And when
- <sup>5</sup> you've reached the point where the sponge
- 6 can hold no more water, the water gets
- <sup>7</sup> past the sponge to wherever it would go
- <sup>8</sup> after that.
- So that's a way of thinking
- of a saturation point. It's your ability
- 11 to measure it beyond the liver.
- Q. Were you able to determine a
- 13 liver saturation level for NDMA or NDEA?
- 14 A. Not in the context of what
- the actual dose would be based on blood
- 16 levels past the liver because it has such
- <sup>17</sup> a short half-life it's really difficult
- $^{18}$  to do.
- So the surrogate for
- measuring post-liver blood level
- penetration, if you will, was whether
- <sup>22</sup> there was any either adducts or cancers
- that occurred past that. So that's where
- <sup>24</sup> I came up with that

- 1 .1-milligram-per-kilogram sort of dose
- <sup>2</sup> that, if it does get through the liver,
- <sup>3</sup> it doesn't appear to cause any downstream
- 4 cancer. So it must be in small enough
- 5 amounts that it can't do that.
- O. Does NDMA or NDEA accumulate
- <sup>7</sup> in the liver if it is ingested every day?
- A. That's a good question. In
- <sup>9</sup> a pharmacokinetic sense, drug metabolism
- sense, for a drug to accumulate --
- 11 remembering that the liver's job is to
- 12 metabolize. And if you can't measure any
- downstream, it's because the drug has
- 14 been completely metabolized in the liver,
- so no drug level accumulation would occur
- 16 as long as you weren't exceeding that
- 17 capacity on a daily basis.
- So in the doses that we are
- 19 talking about, there would be no drug
- 20 level accumulation.
- Q. If valsartan makes it
- through the liver and circulates into the
- bloodstream and provides therapeutic
- effect, how can you say that NDMA or NDEA

- in it doesn't make it to that point?
- A. We touched briefly on this.
- <sup>3</sup> I don't know how well I explained it.
- <sup>4</sup> But when a tablet of valsartan is
- <sup>5</sup> dissolved in the stomach and the upper
- 6 small intestine and then is absorbed, the
- <sup>7</sup> way I like to explain it, is that they
- 8 then go their merry way.
- <sup>9</sup> They are no longer
- 10 chemically connected. They have their
- own separate and independent routes of
- 12 metabolism and elimination. And so
- valsartan does what it does, and NDMA and
- 14 NDEA does what it does.
- 0. And --
- A. And those mechanisms do not
- <sup>17</sup> overlap at all.
- Q. Is evaluating whether,
- where, and how a drug is metabolized part
- <sup>20</sup> and parcel of pharmacokinetics?
- A. Absolutely. I give examples
- in my report of drugs whose doses are
- dramatically different, or in some cases
- <sup>24</sup> aren't even given at all because

- <sup>1</sup> first-pass metabolism is so efficient
- <sup>2</sup> that the drug would be ineffective.
- And a real classic example
- <sup>4</sup> of that is lidocaine. We don't use it
- <sup>5</sup> that much anymore for arrhythmias. But
- <sup>6</sup> when it was attempted to be given orally,
- <sup>7</sup> first-pass metabolism was so extensive
- 9 you've got no clinical effect from
- 9 lidocaine. Only if you gave it
- intravenously.
- So measurable kinetics,
- 12 clearance, half-life, first-pass
- metabolism, that's all dependent on the
- <sup>14</sup> route of administration.
- Q. And in your -- I hesitate to
- 16 put a number on there -- almost 40-year
- 17 career, have you done this type of
- evaluation of whether, how, and where
- <sup>19</sup> drugs are metabolized in the body in your
- ordinary course of your professional
- <sup>21</sup> experience?
- MR. VAUGHN: Object to form.
- THE WITNESS: I'm sorry.
- Hundreds of times. There were how

1 many drugs in the cardiovascular 2 arena on the market when I 3 graduated compared to how many are 4 in the arena now in that 40 years, 5 how many more. 6 It's like hundreds and 7 hundreds more, and I do that for 8 every one of these drugs. 9 BY MS. THOMPSON: 10 So the analysis that you've Ο. 11 done here to formulate your opinions, is 12 it consistent with what you've done in 13 your professional practice? 14 It is a process for any drug 15 that I go through. What's its dose, how 16 effective, what are its side effects, 17 what's its toxicity, what are the data, 18 what are the type of data. In many cases 19 I look at the animal studies in addition 20 to the human studies when they are 21 conducted. 22 O. And you were asked earlier 23 about your kind of ultimate opinion that 24 the presence of NDMA in valsartan, based

on all of these factors, does not 1 increase the risk of cancer in downstream organs. Do you recall that? 4 Yes. Α. 5 Okay. How do you know that? 0. 6 MR. VAUGHN: Object to form. 7 THE WITNESS: It's my best 8 clinical judgment based on an 9 evaluation of the trials that have 10 a dose that did not cause cancer 11 in the most close animal model for 12 NDMA metabolism, which is the rat. 13 I identified a dose that below 14 which would not cause tumors. 15 And then in the multiple 16 tables that I provided, I compared 17 that to the milligram-per-kilogram 18 dose in the valsartan products 19 versus extrapolating to humans. 20 And it was hundreds and hundreds, 21 and even thousands and in some 22 cases tens of thousands of times 23 more. 24 So if we add that evidence,

```
1
           which is the best we'll have,
2
           we're not going to have any
3
           better.
4
                 If that's the evidence that
5
           we have of a dose and it doesn't
6
           cause cancer --
7
                 (Brief interruption.)
8
   BY MS. THOMPSON:
9
                 Sorry, Doctor. If you want
           0.
10
   to kind of go back and --
11
                 Poor child.
           Α.
12
                 So again, using the animal
13
   data, which is the best we have to
14
   extrapolate into humans, a noncancerous
15
   dose of NDMA which was about
16
    .1 milligrams per kilogram -- and that
17
   was fairly consistent across three or
18
   four studies, at least that I looked at.
19
   And you expressed that in a human dose
20
   based on body weight, which is the best
21
   way that we have to do it.
22
                 Then you get
23
   valsartan-containing products, even if
24
   you accept the 120 parts per million that
```

- we talked about, there are still hundreds
- <sup>2</sup> to tens of thousands times more than what
- $^3$  doesn't cause cancer in a rat.
- <sup>4</sup> Q. I have one more question, at
- <sup>5</sup> least for now. We'll see if we have
- 6 anything further based on what you just
- <sup>7</sup> said. I hate to end on this note.
- In preparing for this, did
- <sup>9</sup> you find a citation in your report that
- you need to correct?
- 11 A. Thank you for bringing that
- $^{12}$  up.
- When I went through some of
- the epidemiology studies and constructed
- my tables showing what I thought -- well,
- what is the inconsistency in the data on
- the association between NDMA proposed in
- 18 dietary and/or environmental exposures,
- there were two studies by an author named
- <sup>20</sup> Straif.
- And in my tables I reference
- 22 Straif and his data. But the citation I
- quote is his other study and not the one
- $^{24}$  that actually has the data that I have in

```
1
   there. So I just need to switch the
   citation to the article that has those
2
3
   data.
4
                 The data are accurate,
5
   they're what I wanted to have in the
6
   report, but his reference is the other
7
   one that I read of his, not the one that
8
   has these data.
9
                 MS. THOMPSON: And we'll
10
           provide an updated version with
11
           the correct citation for the other
12
           Straif article. I don't know if
13
           anybody else has anything else
14
           that they wanted to cover.
15
                 MR. VAUGHN: I'll be quick
16
           then.
17
18
                   EXAMINATION
19
20
   BY MR. VAUGHN:
21
                 Doctor, when did you realize
           Ο.
22
   that your report had citation errors?
23
                 Yesterday afternoon. It has
24
   a citation error.
```

- Q. And how did that come to
- <sup>2</sup> your attention?
- A. In just going through the
- 4 report and looking at some of where the
- <sup>5</sup> data came from. I think it actually it
- 6 was one of counsel that picked it up.
- <sup>7</sup> Q. Did you meet with counsel
- prior to this deposition?
- <sup>9</sup> A. Yes.
- Q. For how many hours?
- 11 A. Maybe six hours yesterday.
- Q. Was yesterday the only day?
- 13 A. It's the only day that we
- 14 met in person.
- Q. How many days did you meet
- 16 not in person or did you -- sorry not
- <sup>17</sup> meet. Strike that.
- Did you also consult or prep
- with attorneys by remote meetings?
- A. There was a remote meeting
- on Monday that just lasted a couple
- 22 hours.
- Q. Are those the only two
- 24 meetings that you had in preparation for

- <sup>1</sup> your deposition?
- A. Yes.
- Q. I believe, just a few
- <sup>4</sup> minutes ago, you testified that NDMA is
- <sup>5</sup> not exposed to any organs prior to the
- 6 liver. Is that what you meant to say?
- <sup>7</sup> A. That is not what I said.
- Q. Okay. So if the transcript
- 9 says that -- sorry.
- A. Yeah, let me clarify.
- 11 It's not exposed to an organ
- with metabolic capability prior to
- 13 getting to the liver.
- Q. In your opinion, correct?
- A. Yes, in my opinion.
- Q. But there are several organs
- that it touches prior to getting to the
- 18 liver?
- A. Not in a metabolizing
- <sup>20</sup> capacity.
- Q. But you would agree that it
- 22 at least touches several organs prior to
- getting to the liver, correct?
- A. It passes through the

- 1 esophagus in a solid pill form, which is
- <sup>2</sup> not where absorption would occur.
- And then its dissolution to
- <sup>4</sup> be able to be absorbed occurs in the
- <sup>5</sup> stomach where there is no 2E1. And then
- 6 it's absorbed across the small intestine,
- <sup>7</sup> which also does not have 2E1. So the
- 8 first time it's in a form that can be
- 9 metabolized by 2E1 is when it gets to the
- <sup>10</sup> liver.
- 11 Q. When a substance is absorbed
- 12 through the small intestine, does
- 13 100 percent of it go to the liver or does
- some of that blood bypass the liver?
- MS. THOMPSON: Objection to
- form.
- THE WITNESS: Yeah, the
- mesenteric system drains it all
- into the liver. It's the
- evolution of that defense
- mechanism. That's what it's there
- $^{22}$  for.
- 23 BY MR. VAUGHN:
- Q. The evolution, what do you

1 mean evolution of that defense mechanism? 2 Our evolution of the liver Α. 3 doing what it does and the cytochrome 4 P450 system and other metabolizing 5 pathways that are not, you know, at hand 6 here, those evolved as a way of 7 detoxifying things that we ingested. 8 So the evolution of our 9 alimentary system and our drug 10 metabolizing system is the way it is to 11 be a detoxifying system. 12 So is it your opinion that 13 because humans have been exposed to 14 environmental nitrosamines throughout 15 history, that humans have evolved to be 16 able to not get cancer from NDMA? 17 MS. THOMPSON: Objection. 18 Form. 19 THE WITNESS: Yeah, it's a 20 good line of thinking, but many of 21 these P450s evolved in response to 22 exposures that may have been other 23 toxins of other types that had 24 nothing to do with NDMA.

```
1
                 But because they're there
2
           and now we are exposed to NDMA, we
3
           have the capacity to metabolize.
4
   BY MR. VAUGHN:
5
           0.
                 So --
6
                 Some of these enzymes are
7
   not so super specific that they evolve
8
   only to handle one potential toxin.
9
                 And is P450 one of those
10
   that handles numerous toxins?
11
                 Yeah. There are like 250,
           Α.
   300 individually specific cytochrome P450
12
13
   isozymes.
14
               Why haven't humans evolved
15
   to just not be able to get cancer at all?
16
                 MS. THOMPSON: Objection.
17
           Scope.
18
                 THE WITNESS:
                                That is beyond
19
           my ability to understand and
20
           answer.
21
   BY MR. VAUGHN:
22
           Q. But you're able to give an
23
   opinion that we've evolved to be able to
24
   handle NDMA?
```

```
1
                 MS. THOMPSON: Objection.
2
                  Mischaracterizes.
           Form.
3
                 THE WITNESS: We have
4
           evolved with the ability to
5
           detoxify orally ingested
6
           substances.
7
                 And I should add, it's a
8
           little more complicated than I'm
9
           portraying.
10
                 Many of the cytochrome P450s
11
           are involved in endogenous
12
           steroid, hormone, and cholesterol
13
           metabolism. So some of them have
14
           multiple jobs.
15
   BY MR. VAUGHN:
16
             Do you have an opinion on
           Ο.
17
   what animal a human evolved from?
18
                 MS. THOMPSON: Object to
19
           form.
20
                 THE WITNESS: The -- I mean,
21
           I'm pretty sure we evolved from
22
           primates, from nonhuman primates.
23
   BY MR. VAUGHN:
24
                 But you think we metabolize
           Q.
```

```
1
   NDMA more like a rat than a nonhuman
   primate?
3
                 MS. THOMPSON: Objection.
4
           Asked and answered.
5
                 THE WITNESS: I think that
6
           because that's what scientists
7
           have said.
8
   BY MR. VAUGHN:
9
                Does that really make sense,
10
   if we evolved from a nonhuman primate,
11
   that we're going to metabolize it more
12
   like a rat?
13
                 MS. THOMPSON: Objection.
14
           Asked and answered.
15
                 THE WITNESS: You know, why,
16
           I don't know that I have an answer
17
           for. It is just what it is. And
18
           so I observed it, reported on it.
19
   BY MR. VAUGHN:
20
             You noted lidocaine earlier.
           Ο.
21
   Is Lidocaine a genotoxic carcinogen?
22
                 MS. THOMPSON: Objection.
23
           Form.
24
                 THE WITNESS: I don't think
```

```
1
                It's just an example of a
           so.
2
           drug that has a very high
3
           first-pass metabolism, and so
4
           giving it orally will never
5
           produce any post-liver effect.
                                             So
6
           it's a good example in that
7
           regard.
8
   BY MR. VAUGHN:
9
                 But the only genotoxic
10
   carcinogen that you have experience with
11
   is Actos, correct?
12
                 MS. THOMPSON: Objection.
13
                  Mischaracterizes testimony.
           Form.
14
                 THE WITNESS:
                                No. I also
15
           mentioned the immunosuppressive
16
           drugs for heart transplant
17
           patients. But that's pretty much
18
           the extent.
19
   BY MR. VAUGHN:
20
           0.
                 Those are genotoxins?
21
                 MS. THOMPSON: Objection.
22
           Form.
23
                 THE WITNESS: I'm not sure
24
           their mechanism of cancer
```

```
1
          production is genotoxic. But they
2
           are carcinogenic.
   BY MR. VAUGHN:
4
          Q. Okay. So the only genotoxic
5
   carcinogen that you have experience with
6
   is Actos?
7
                 MS. THOMPSON: Objection.
8
           Form.
9
                 THE WITNESS: In -- in that
10
           specific genotoxic sense, yes.
11
   BY MR. VAUGHN:
12
                 Doctor, is every carcinogen
           0.
13
   also a genotoxin?
14
                 MS. THOMPSON: Objection.
15
          Form.
16
                 THE WITNESS: I don't think
17
          so. But -- yeah, I don't think
18
           so.
19
   BY MR. VAUGHN:
20
             Were you an expert in the
21
   Actos litigation at all?
22
                 No. That was just out of my
23
   interest in -- when that report came out
24
   about the potential association with
```

- 1 bladder cancer in the normal part of what
- <sup>2</sup> I do, is I look at the data and where it
- 3 came from, and how solid it is, and what
- 4 type of data. And Actos was one of those
- <sup>5</sup> drugs that a lot of my heart patients
- 6 were on.
- <sup>7</sup> Q. And so you wanted to
- 8 investigate it because you cared about,
- <sup>9</sup> you know, if your patients got cancer or
- 10 not, right?
- MS. THOMPSON: Objection.
- Form.
- THE WITNESS: I investigated
- it to evaluate the quality of the
- data to make a determination in
- that regard.
- <sup>17</sup> BY MR. VAUGHN:
- Q. And in your opinion does
- 19 Actos actually incite bladder cancer or
- increase the risk of bladder cancer?
- MS. THOMPSON: Objection.
- Form. Scope.
- THE WITNESS: Certainly not
- anything that I put into my

```
1
           report. But my understanding is
2
           that there was some inconsistency
3
           in that data, so I don't think it
4
           was very clear.
5
   BY MR. VAUGHN:
6
           Q. Did you keep all of your
7
   patients on Actos?
8
                 MS. THOMPSON: Objection.
9
           Form.
10
                 THE WITNESS: To the best of
11
          my knowledge, yes.
12
   BY MR. VAUGHN:
13
           Q. Do you know if any of them
   got bladder cancer?
14
15
                 MS. THOMPSON: Objection.
16
           Form. Scope.
17
                 THE WITNESS: To the best of
18
          my knowledge, no.
19
   BY MR. VAUGHN:
20
           Q. Are you aware of studies
21
   that have shown that gastric and
22
   colorectal tissues are more efficient at
23
   metabolizing NDMA in humans than in
24
   animals?
```

```
1
                 MS. THOMPSON: Objection.
2
           Form.
3
                 THE WITNESS: I have not
4
          seen that data. It didn't come up
5
           in my research.
6
   BY MR. VAUGHN:
7
          0.
                 Is it easier to measure the
8
   bioavailability of valsartan in
9
   comparison to NDMA?
10
                 It's easier in the concept
   that we can do that in humans and that
11
12
   we've not done that with NDMA in humans.
13
                Didn't you say earlier it's
           Q.
14
   not well studied in humans?
15
                 MS. THOMPSON: Objection.
16
   BY MR. VAUGHN:
17
          Q. Or it's not studied at all,
18
   I quess, is what you're saying?
19
          A. Yeah, there are no
20
   pharmacokinetic studies on NDMA in
21
   humans. Maybe the one that was in
22
   ranitidine that we mentioned earlier
23
   today.
24
          Q. So would you agree you don't
```

```
1
   know actually how much NDMA gets into the
2
   bloodstream?
3
                 MS. THOMPSON: Objection.
4
           Form.
5
                 THE WITNESS: Because we
6
          don't measure -- number one, we
7
          don't know in humans. And because
8
           we don't measure it in the animal
9
           studies, I use the surrogates,
10
          whether that was a development of
11
          tumor or adducts.
12
   BY MR. VAUGHN:
13
           Q. But you agree that you do
14
   not know how much would make it into the
15
   bloodstream in a human, correct?
16
                 MS. THOMPSON: Objection.
17
           Form. Asked and answered.
18
                 THE WITNESS: It depends on
19
           the dose.
20
   BY MR. VAUGHN:
21
                 At the doses that we are
           0.
22
   discussing -- that your expert report
23
   covers, do you know how much NDMA gets
24
   into the bloodstream of a human?
```

```
1
                 MS. THOMPSON: Objection.
2
                  Asked and answered.
3
                 THE WITNESS:
                                In a
4
           quantitative amount in the rat
5
           studies, no.
6
                 But not enough at the
7
           .1-milligram-per-kilogram dose or
8
           below to induce downstream cancer.
9
   BY MR. VAUGHN:
10
                My question is more simple
           Ο.
11
   than that. Just strictly in humans, you
12
   do not know how much NDMA would get into
13
   their bloodstream after they consumed
14
   valsartan contaminated with NDMA,
15
   correct?
16
                 MS. THOMPSON: Objection.
17
           Form.
                  Asked and answered.
18
                 THE WITNESS: We do not have
19
           those data in humans. And so
20
           we're relying on the best
21
           surrogate we have, which is the
22
           animal models, particularly the
23
           rat.
24
   BY MR. VAUGHN:
```

```
1
                And so you would agree that
   you do not know how much NDMA would get
   into the human bloodstream, correct?
4
                 MS. THOMPSON: Objection.
5
           Form. Asked and answered.
6
                 THE WITNESS: Correct. We
7
          do not have those human data.
8
   BY MR. VAUGHN:
9
             And because you don't have
          0.
10
   the data, you can't know, correct?
11
                 MS. THOMPSON: Objection.
12
           Form. Asked and answered.
13
                 THE WITNESS: I do not know.
14
                 MR. VAUGHN: I have no
15
           further questions.
16
                 MS. THOMPSON: One second.
17
          I think we're done. Sorry.
18
                 MR. VAUGHN: Not a problem,
19
           Sara.
20
                 MS. THOMPSON: Okay. Can we
21
          go off.
22
                 MR. VAUGHN: Yeah.
23
                 THE VIDEOGRAPHER: The time
24
          right now is 4:38 p.m. We're off
```

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1
             the record.
 2
                    ****
 3
                    (Excused.
 4
                    (Deposition concluded at
 5
            approximately 4:38 p.m. eastern
 6
            time.)
7
8
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1 2 CERTIFICATE 3 4 5 I HEREBY CERTIFY that the witness was duly sworn by me and that the 6 deposition is a true record of the testimony given by the witness. 7 It was requested before 8 completion of the deposition that the witness, MICHAEL B. BOTTORFF, Pharm.D., have the opportunity to read and sign the deposition transcript. 10 11 Midelle J. Gray 12 MICHELLE L. GRAY, 13 A Registered Professional Reporter, Certified Shorthand 14 Reporter, Certified Realtime Reporter and Notary Public 15 Dated: September 20, 2021 16 17 18 (The foregoing certification 19 of this transcript does not apply to any 20 reproduction of the same by any means, 21 unless under the direct control and/or 22 supervision of the certifying reporter.) 23 24

1 INSTRUCTIONS TO WITNESS 2 3 Please read your deposition 4 over carefully and make any necessary 5 corrections. You should state the reason 6 in the appropriate space on the errata 7 sheet for any corrections that are made. 8 After doing so, please sign 9 the errata sheet and date it. 10 You are signing same subject 11 to the changes you have noted on the 12 errata sheet, which will be attached to 13 your deposition. 14 It is imperative that you 15 return the original errata sheet to the 16 deposing attorney within thirty (30) days 17 of receipt of the deposition transcript 18 by you. If you fail to do so, the 19 deposition transcript may be deemed to be 20 accurate and may be used in court. 21 22 23 24

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1		
		ERRATA
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4	PAGE LINE	CHANGE
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6	REASON:	
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1					
2	ACKNOWLEDGMENT OF DEPONENT				
3					
4	I,, do				
5	hereby certify that I have read the				
6	foregoing pages, 1 - 391, and that the				
7	same is a correct transcription of the				
8	answers given by me to the questions				
9	therein propounded, except for the				
-0	corrections or changes in form or				
1	substance, if any, noted in the attached				
.2	Errata Sheet.				
.3					
.4					
.5					
6	MICHAEL B. BOTTORFF, Pharm.D. DATE				
7					
8					
9	Subscribed and sworn				
	to before me this				
0	, day of, 20				
1	My commission expires:				
2					
13	Notary Public				
4					

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